

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DIEHL, MARY GRACE	2. Court or Organization UNITED STATES BANKRUPTCY COURT	3. Date of Report 05/01/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 1215 UNITED STATES COURTHOUSE 75 SPRING STREET S.W. ATLANTA, GA 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Treasurer	National Conference of Bankruptcy Judges
3.	Member, Board of Trustees	Canisius College
4.	Member, Board of Directors	American Bankruptcy Institute
5.	Vice President	American College of Bankruptcy

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2013	Contract with LexisNexis/Collier to edit book on Professional Compensation in Bankruptcy Cases
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Lexis/Nexis Royalties	\$2,840.23
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Graduate Fellowship Georgia State University
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Southeastern Bankruptcy Law Institute, Inc.	March 21-23, 2013	Atlanta, GA	Seminar	Waiver of Registration Fee
2. National Conference of Bankruptcy Judges	October 30-November 1, 2013	Atlanta, GA	Judges Conference	Reimbursement of Hotel
3. American College of Bankruptcy	March 14-17, 2013	Washington, DC	Professional Meeting	Reimbursement of Travel and Hotel
4. American Bankruptcy Institute	April 18-21, 2013	National Harbor, MD	Educational Seminar Speaker	Reimbursement of Travel and Hotel
5. Turnaround Management Association	February 3-5, 2013	Big Sky, Montana	Certification Oversight Committee Meeting	Reimbursement of Travel

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6.	National Conference of Bankruptcy Judges	April 7-9, 2013	Phoenix, AZ	Mid-Year Meeting	Reimbursement of Hotel and Travel
7.	National Assn of Bankruptcy Trustees	February 28-March 3, 2013	Scottsdale, AZ	Speaker at Educational Seminar	Reimbursement of Travel and Hotel
8.	National Assn of Bankruptcy Trustees	August 7-10, 2013	White Sulphur Springs, WV	Speaker at Educational Seminar	Reimbursement of Travel and Hotel
9.	Turnaround Management Assn	October 2-5, 2013	Washington, DC	Speaker at Educational Seminar; Certification Oversight Committee Meeting	Reimbursement of Travel and Hotel
10.	American Bankruptcy Institute	December 4-7, 2013	Los Angeles, CA	Speaker at Educational Seminar	Reimbursement of Travel and Hotel
11.	National Conference of Bankruptcy Judges	January 27-28, 2013	Columbia, SC	Meeting with Executive Director	Reimbursement of Travel and Hotel
12.	American College of Bankruptcy	February 8-10, 2013	Amelia Island, FL	Meeting and Educational Seminar	Reimbursement of Hotel
13.	American Law Institute	April 24-27, 2013	Philadelphia, PA	Speaker at Educational Seminar	Reimbursement of Travel and Hotel
14.	Turnaround Management Association	May 13-15, 2013	Chicago, IL	Speaker at Educational Seminar	Reimbursement of Travel and Hotel
15.	Turnaround Management Association-Atlanta Chapter	May 30-31, 2013	Hollywood, FL	Speaker at Educational Seminar	Reimbursement of Travel and Hotel
16.	Turnaround Management Association - Western Regional	July 17-20, 2013	Los Angeles, CA	Speaker at Educational Seminar	Reimbursement of Travel and Hotel

