

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Conway, John E.	2. Court or Organization U.S. District Court, NM	3. Date of Report 04/27/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address United States Courthouse 333 Lomas Blvd., NW, #740 Albuquerque, NM 87102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. Monthly		Legislative Retirement, State of New Mexico, \$7900 Annually
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Kelcher McLeod PA
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1. Visa

Credit Card

J

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of New Mexico, Albuquerque, NM	A	Interest	J	T					
2. First State Bank, Socorro, NM	A	Interest	J	T					
3. Thornburg International Value Fund Class I	A	Dividend	K	T	Sold (part)	01/01/11	J	A	
4.					Sold (part)	10/04/11	J	A	
5. Arkansas Best Corp. Delaware	A	Dividend	J	T					
6. Bed Bath & Beyond, Inc		None	K	T					
7. Costco Wholesale Corporation New	A	Dividend	K	T					
8. Southwest Airs Company	A	Dividend	J	T					
9. Walgreen Company	A	Dividend	K	T					
10. Vanguard US Growth Fund		None			Sold	01/05/11	J	A	
11. Artisan Mid Cap Fund Investor Class N/L		None			Sold	01/05/11	K	A	
12. RJ Bank Deposit Program Money Market Fund	A	Interest	J	T					
13. Delaware Diversified Income Fund Class A	B	Dividend	K	T	Buy (add'l)	01/05/11	J		
14.					Sold (part)	10/10/11	J	A	
15. Pioneer Cullen Value Fund Cl A		None			Sold	01/05/11	K	D	
16. Blackrock US Opportunities Ptl Cl A	A	Dividend	K	T	Sold (part)	01/05/11	J	A	
17.	B	Distribution			Sold (part)	10/04/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Diamond Hill Long-Short Fund Cl A		None	K	T	Buy (add'l)	01/05/11	J		
19.					Sold (part)	10/04/11	J	A	
20. Fidelity Advisor New Insights Fd Cl I		None			Sold	01/05/11	K	C	
21. Lord Abbett Developing Growth Fd Cl F		None	K	T	Sold (part)	01/05/11	K	D	
22. Victory Small Company Opportunity Fd Cl C	A	Dividend	K	T	Sold (part)	01/05/11	J	A	
23.					Sold (part)	10/04/11	J	A	
24. Blackrock Equity Dividend Fund Cl A	A	Dividend	K	T	Buy	01/05/11	K		
25.					Sold (part)	10/04/11	J	A	
26. Royce 100 Fund Service Cl N/L		None			Buy	01/05/11	K		
27.					Sold (part)	10/04/11	J	A	
28.					Sold	11/30/11	K	A	
29. John Hancock Large Cap Equity Fund Cl A	A	Dividend	K	T	Buy	01/05/11	K		
30.					Sold (part)	10/04/11	J	A	
31. John Hancock Disciplined Value MidCap Cl A	A	Dividend	K	T	Buy	01/05/11	K		
32.					Sold (part)	10/04/11	J	A	
33. TCW Small Cap Growth Fund Class I N/L		None	K	T	Buy	12/02/11	K		
34. Mutualhedge Frontier Legends Fd Cl A		None	K	T	Buy	10/11/11	K		

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35.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John E. Conway**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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