

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Thompson, Anne E.	2. Court or Organization U.S. District Court	3. Date of Report 04/22/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 402 E. State Street Room 4000 Trenton, NJ 08608		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member - Criminal Law Drafting Committee	National Conference of Bar Examiners
2.	Co-Trustee	Trust #1 - U/W [REDACTED] Art. Fifth
3.	Co-Trustee	Trust #2 - U/W [REDACTED] Art. Sixth
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

04/22/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2012	National Conference of Bar Examiners -- Fees earned for drafting, editing & revising bar exam and evaluating performance of test questions.	\$7,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. National Conference of Bar Examiners	April 26-29, 2012	Miami, FL	Activity of Organization	Airfare, Hotel, Meals & Local Transportation
2. National Conference of Bar Examiners	October 4-7, 2012	Santa Monica, CA	Activity of Organization	Airfare, Hotel, Meals & Local Transportation
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

04/22/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

04/22/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Sun Nat'l Bank Checking Account	A	Interest	K	T					
2. Dukes County Bank Account		None	J	T					
3. Glenmede Tax-Exempt Fund		None	J	T					
4. Exelon Corporation	A	Dividend	J	T					
5. Intel Corp	A	Dividend			Sold	6/14/12	J	A	
6. Intel Corp		None	J	T	Buy	11/26/12	J		
7. Schlumberger Ltd	A	Dividend			Sold	06/20/12	J	A	
8. Walgreen Co	A	Dividend			Sold	04/20/12	J	A	
9. HJ Heinz Co	A	Dividend	J	T					
10. Freeport McMoran Copper Gold Inc.	A	Dividend	J	T	Buy	5/14/12	J		
11. Coach Inc.	A	Dividend	J	T	Buy	06/12/12	J		
12. TRUST #1 -- U/W [REDACTED] Art. Fifth	C	Dividend	O	T					
13. -- Fidelity Diversified Int'l Fund									
14. -- Fidelity Money Market Cash Reserves									
15. -- Fidelity Advisor New Insights Fund					Sold (part)	04/16/12	J	A	
16. -- Fidelity Real Estate Investment Fund									
17. -- Fidelity Blue Chip Growth Fund					Sold (part)	09/17/12	J	C	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

04/22/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- Fidelity NJ Municipal Income Fund					Sold (part)	06/19/12	J	A	
19. -- Fidelity NJ Municipal Income					Sold (part)	09/17/12	J	A	
20. -- Fidelity Short-Inter Mediate Muni Income Fund					Buy (add'l)	01/31/12	J		
21. -- Fidelity Short-Inter Mediate Muni Income Fund					Sold (part)	04/16/12	J	A	
22. -- Fidelity Short-Inter Mediate Muni Income Fund					Sold (part)	09/17/12	J	A	
23. -- Cambria Opportunity Investor Fund					Sold	09/17/12	J	C	
24. -- AllianceBern Muni Inc Fund II NJ									
25. -- Baron Growth Fund									
26. -- Columbia Marisco Focused Eq. CL A					Sold	09/17/12	J	B	
27. -- DWS Strategic High Yield Tax Free CL S									
28. -- Davis NY Venture CL A					Sold	02/11/12	J	C	
29. -- Diamond Hill Small Cap Fund CL A									
30. -- Franklin NJ Tax Free Income Fund A					Sold (part)	06/19/12	J	A	
31. -- Harbor Capital Appreciation Fund									
32. -- Hartford Capital Appreciation Fund CL A									
33. -- Hotchkiss & Wiley Mid Cap Value Fund CL A					Sold (part)	09/17/12	J	A	
34. -- Morgan Stanley Mid Cap Growth Port CL P									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

04/22/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -- Mutual Series Mutual Shares CL A									
36. -- Nuveen NJ Muni Bond Fund CL A									
37. -- T Rowe Price Mid Cap Value Fund Adv Class									
38. -- T Rowe Price Value Fund Adv Class					Sold (part)	09/17/12	J	D	
39. -- Royce PA Mutual Fund									
40. -- S&P 500 Depository Receipt					Buy (add'l)	09/19/12	J		
41. -- S&P 500 Depository Receipt					Sold (part)	12/05/12	J	B	
42. -- CRM Mid Cap Value Investor Fund									
43. -- Pimco Real Estate Admin Class Fund					Sold (part)	01/31/12	J	A	
44. -- Pimco Commodity Real Ret Strat Admin					Buy (add'l)	04/16/12	J		
45. -- Pimco Commodity Real Ret Strat Admin					Sold (part)	06/19/12	J	A	
46. -- Fidelity Emerging Markets Fund					Sold (part)	04/16/12	J	A	
47. -- Fidelity Emerging Markets Fund					Sold (part)	09/17/12	J	A	
48. -- Fidelity Emerging Markets Fund					Sold	12/03/12	J	A	
49. -- Invesco Int'l Growth Fund									
50. -- Thornburg Int'l Value Fund					Sold (part)	12/03/12	J	A	
51. -- Vitrus Foreign Opportunities Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