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Bank of America Accounts	A	Interest	M	T					
2. Mass Mutual Life Insurance Policy#1	C	Dividend	M	T					
3. Mass Mutual Insurance Policy #2	C	Dividend	M	T					
4. Prudential Insurance Policy	A	Interest	K	T					
5. Brokerage Account #1									
6. --Bank Deposit Account- FIA Card services	A	Interest	L	T					
7. --MFS Value Fund Class A	C	Dividend	M	T	Sold (part)	04/18/13	J	A	
8.					Sold (part)	06/21/13	J	B	
9. --Bank of America (formerly ML Bank)	A	Interest	J	T					
10. --Thornburg International Value Fund C1 I	C	Dividend	M	T	Sold (part)	09/05/13	J	A	
11.					Sold (part)	10/23/13	J	A	
12. --Hartford Growth Opportunities Fund C1 A	D	Distribution	M	T	Sold (part)	04/18/13	J	A	
13.					Sold (part)	06/21/13	J	A	
14.					Sold (part)	09/05/13	J	A	
15. --American Euro Pacific Growth Fund C1 F	C	Dividend	N	T	Sold (part)	04/18/13	J	A	
16.					Sold (part)	06/21/13	J	A	
17.					Sold (part)	09/05/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	10/23/13	J	A	
19. --Wells Fargo Advantage Small Cap Fund A	B	Distribution	K	T	Sold (part)	06/21/13	J	A	
20.					Sold (part)	09/05/13	J	A	
21.					Sold (part)	10/23/13	J	A	
22. -- American Century Small Cap f/k/a Amer Century Investor Fund	A	Dividend	K	T	Sold (part)	06/21/13	J	A	
23.					Sold (part)	09/05/13	J	A	
24.					Sold (part)	10/23/13	J	A	
25. --Eaton Vance Large Cap Value Fund Class A	D	Distribution	M	T	Sold (part)	04/18/13	J	A	
26.					Sold (part)	06/21/13	J	A	
27. --Royce Pennsylvania Mutual Fund f/k/a Penn. Mutual Fund	A	Distribution			Sold (part)	06/21/13	J	A	
28.					Sold (part)	09/05/13	J	A	
29.					Sold	10/14/13	K	D	
30. --Westcore Small Cap Value Fund CL R	A	Distribution			Sold	03/27/13	K	C	
31. --ISHARES RUSSELL 1000 Growth Index Fund	B	Dividend	M	T	Sold (part)	04/18/13	J	B	
32.					Sold (part)	06/21/13	J	B	
33.					Sold (part)	09/05/13	J	A	
34. --ISHARES RUSSELL 1000 Value Index	C	Dividend	M	T	Sold (part)	04/18/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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35.					Sold (part)	06/21/13	J	B	
36. Dreyfus Appreciation Fd	C	Dividend	M	T	Sold (part)	04/18/13	J	A	
37.					Sold (part)	06/21/13	J	A	
38. Thomas White Int. Fund	C	Dividend	N	T	Sold (part)	10/23/13	J	A	
39. American Century Small Cap Val Fd (ASVIX)	B	Dividend	K	T	Buy	03/27/13	K		
40.					Sold (part)	04/18/13	J	A	
41.					Sold (part)	06/21/13	J	A	
42.					Sold (part)	10/23/13	J	A	
43. JP Morgan Undscvrd Mngrs (UBVSVX)	A	Dividend	K	T	Buy	10/14/13	K		
44.					Sold (part)	10/23/13	J	A	
45. Brokerage Acct #2									
46. --Georgia St Rd & Twy Auth Rev	C	Interest	L	T	Sold (part)	09/10/13	J	A	
47. --Georgia Mun Elec Auth Pwr Rev Ser Y	C	Interest			Sold	09/18/13	L	B	
48. --DuPage Il Transn Rev	C	Interest			Sold (part)	03/07/13	L	C	
49.					Sold	04/18/13	K	B	
50. --University Houston Tex	C	Interest	L	T					
51. --Gwinnett Cnty Ga Wtr & Sew Ser A	B	Interest	K	T					

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52. --Gwinnett Cty Ga Sch	B	Interest	K	T	Sold (part)	02/08/13	J	A	
53.					Sold (part)	03/11/13	L	D	
54. --DeKalb Private Hosp Auth	C	Interest	K	T	Sold (part)	11/19/13	K	C	
55. Orlando Fl Cap Impt Spl	A	Interest			Sold	05/08/13	L	D	
56. Georgia St - Series D	B	Interest			Sold	03/06/13	L	D	
