

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McHugh, Carolyn B.	2. Court or Organization 10th Circuit Court of Appeals	3. Date of Report 05/11/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full Time - Appellate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 125 South State Street 4201 Federal Building Salt Lake City, Utah 84138-1122		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Co-Trustee	Trust # 1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2005	State of Utah - Defined Benefit Pension Plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

Sentry Financial Corporation - salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Cash Accounts	A	Interest	K	T					
2. Voya/Whole Life Insurance	A	Interest	L	T					
3. 401(k) # 1									
4. -Investco Stable Value Fund	B	Int./Div.	M	T					
5. -PIMCO Total Return Admin	D	Int./Div.	M	T					
6. -Virtus Real Estate Securities 1	E	Int./Div.	M	T					
7. -American Funds Washington Mut. R5	E	Int./Div.	N	T					
8. -BlackRock Equity Dividend Inst 1	E	Int./Div.	M	T					
9. -JP Morgan Large Cap Growth R5	D	Int./Div.	M	T					
10. -Mainstay Large Cap Growth-1	E	Int./Div.	M	T					
11. -American Beacon Small Cap Val Inst	D	Int./Div.	M	T					
12. -Oak Ridge Small Cap Growth Y	D	Int./Div.	M	T					
13. -Franklin Mutual Global Discovery Z	D	Int./Div.	L	T					
14. _American Funds Europacific Growth R5	C	Int./Div.	M	T					
15. -Columbia Acorn International Z	C	Int./Div.	L	T					
16. -Oppenheimer Developing Markets Y	A	Int./Div.	K	T					
17. Trust # 1									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Wells Fargo Cash Accounts	A	Interest	J	T					
19. -Residene, Salt Lake City, Salt Lake County, Utah		None	O	W					
20. Zions Cash Accounts (X)	A	Interest	M	T					
21. Chase Cash Accounts (X)	A	Interest	M	T					
22. Utah Educational Savings Plan Accounts (X)	A	Int./Div.	K	T					
23. Health Savings Account (X)	A	Interest	K	T					
24. Sentry 401(k) - Principal SAM Conservative Growth R3 (USD)(PCGPX) (X)	C	Dividend	N	T					
25. Scott F. Young IRAs (X)									
26. -Large Cap Fund (SLGAX) (X)	A	Int./Div.	L	T					
27. -International Equity Fund (SEITX) (X)	A	Int./Div.	L	T					
28. -Large Cap Growth Fund (SELCX) (X)	A	Int./Div.	K	T					
29. -Large Cap Value Fund (TRMVX) (X)	A	Int./Div.	K	T					
30. -Small Cap Fund (SLLAX) (X)		None	K	T					
31. -Core Fixed Income Fund (TRLVX) (X)	A	Int./Div.	K	T					
32. -Emerging Mkts Equity Fund (SIEMX) (X)	A	Int./Div.	K	T					
33. -Real Return Fund (SRAAX) (X)		None	J	T					
34. -Multi-Strategy Alt Fund (SMSAX) (X)	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -High Yield Bond Fund (SHYAX) (X)	B	Int./Div.	K	T					
36. -Emerging Mkts Debt Fund (SITEX) (X)	A	Int./Div.	K	T					
37. -Prime Obligations Fund (TPPXX) (X)	A	Int./Div.	J	T					
38. -Multi-Asset Accum Fund (SAAAX) (X)		None	K	T					
39. -Multi-Asset Int'l Fund (SUFAX) (X)		None	J	T					
40. -Multi-Asset Income Fund (SIQAX) (X)	A	Int./Div.	J	T					
41. -USFixed Income Fund (SUFAX) (X)	A	Int./Div.	K	T					
42. -Dynamic Asset Alloc Fund (SDYAX) (X)		None	J	T					
43. Sentry Financial Corporation (X)		None	P1	U					
44. Sentry Capital Co. (X)	F	Int./Div.	M	U					
45. Sentry Investments (X)	A	Int./Div.	P1	U					
46. Sentry Investments II (X)	G	Int./Div.	M	U					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

On June 20, 2015, Judge McHugh married. This report therefore contains financial information related to her spouse, which was not included in prior reports.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Carolyn B. McHugh**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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