04/22/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -- T Rowe Price High Yield Fund					Sold (part)	12/03/12	J	A	
53. -- T Rowe Price High Yield Fund					Sold	12/20/12	J	A	
54. -- Templeton Global Bond Fund					Buy (add'l)	12/03/12	J		
55. -- MFS International Diversification Fund									
56. -- Eaton Vance Tax Managed Value					Sold	04/16/12	J	A	
57. -- T Rowe Tax Free Inc Fund									
58. -- EV Parametric Structured Emerging Markets					Buy (add'l)	06/19/12	J		
59. -- EV Parametric Structured Emerging					Buy (add'l)	12/03/12	J		
60. -- Aston/River Road Dividend All Cap									
61. -- Causeway International Value					Buy (add'l)	06/18/12	J		
62. -- FMI Large Cap Fund									
63. -- Lazard Emerging Markets									
64. -- MFS Research Int'l									
65. -- Primecap Odyssey Growth Fund									
66. -- iShares TR Russell 1000 Value Index					Buy (add'l)	09/19/12	K		
67. -- iShares TR Russell 1000 Value Index					Sold (part)	12/05/12	K	A	
68. -- iShares TR Russell 100 Growth Index					Buy (add'l)	09/19/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

04/22/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -- iShares TR Russell 100 Growth Index					Sold (part)	12/05/12	K	A	
70. -- Fidelity Municipal Income					Buy (add'l)	01/31/12	J		
71. -- Fidelity Municipal Income					Buy (add'l)	09/17/12	J		
72. -- Credit Suisse Command Return Strat					Buy	01/31/12	J		
73. -- Credit Suisse Command Return Strat					Sold	06/19/12	J	A	
74. -- Goldman Sachs Commodity Strat					Buy	06/19/12	J		
75. -- Goldman Sachs Commodity Strat					Buy (add'l)	12/03/12	J		
76. -- Artisan Small Cap Fund					Buy	12/03/12	J		
77. -- Wells Fargo Short-Term Muni Inc					Buy	12/03/12	J		
78. -- iShares TR Russell 1000 Index Fund					Buy	12/05/12	J		
79. -- Vanguard Growth Vipers					Buy	12/05/12	J		
80. -- Vanguard Value Vipers					Buy	12/05/12	K		
81. TRUST #2 -- U/W [REDACTED] Art. Sixth	D	Dividend	O	T					
82. -- Burlington County NJ Bond					Sold	08/15/12	K	A	
83. -- Ocean City NJ Bond					Sold	08/01/12	K	A	
84. -- Fidelity Diversified Int'l Fund									
85. -- Fidelity Money Market Cash Reserves									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

04/22/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. -- Fidelity Advisor Mid Cap Fund II CL I					Sold (part)	05/14/12	J	B	
87. -- Fidelity Advisor Int'l Discovery Fund CL I									
88. -- Fidelity Real Estate Investment Fund					Sold (part)	05/14/12	J	B	
89. -- Fidelity Blue Chip Growth Fund									
90. -- Allianz NFJ Dividend Value Fund					Sold (part)	05/14/12	J	A	
91. -- Baron Growth Fund									
92. -- Columbia Marisco Focused Eq. CL A					Sold	08/08/12	J	C	
93. -- DWS Strategic High Yield Tax Free CL S									
94. -- Davis NY Venture CL A					Sold	11/30/12	K	D	
95. -- Diamond Hill Small Cap Fund CL A									
96. -- Franklin NJ Tax Free Income Fund A					Buy (add'l)	05/11/12	J		
97. -- Franklin NJ Tax Free Income Fund A					Buy (add'l)	08/07/12	K		
98. -- Franklin NJ Tax Free Income Fund A					Buy (add'l)	09/10/12	J		
99. -- Harbor Capital Appreciation Fund									
100. -- Hartford Capital Appreciation Fund CL A									
101. -- iShares TR Russell 100 Growth Index Fund					Buy (add'l)	11/29/12	J		
102. -- Artio Int'l Equity Fund II CL A					Sold	02/14/12	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