57. Columbia Co Ga Wtr & Sew	B	Interest	L	T					
58. Athens-Clarke Cnty GA Uni Govt Auth Rev	B	Interest	K	T	Sold (part)	03/11/13	L	D	
59. Oklahoma St Tpk Auth	B	Interest			Sold	03/08/13	L	C	
60. Athens Clarke County GA UNI Govt Sales Tax:Jail		None	L	T	Buy	05/08/13	L		
61.					Sold (part)	12/10/13	J	A	
62. Connecticut St Spl Tax Oblig Rev Ser A		None	K	T	Buy	09/18/13	K		
63. Metro Atlanta RTA GA SLS TaxRV Third Series		None	K	T	Buy	09/18/13	K		
64. Brokerage Acct #3									
65. SP 500 ARN Issuer BAC/due 5/29/14		None	M	T	Buy	03/18/13	M		
66. SP500 ARN Issuer BAC/due 1/30/2015		None	K	T	Buy	11/26/13	K		
67. IRA #1									
68. --ML Winton FuturesAccess LLC		None	M	T					

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69. --Bank Deposit Account- FIA Card Svcs		None			Merged (with line 70)	01/01/13	K		
70. IRA #2									
71. --Thornburg International Value Fund	A	Int./Div.	K	T	Buy (add'l)	03/15/13	J		
72.					Buy (add'l)	04/15/13	J		
73.					Buy (add'l)	09/05/13	J		
74. --Westcore Small Cap Value Fund C1 R	A	Int./Div.			Sold	03/27/13	J	A	
75. --Hartford Growth Opportunities Fund C1 A	A	Int./Div.	K	T	Buy (add'l)	03/15/13	J		
76.					Buy (add'l)	04/15/13	J		
77.					Sold (part)	09/05/13	J	A	
78. --American Euro Pacific Growth Fund C1 F	A	Int./Div.	K	T	Buy (add'l)	03/15/13	J		
79.					Buy (add'l)	04/15/13	J		
80.					Buy (add'l)	09/05/13	J		
81.					Sold (part)	10/23/13	J	A	
82.					Buy (add'l)	11/25/13	J		
83. --Wells Fargo Advantage Small Cap Gr Fund A	A	Int./Div.	J	T	Buy (add'l)	03/15/13	J		
84.					Buy (add'l)	04/15/13	J		
85.					Sold (part)	09/05/13	J	A	

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86.					Sold (part)	10/23/13	J	A	
87. --American Century New Opportunities II Investor Fund	A	Int./Div.	J	T	Buy (add'l)	03/15/13	J		
88.					Buy (add'l)	04/15/13	J		
89.					Sold (part)	10/15/13	J	A	
90.					Sold (part)	09/05/13	J		
91.					Sold (part)	10/23/13	J	A	
92. --MFS Value Fund C1 A	A	Int./Div.	K	T	Buy (add'l)	03/15/13	J		
93.					Buy (add'l)	04/15/13	J		
94.					Sold (part)	09/05/13	J	A	
95. --Eaton Vance Large Cap Value FUND Class A	A	Int./Div.	K	T	Buy (add'l)	03/15/13	J		
96.					Buy (add'l)	04/15/13	J		
97.					Sold (part)	09/05/13	J	A	
98. --Royce Penn Mutual Fund f/k/a Pennsylvania Mutual Fund	A	Int./Div.			Sold	10/15/13	J	A	
99. --FIA Card Services (formerly ML Bank USA RASP)	A	Interest	J	T					
100. --ISHARES RUSSELL 1000 Growth	A	Int./Div.	K	T	Buy (add'l)	03/15/13	J		
101.					Buy (add'l)	04/15/13	J		
102.					Sold (part)	09/05/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. --ISHARES RUSSELL 1000 Value	A	Int./Div.	K	T	Buy (add'l)	03/15/13	J		
104.					Buy (add'l)	04/15/13	J		
105.					Sold (part)	09/05/13	J	A	
106. Dreyfus Appreciation Fd	A	Int./Div.	K	T	Buy (add'l)	03/15/13	J		
107.					Buy (add'l)	04/15/13	J		
108.					Buy (add'l)	09/05/13	J		
109. Thomas White International Fund	A	Int./Div.	K	T	Buy	03/15/13	J		
110.					Buy (add'l)	04/15/13	J		
111.					Buy (add'l)	09/05/13	J		
112.					Sold (part)	10/23/13	J	A	
113.					Buy (add'l)	11/25/13	J		
114. American Century Small Cap Val FD Investor(ASVIX)	A	Int./Div.	J	T	Buy	03/27/13	J		
115.					Sold (part)	09/05/13	J	A	
116.					Sold (part)	10/23/13	J	A	
