

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Phillips, Mary E.	2. Court or Organization U.S. District Court, Western District of Missouri	3. Date of Report 09/01/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 400 East 9th Street Suite 7452 Kansas City, MO 64105		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2014

State of Missouri - Salary

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Missouri Organization of
Defense Lawyers

06/06/14

Branson, Missouri

Spoke at MODL Annual
Meeting

One night hotel room

2.

3.

4.

5.

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	U.S. Bank	Mortgage on Rental Property, Kansas City, Missouri (Pt. VII, Line 2)	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Country Club Bank Account	A	Interest	M	T					
2. Rental Property, Kansas City, Jackson County, Missouri (\$170,000-2007)	E	Rent	M	R					
3. Mass. Mutual Virable Life - Fidelity VIP Contrafund	A	Int./Div.			Sold	04/29/14	J	A	
4. Mass. mutual Virable Life - MML Equity Index Division	A	Int./Div.			Sold	04/29/14	J	A	
5. Adorn Inc. (2007)		None	K	W					
6. Brokerage Account #1 (H)									
7. Vanguard Select Value (VASVX)	D	Int./Div.	L	T					
8. UNTS Gugg Flah Crum Prfd - bond	C	Int./Div.	K	T	Sold (part)	11/17/14	K	A	
9. Missouri Env Imp Ener RV Pref		None	K	T					
10. Missouri Env Imp Engy RV - bond		None	J	T					
11. Kansas City Mo SRA BE/R	A	Int./Div.	J	T					
12. Platte Cnty Mo Reorg - bond	B	Int./Div.	K	T					
13. Bayless Mo Cons Sch- bond		None	K	T	Buy	07/02/14	J		
14. Kansas City Mo San Swr S - bond	B	Int./Div.	K	T					
15. St. Louis MO MFC Leasehld Assur RV CAV6.34 - bond	A	Int./Div.	K	T					
16. Aflac Inc	A	Int./Div.	K	T					
17. Boeing	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. California Resources Corp		None	J	T	Spinoff (from line 26)	12/01/14	J		
19. Clorox Co	A	Int./Div.			Sold	05/13/14	J	A	
20. Colgate Palmolive	A	Int./Div.	J	T					
21. CSX Corporation	A	Int./Div.			Sold	04/15/14	J	A	
22. Diageo Plc New GB Spon ADR	A	Int./Div.	J	T					
23. Intel Corp	A	Int./Div.	J	T					
24. Johnson & Johnson Com	A	Int./Div.	J	T					
25. Medtronic	A	Int./Div.	J	T					
26. Occidental Petroleum CRP	A	Int./Div.	J	T					
27. Qualcomm	A	Int./Div.	J	T	Buy	05/18/14	J		
28. Unilever PLC Amer Shs New Spon	A	Int./Div.	J	T					
29. Union Pacific Corp	A	Int./Div.	J	T	Buy	03/13/14	J		
30. United Parcel Service Inc CL B	A	Int./Div.	J	T					
31. Ishares Trust DJ US Real State - (IYR)	A	Int./Div.	K	T					
32. Vanguard Index funds Mid-cap Growth (VOT)	B	Int./Div.	L	T					
33. Vanguard Index Funds Mid-Cap Value (VOE)	C	Int./Div.	L	T					
34. Vanguard MSCI Emerging Markets (VWO)	B	Int./Div.	K	T					

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35. Vanguard Scottsdale (VONG)	B	Int./Div.	M	T					
36. Matthews Intl Pacific Tiger Fund (MAPTX)	A	Int./Div.	K	T					
37. Vanguard Tax-Managed Sm Cap Fund (VTMSX)	A	Int./Div.	K	T					
38. Greenspring Fd Inc (GRSPX)	A	Int./Div.	J	T					
39. Vanguard Scottsdale (VTWV)	A	Int./Div.	K	T					
40. Vanguard Scottsdale (VTWG)	A	Int./Div.	K	T					
41. Vanguard MSCI ETF (VEA)	A	Int./Div.	L	T					
42. Powershares DB Commodity Index (DBC)	A	Int./Div.	K	T					
43. Vanguard Primecap Core	B	Int./Div.	K	T					
44. T Rowe Price Intl Fds (TROSX)	A	Int./Div.	J	T					
45. Oakmark Intl Fund Class I (OAKIX)	A	Int./Div.	J	T					
46. Wells Fargo Premier Lg Co Gr (EKJCX)	A	Int./Div.	J	T					
47. Vanguard total Stock Mkt Inx (VTSAX)	A	Int./Div.	J	T					
48. UBS Deposit account	A	Int./Div.	K	T					
49. Mass. Mutual Variable Life Policy- Oppenheimer Cap Appr	A	Int./Div.			Sold	04/29/14	J	A	
50. 457(b) Plan - MO2035 Fund		None	L	T					
51.									

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52.									
53.									
54.									
55.									
56.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII, Line 10 - this asset was inadvertently not included in the 2013 disclosure although it was purchased on 12/19/13 at the same time as the asset in Line 9 of a similar name.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mary E. Phillips

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544