04/22/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. -- Mutual Series Mutual Shares CL A									
104. -- Nuveen NJ Muni Bond Fund CL A									
105. -- RS Value Fund									
106. -- T Rowe Price Mid Cap Value Fund Adv Class									
107. -- T Rowe Price Value Fund Adv Class									
108. -- Royce PA Mutual Fund									
109. -- S&P 500 Depository Receipt					Buy (add'l)	11/29/12	J		
110. -- CRM Mid Cap Value Investor Fund									
111. -- Invesco Int'l Growth Fund									
112. -- Artisan Int'l Value Fund									
113. -- American EuroPacific Growth Fund									
114. -- SSGA Emerging Markets									
115. -- Thornburg Int'l Value Fund									
116. -- AllianceBern Muni Inc II NJ Fund									
117. -- Fidelity NJ Muni Income					Buy (add'l)	02/03/12	J		
118. -- MFS International Diversification Fund									
119. -- Pimco Commodity Real Return Strat Admin					Sold	02/14/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

04/22/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -- Pimco Commodity Real Return Strat					Buy (add'l)	05/14/12	J		
121. -- Pimco Commodity Real Return Strat					Buy (add'l)	08/08/12	J		
122. -- Pimco Commodity Real Return Strat					Buy (add'l)	09/11/12	J		
123. -- Pimco Commodity Real Return Strat					Sold (part)	11/30/12	J	A	
124. -- Fidelity Advisor New Insights					Sold (part)	05/14/12	J	A	
125. -- EV Parametric Structure Emerging Markets									
126. -- T Rowe Price Tax Free Income									
127. -- Dodge & Cox Stock Fund									
128. -- iShares TR 1000 Index									
129. -- iShares TR 1000 Value Index					Buy (add'l)	11/29/12	J		
130. -- Fidelity Municipal Income					Buy (add'l)	08/07/12	K		
131. -- Goldman Sachs Commodity Strat					Sold	05/14/12	J	A	
132. -- Oakmark International									
133. -- iShares Msci EAFE Index									
134. -- iShares TR Russell Midcap Value Index									
135. -- Fidelity High Income					Buy	02/13/12	J		
136. -- Fidelity High Income					Buy (add'l)	03/18/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

04/22/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -- Fidelity High Income					Buy (add'l)	09/11/12	J		
138. -- Fidelity High Income					Sold (part)	11/30/12	J	A	
139. -- Blackrock High Yield Bond					Buy	02/14/12	J		
140. -- Blackrock High Yield Bond					Sold (part)	05/14/12	J	A	
141. -- Blackrock High Yield Bond					Sold	08/08/12	J	A	
142. -- MFS Research Int'l					Buy	02/14/12	J		
143. -- MFS Research Int'l					Sold	05/14/12	J	A	
144. -- Templeton Global Bond					Buy	08/08/12	J		
145. -- Templeton Global Bond					Buy (add'l)	09/11/12	J		
146. -- Fidelity-Short-Inter Muni					Buy	09/11/12	K		
147. -- T Rowe Price International Stock					Buy	09/11/12	J		
148. -- Wells Fargo Short-Term Muni Inc					Buy	11/30/12	J		
149. GLENMEDE IRA	E	Distribution	O	T					
150. -- Glenmede Core Fixed Income Port									
151. -- Bank of America Bond					Sold	07/16/12	K	A	
152. -- Exxon Mobil Corp									
153. -- Johnson & Johnson									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

04/22/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -- Glenmede Govt Cash Fund									
155. -- Devon Energy									
156. -- Qualcomm Corp									
157. -- NII Holdings Inc - CL B					Buy (add'l)	03/07/12	J		
158. -- NII Holdings Inc - CL B					Sold (part)	05/22/12	J	A	
159. -- NII Holdings Inc - CL B					Sold (part)	05/29/12	J	A	
160. -- NII Holdings Inc - CL B					Sold	07/10/12	J	A	
161. -- McDermott International Inc					Sold	09/13/12	J	A	
162. -- AT&T Inc									
163. -- Google Inc					Sold	10/05/12	K	D	
164. -- Google Inc					Buy	11/05/12	J		
165. -- Honeywell International Inc					Sold	10/19/12	J	B	
166. -- Nucor Corp					Sold	03/26/12	J	A	
167. -- Astrazeneca PLC Bond					Sold	09/17/12	K	A	
168. -- Exelon Corp									
169. -- Paychex Inc									
170. -- Abbott Laboratories					Sold	04/12/12	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