117. JP Morgan Undscvrd Mngrs (UBVSX)	A	Int./Div.	J	T	Buy	10/14/13	J		
118.					Sold (part)	10/23/13	J	A	
119. IRA #3									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --FIA Card Svcs (Formerly -ML Bank USA RASP)	A	Interest	K	T					
121. SP500 ARN ISSUER SEK		None			Sold	10/25/13	N	F	
122. SP 500 ARN Issuer BAC		None	N	T	Buy	10/31/13	N		
123. --MFS Value Fund	B	Int./Div.	L	T	Sold (part)	04/15/13	J	A	
124.					Sold (part)	09/05/13	J	A	
125. --Thornburg International Value Fund C1 I	B	Int./Div.	M	T	Buy (add'l)	4/15/13	J		
126.					Buy (add'l)	09/05/13	J		
127. --Westcore Small Cap Value Fund		None			Sold	03/27/13	J	B	
128. --Hartford Growth Opportunities Fund C1 A	A	Int./Div.	L	T	Buy (add'l)	04/15/13	J		
129.					Sold (part)	09/05/13	J	A	
130. --American Euro Pacific Growth Fund C1 F	B	Int./Div.	M	T	Buy (add'l)	04/15/13	J		
131.					Buy (add'l)	09/05/13	J		
132. --Wells Fargo Advantage Small Cap Fund A	A	Int./Div.	J	T	Buy (add'l)	04/15/13	J		
133.					Sold (part)	09/05/13	J	A	
134. --American Century New Opportunities Investor Fund	A	Int./Div.	J	T	Buy (add'l)	04/15/13	J		
135.					Sold (part)	09/05/13	J	A	
136. Thomas White International Fund	B	Int./Div.	M	T	Buy (add'l)	04/15/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	09/05/13	J		
138. --Eaton Vance Large Cap Value Fund Class A	B	Int./Div.	L	T	Buy (add'l)	04/15/13	J		
139.					Sold (part)	09/05/13	J	A	
140. --Royce Penn. Mutual Fund f/k/a/ PENNSYLVANIA MUTUAL FUND		None			Buy (add'l)	04/15/13	J		
141.					Sold (part)	09/05/13	J	C	
142.					Sold	10/15/13	J	A	
143. --ISHARES RUSSELL 1000 Growth	B	Int./Div.	L	T	Buy (add'l)	04/15/13	J		
144.					Sold (part)	04/18/13	J	A	
145.					Sold (part)	09/05/13	J	A	
146. --ISHARES RUSSELL 1000 Value	B	Int./Div.	L	T	Sold (part)	04/18/13	J	A	
147.					Sold (part)	09/05/13	J	A	
148. Dreyfus Appreciation Fd	B	Int./Div.	L	T	Buy (add'l)	04/15/13	J		
149.					Sold (part)	04/18/13	J		
150.					Buy (add'l)	09/05/13	J		
151. American Century small Cap Val Fd (ASVIX)	A	Int./Div.	J	T	Buy	03/27/13	J		
152.					Sold (part)	10/24/13	J	A	
153. JP Morgan Undscvrd Mngrs	A	Int./Div.	J	T	Buy	10/15/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. SEP #1									
155. --Thornburg International Value Fund Class I	A	Int./Div.	K	T	Buy (add'l)	09/05/13	J		
156.					Sold (part)	10/23/13	J	A	
157. --Hartford Growth Opportunities Fund CI A	A	Int./Div.	K	T	Sold (part)	08/08/13	J	A	
158.					Sold (part)	09/05/13	J	A	
159. --American Euro Pacific Growth Fund CI F	A	Int./Div.	K	T	Buy (add'l)	09/05/13	J		
160.					Sold (part)	10/23/13	J	A	
161.					Buy (add'l)	11/25/13	J		
162. --Wells Fargo Advantage Small Cap Gr Fund A	A	Int./Div.	J	T	Sold (part)	09/05/13	J	A	
163.					Sold (part)	10/23/13	J	A	
164. --American Century New Opportunities II Investor Fund	A	Int./Div.	J	T	Sold (part)	08/08/13	J	A	
165.					Sold (part)	09/05/13	J	A	
166.					Sold (part)	10/23/13	J	A	
167. --MFS Value Fund CI A	A	Int./Div.	K	T	Sold (part)	08/08/13	J	A	
168.					Sold (part)	09/05/13	J	A	
169. --Eaton Vance Large Cap Value FUND Class A	A	Int./Div.	K	T	Sold (part)	09/05/13	J	A	
