

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jordan, Kent A.	2. Court or Organization Third Circuit Court of Appeals	3. Date of Report 05/13/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 844 N. King Street Unit 10 Wilmington, DE 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member of Executive Committee	Richard S. Rodney Inn of Court
2.	Board Member	American Inns of Court
3.	Board Member	Ministry of Caring
4.	Board Member	Sacred Heart Village
5.	Board Member	Sedona Conference Judicial Advisory Board

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Jordan, Kent A.

Date of Report

05/13/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Vanderbilt University - teaching	\$8,955.00
2. 2013	University of Pennsylvania School of Law - teaching	\$10,000.00
3. 2013	Vanderbilt University - teaching	\$8,000.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Webster Dermatology - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Vanderbilt University	2/1-9/13	Nashville, TN	teaching	food, lodging and transportation
2. Brigham Young University	2/14-16/13	Washington, DC	speaker at seminar	food, lodging and transportation
3. Pepperdine University	3/13-15/13	Malibu, CA	speaker at seminar	food, lodging and transportation
4. Dept of State and U.S. Patent Office	3/22-31/13	Bangkok, Thailand	speaker at seminar	food, lodging and transportation
5. The National Judicial College	4/8/13	Philadelphia, PA	attend seminar	food
6. Seattle University School of Law	4/25-27/13	Seattle, WA	speaker at seminar	food, lodging and transportation

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Jordan, Kent A.

Date of Report

05/13/2014

7.	American Inns of Court Foundation	5/15-18/13	New Orleans, LA	Spring Board Meeting	food, lodging and transportation
8.	The Sedona Conference	9/18-20/13	Washington, DC	conference meeting	food, lodging and transportation
9.	Vanderbilt University	9/27-10/5/13	Nashville, TN	teaching	food, lodging and transportation
10.	American Inns of Court Foundation	10/18-19/2/13	Washington, DC	Fall Board meeting	food, lodging and transportation
11.	Georgetown University	10/21-22/13	Washington, DC	judge moot court finals	food, lodging and transportation

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Jordan, Kent A.

Date of Report

05/13/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Jordan, Kent A.

Date of Report

05/13/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Schwab U.S. Treasury Money Fund	A	Dividend	K	T					
2.					Sold (part)	07/19/13	M		
3. Gateway Index Plus Fund	A	Dividend			Sold	04/26/13	K	B	
4. Loomis Sayles Bond	A	Dividend			Sold	07/11/13	K	B	
5. DWS High-Yield Fund	A	Dividend			Sold	07/11/13	K	B	
6. Rydex Healthcare		None			Sold	07/11/13	K	B	
7. Rydex Inverse High Yield Strategy H		None			Buy (add'l)	02/14/13	J		
8.					Sold	07/11/13	J		
9. Cohen & Steers Realty Shares	A	Dividend			Buy (add'l)	06/28/13	J		
10.					Sold	07/11/13	J	A	
11. Rydex Leisure		None			Sold	07/11/13	K	D	
12. Franklin Utilities Series C1 A	A	Dividend	J	T					
13. Schwab U.S. Broad Market	A	Dividend	K	T					
14. Rydex Transportation Fund	A	Dividend			Buy (add'l)	02/01/13	J		
15.					Sold (part)	02/06/13	J	B	
16.					Sold	07/11/13	K	C	
17. Texaco/Chevron Corp. Common Stock	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Jordan, Kent A.

Date of Report

05/13/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Texaco/Chevron Corp. Common Stock	A	Dividend	J	T					
19. Texaco/Chevron Corp. Common Stock	A	Dividend	J	T					
20. Capital One	A	Interest	M	T					
21. IRA #1 - American Pension Services, Inc. (Opened) See Part VIII		None	M	W					
22. - Land Solutions Ptnrs East Meadows LLC					Buy	07/18/13	M		
23.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Jordan, Kent A.

Date of Report

05/13/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII.21 Account Opened and purchase of VII.22 made on 7/18/14

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Jordan, Kent A.

Date of Report

05/13/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kent A. Jordan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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