04/22/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -- Intel Corp					Sold	06/14/12	K	C	
172. -- Intel Corp					Buy	11/26/12	J		
173. -- Templeton Global Bond Fund									
174. -- Legg Mason Brandywine Global Fund					Buy (add'l)	09/28/12	K		
175. -- National Oilwell Varco Inc					Sold	01/27/12	J	D	
176. -- Schlumberger Ltd									
177. -- Matthews Asian & Growth Income Fund									
178. -- HJ Heinz Co									
179. -- Kellogg Co									
180. -- Glenmede Fund Inc Secured Options					Sold	04/10/12	J	A	
181. -- Target Corp					Sold	06/07/12	J	B	
182. -- Landstar Systems Inc					Sold	03/27/12	J	C	
183. -- Hess Corp					Sold	09/06/12	J	A	
184. -- E I DuPont de Nemours & Co									
185. -- PNC Financial Services Group									
186. -- Conocophillips									
187. -- Mosaic Co									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

04/22/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -- Microchip Technology Inc									
189. -- Express Scripts					Sold	02/09/12	K	A	
190. -- Glenmede Fund Inc Advisor Small Cap					Buy (add'l)	07/06/12	J		
191. -- Dupont Capital Emerging Markets					Buy (add'l)	01/19/12	J		
192. -- Dupont Capital Emerging Markets					Buy (add'l)	04/11/12	J		
193. -- Walgreen Co					Sold	04/17/12	J	A	
194. -- Cooper Industries					Sold	05/21/12	K	C	
195. -- Waters Corp					Sold	06/07/12	K	A	
196. -- Varian Medical Systems Inc					Sold	10/31/12	J	B	
197. -- 3M Co									
198. -- Walt Disney Co					Sold	05/23/12	J	C	
199. -- Goldman Sachs Group Inc					Sold	01/06/12	J	A	
200. -- JP Morgan Chase & Co					Sold	06/15/12	J	A	
201. -- Cognizant Tech Solutions					Buy (add'l)	05/10/12	J		
202. -- Avago Technologies Ltd									
203. -- Darden Restaurants Inc					Sold	09/14/12	J	C	
204. -- Emerson Electric Co					Buy	01/11/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

04/22/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -- Baker Hughes Inc					Buy	01/27/12	J		
206. -- Baker Hughes Inc					Buy (add'l)	03/26/12	J		
207. -- Baker Hughes Inc					Sold (part)	10/25/12	J	A	
208. -- American Express Co.					Buy	02/10/12	J		
209. -- Matthews Pacific Tiger Fund					Buy	04/11/12	J		
210. -- Van Eck Global Hard Assets					Buy	04/11/12	K		
211. -- Phillips 66					Spinoff (from line 186)	05/09/12	J		
212. -- Phillips 66					Sold	06/19/12	J	A	
213. -- Freeport McMoran Copper Gold Inc					Buy	05/14/12	J		
214. -- Tupperware Corp					Buy	06/04/12	J		
215. -- Novartis AG ADR					Buy	06/07/12	J		
216. -- Coach Inc					Buy	06/12/12	J		
217. -- Advance Auto Parts					Buy	06/22/12	J		
218. -- Advance Auto Parts					Sold	10/23/12	J	A	
219. -- Bed Bath & Beyond Co					Buy	06/26/12	J		
220. -- Bed Bath & Beyond Co					Sold	12/28/12	J	A	
221. -- Graco Inc					Buy	06/27/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

04/22/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -- Nike Inc					Buy	07/05/12	J		
223. -- Nike Inc					Buy (add'l)	07/23/12	J		
224. -- Apple Inc					Buy	11/21/12	J		
225. -- Apache Corp					Buy	12/05/12	J		
226. -- Coca Cola Co					Buy	12/17/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

04/22/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 19 of 19

Name of Person Reporting

Thompson, Anne E.

Date of Report

04/22/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Anne E. Thompson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544