170. --Royce Penn Mutual Fund f/k/a Pennsylvania Mutual Fund		None			Sold	10/15/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000	D --\$5,001 - \$15,000 H2 --More than \$5,000,000	E --\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J --\$15,000 or less N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000	K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000 P4 --More than \$5,000,000	M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q --Appraisal U --Book Value	R --Cost (Real Estate Only) V --Other	S --Assessment W --Estimated	T --Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. --FIA C&D Svcs (formerly ML Bank USA RASP)	A	Int./Div.	J	T					
172. --ISHARES RUSSELL 1000 GROWTH	A	Int./Div.	K	T	Buy (add'l)	09/05/13	J		
173. --ISHARES RUSSELL 1000 VALUE	A	Int./Div.	K	T	Sold (part)	08/08/13	J	A	
174.					Sold (part)	09/05/13	J	A	
175. --Westcore Small Cap		None			Sold	3/27/13	J	A	
176. Dreyfus Appreciation Fd	A	Int./Div.	K	T	Buy (add'l)	09/05/13	J		
177. Thomas White International Fund	A	Int./Div.	K	T	Buy (add'l)	09/05/13	J		
178.					Sold (part)	10/23/13	J	A	
179.					Buy (add'l)	11/25/13	J		
180. American Century Small Cap Value	A	Int./Div.	J	T	Buy	03/27/13	J		
181.					Sold (part)	08/08/13	J	A	
182.					Sold (part)	09/05/13	J	A	
183. JP MORGAN UNDSVCVRD MNGRS	A	Int./Div.	J	T	Buy	10/14/13	J		
184.					Sold (part)	10/23/13	J	A	
185. SEP #2									
186. --Thornburg International Value Fund C1 1	A	Int./Div.	J	T	Buy (add'l)	09/05/13	J		
187.					Buy (add'l)	12/12/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. --Westcore Small Cap		None			Sold	03/27/13	J	A	
189. --Hartford Growth Opportunities Fund Cla A	A	Int./Div.	J	T	Sold (part)	09/05/13	J	A	
190. --American Euro Pacific Growth Fund Cl F	A	Int./Div.	J	T	Buy (add'l)	09/05/13	J		
191. --Wells Fargo Advantage Small Cap Gr Fund A	A	Int./Div.	J	T	Sold (part)	09/05/13	J	A	
192. --American Century New Opportunities II	A	Int./Div.	J	T	Sold (part)	09/05/13	J	A	
193. --MFS Value Fund Cl A	A	Int./Div.	J	T	Sold (part)	09/05/13	J	A	
194. --Eaton Vance Large Cap Value Fund Class A	A	Int./Div.	J	T					
195. --Royce Penn Mutual Fund f/k/a Pennsylvania Mutual Fund		None			Sold	10/15/13	J	A	
196. --ISHARES RUSSELL 1000 Growth	A	Int./Div.	J	T	Buy (add'l)	01/03/13	J		
197.					Sold (part)	09/05/13	J	A	
198. --ISHARES RUSSELL 1000 VALUE	A	Int./Div.	J	T	Sold (part)	09/05/13	J	A	
199.									
200. Dreyfus Appreciation Fd	A	Dividend	J	T	Buy (add'l)	09/05/13	J		
201. Thomas White International FUND	A	Int./Div.	J	T	Buy (add'l)	09/05/13	J		
202.					Buy (add'l)	12/12/13	J		
203. American Century Small Cap Val Fund	A	Int./Div.	J	T	Buy	03/27/13	J		
204.					Buy (add'l)	12/12/13	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. JP MORGAN UNDSRVD MNGRS	A	Int./Div.	J	T	Buy	10/14/13	J		
206. Trust #1		None	K	T					
207. College Savings Plan: MLIM 20+ Years	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Trust #1 is a charitable trust whose sole asset is an annuity policy with National Integrity. I have no control over the assets of this trust. My obligations are limited to receipt of payments and distribution pursuant to the terms of the trust. I am not a beneficiary of the trust.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ MARY GRACE DIEHL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544