

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tigar, Jon S.	2. Court or Organization U.S. District Court for the Northern District of California	3. Date of Report 08/13/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 450 Golden Gate Avenue, 19th Floor San Francisco, CA 94102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Trust #1
2.	Director	Trust #2
3.	Director	Trust #3
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Matthew Bender	\$1,423.64
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	The Durfee Foundation - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bar Association, Section of Antitrust	January 7-10, 2017	Palm Beach, FL	Attend Section Leadership Meeting	Transportation, meals, hotel
2. American Bar Association, Section of Litigation	March 27-31, 2017	Washington, D.C.	Attend Section Annual Meeting and make presentation	Transportation, meals, hotel
3. American Bar Association, Section of Litigation	August 15-17, 2017	Dana Point, CA	Attend Section Leadership Meeting	Transportation, meals, hotel
4. American Law Institute	September 7-8, 2017	Philadelphia, PA	Attend Advisers Meeting Re Restatement of Torts	Transportation, meals, hotel

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5.	American Bar Association, Business Law Section Annual Meeting	September 14-15, 2017	Chicago, IL	Make presentation	Transportation, meals, hotel
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. DFA Commodity Strategy Portfolio	A	Dividend	K	T					
2. DFA Emerging Markets Portfolio	A	Dividend	K	T					
3. DFA International Small Company Portfolio	B	Dividend	K	T					
4. IndexIQ Merger Arbitrage ETF	A	Dividend	L	T					
5. iShares 1-3 Year Credit Bond	C	Dividend	N	T					
6. Pimco Stocksplus Intl Fd Usd Hedged D	A	Dividend	M	T					
7. PRIMECAP Odyssey Aggressive Growth Fund	D	Distribution	M	T					
8. Thompson Bond Fund (X)	C	Dividend	L	T					
9. TIAA-CREF Traditional Annuity		None	K	T					
10. Vanguard FTSE All-World ex-US Index Fund	A	Dividend	K	T					
11. Schwab Money Market Accounts -- Cash	A	Int./Div.	L	T					
12. Bank of America Accounts	A	Interest	J	T					
13. Trust #1									
14. -- Artisan Global Opportunities Fund	A	Distribution	M	T					
15. -- Avery Dennison Corp Common Stock	C	Dividend	O	T					
16. -- DFA Commodity Strategy Portfolio	A	Dividend	L	T					
17. -- DFA Emerging Markets Portfolio	A	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. -- DFA Global Real Estate Securities Portfolio	D	Dividend	M	T					
19. -- DFA International Small Company Portfolio	A	Dividend	L	T					
20. -- IndexIQ Merger Arbitrage ETF	A	Dividend	L	T					
21. -- Oakmark Global Fund	A	Dividend	L	T					
22. -- PRIMECAP Odyssey Aggressive Growth Fund	E	Dividend	N	T					
23. -- Schwab 1000 Index Fund	E	Dividend	P1	T					
24. -- Vanguard Dividend Appreciation Index Fund	C	Dividend	M	T					
25. -- Vanguard FTSE All-World ex-US Index Fund	C	Dividend	M	T					
26. -- Vanguard Mid-Cap Index Fund	A	Dividend	M	T					
27. -- Vanguard Short-Term Investment-Grade Fund	D	Dividend	N	T					
28. -- Vanguard Small-Cap Growth Index Fund	B	Dividend	M	T					
29. -- Schwab Money Market Fund	A	Int./Div.	M	T					
30. Trust #2 (X)									
31. -- Avery Dennison Common Stock	A	Dividend	K	T					
32. -- Janus Flexible Bond Fund	A	Dividend	K	T					
33. -- Vanguard Dividend Appreciation Index Fund	A	Dividend	K	T					
34. -- Schwab Money Market Fund	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Trust #3 (X)									
36. -- Artisan Global Oppty Inv Cl	A	Dividend	L	T					
37. -- Driehaus Emerging Markets Growth Fund	A	Dividend	J	T					
38. -- iShares Core S&P 500	B	Dividend	L	T					
39. -- Janus Flexible Bond Fund	A	Dividend	K	T					
40. -- PRIMECAP Odyssey Aggressive Growth Fund	A	Dividend	M	T					
41. -- Schwab Fdmtl US Sm Co Idx	A	Dividend	K	T					
42. -- Vanguard Dividend Appreciation Index Fund	A	Dividend	K	T					
43. Schwab Money Market Fund	A	Int./Div.	M	T					
44. Trust #4 (X)									
45. -- Arbitrage Fund Class I	A	Dividend	K	T					
46. -- DFA Global Real Estate Securities I	B	Dividend	K	T					
47. -- PIMCO Commodity Real Return Strategy Fund	A	Dividend	J	T					
48. -- Vanguard 500 Index Fund	C	Dividend	M	T					
49. -- Vanguard Extended Market Index Fund	A	Dividend	L	T					
50. -- Vanguard FTSE All-World Ex-US Index Fund	C	Dividend	M	T					
51. -- Vanguard Short Term Investment Grade Fund	B	Dividend	L	T					

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52. -- Vanguard Small Cap Index Fund	A	Dividend	L	T					
53.									
54.									
55.									
56.									
57.									
58.									
59.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jon S. Tigar**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544