

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Trust, Alan S.	2. Court or Organization Bankruptcy Court	3. Date of Report 9/10/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 290 Federal Plaza, 9th floor Central Islip, NY 11722		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. April 2008	following dissolution of Trust.Law.Firm, P.C. on April 1, 2008, I am to receive the net profits of the firm recieved thereafter based on my sole ownership
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2016	Teaching - St Johns University School of Law	\$7,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. NY Intellectual Property Law Ass'n	3/31/16 - 4/1/16	NY, NY	guest at dinner	reimbursement for actual out of pocket travel, provided lodging and meals
2. American Conf Institute	10/26/16 - 10/27/16	NY, NY	speaker at a seminar in NYC	reimbursement for actual out of pocket travel and meals, provided lodging
3. American Conf Institute	9/26/16 - 9/27/16	NY, NY	speaker at a seminar in Dallas, TX	reimbursement for actual out of pocket travel and meals, provided lodging
4. Turnaround Mgt Ass'n	5/6/16 - 5/7/16	Uncasville, CT	speaker at a seminar in Uncasville, CT	reimbursement for actual out of pocket travel and meals, provided lodging
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Ameriprise / Columbia Cash Mgt Accounts IDSXX	A	Interest	J	T					
2. RVS Life Fixed (annuity)		None	K	T	Buy (add'l)	09/30/16	J		See Part VIII
3. DELETED									
4. RVS / COL VP High Yield Bond (annuity)		None	J	T					See Part VIII;
5. Goldman VIT MidCap Va (annuity)		None	J	T	Sold (part)	09/30/16	J		see Part VIII;
6. Fid VIP Midcap C12 (annuity)		None	K	T	Buy (add'l)	09/30/16	J		see Part VIII;
7. FT VIP Small Cap Valu (annuity)		None	J	T	Buy (add'l)	09/30/16	J		see Part VIII
8. WF Adv VT Small Cap (annuity)		None	J	T					see Part VIII
9. THDNDL /COL VP Emerg Mkts (annuity)		None	J	T	Sold (part)	09/30/16	J		see Part VIII
10. DELETED									
11. DELETED									
12. Oppenheimer Strat Bond (Strar Inc.) (annuity)		None	J	T	Sold (part)	09/30/16	J		see Part VIII
13. Alliance Bernstein American Century Equity Income (Lbrokerage)	B	Int./Div.			Sold	02/22/16	J	A	see Part VIII loss
14.									
15. Alliance Bernstein Disc Valaue (A IRA)	A	Int./Div.	K	T					
16. Mass Mutual Annuity – MML Equity		None	J	T	Sold (part)	10/03/16	J		see Part VIII
17. MML Opp Global Strategic Income		None	J	T	Sold (part)	10/03/16	J		see Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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18. Mass Mutual Annuity -- Opp Global Strategic		None	J	T					
19. Mass Mutual Annuity -- Opp Main Street Small Cap		None	K	T					
20. Mass Mutual Annuity -- Opp Main Street		None	K	T					
21. NEW Mass Mutial Oppen Discovery Midcap		None	J	T	Buy	10/03/16	J		
22. Oppenheimer Cap App (both)	B	Int./Div.	K	T	Sold (part)	06/08/16	J		see Part VIII loss
23.					Sold (part)	06/24/16	K		see Part VIII loss
24.					Buy (add'l)	10/03/16	J		
25.					Sold (part)	10/24/16	J		see Part VIII loss
26.					Sold (part)	11/01/16	J		see Part VIII loss
27.					Sold (part)	11/14/16	J		see Part VIII loss
28.					Sold (part)	12/14/16	J		see Part VIII loss
29. Oppenheimer Global Fund (both)	A	Int./Div.	K	T	Buy (add'l)	01/15/16	J		
30.					Sold (part)	06/08/16	J		see Part VIII loss
31.					Sold (part)	10/03/16	K		see Part VIII loss
32.					Sold (part)	11/01/16	K		see Part VIII loss
33.					Sold (part)	11/21/16	J	A	
34.					Sold (part)	12/14/16	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Oppenheimer Global Opportunities (both)	D	Int./Div.	M	T	Buy (add'l)	06/24/16	K		
36.					Buy (add'l)	10/24/16	J		
37.					Buy (add'l)	11/01/16	J		
38.					Buy (add'l)	10/03/16	J		
39.					Sold (part)	11/14/16	J	A	
40.					Buy (add'l)	11/21/16	J		
41. Oppenheimer Global Value (both)		None	J	T	Sold (part)	11/16/16	J	A	
42. Oppenheimer Main Street Fund (both)	A	Int./Div.	M	T	Buy (add'l)	10/03/16	J		
43.					Buy (add'l)	11/01/16	J		
44. Oppenheimer Main Street MidCap fka Small Mid Cap Select	B	Int./Div.	L	T	Sold (part)	01/04/16	J	A	
45. Oppenheimer Global Strategic Income (fka Champion Income)	A	Int./Div.	J	T					
46. Oppenheimer Global Allocation (Quest)	A	Int./Div.	J	T					
47. DELETED									
48. Oppenheimer Int'l Small Mid	A	Int./Div.	K	T	Buy (add'l)	01/04/16	J		
49. Belt West Funding Corp raw land in Dallas County		None	J	U					
50. Royal McAbee universal life insurance		None	J	T					
51. State of Israel savings bond	A	Int./Div.	J	T					

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52. Energy East	A	Int./Div.	J	T					
53. Merrill Lynch / AIG stock	A	Int./Div.	J	T					
54. Amprise TWEAX Amer Century Eq Income (both)	A	Int./Div.	K	T	Buy (add'l)	01/12/16	J		
55.					Sold (part)	09/30/16	J		see Part VIII loss
56. Amprise ODMAX Oppenheimer Developing Mkts (A IRA)	A	Int./Div.	J	T					
57. Oppenheimer Discovery	B	Int./Div.	K	T					
58. Ameriprise MDLOX Blackrock Golbal Allocation (A SPS IRA) (annuity)	A	Int./Div.	K	T					
59. Ameriprise VOYA GNAM Inc. (L brokerage sale and A SPS IRA hold)	A	Int./Div.	J	T	Sold (part)	02/01/16	J		see Part VIII loss
60.					Buy (add'l)	03/15/16	J		
61. IMNYX (Legg Mason) Western Asset Intermed NY Munis (L brokerage)	A	Int./Div.			Sold	02/01/16	J		see Part VIII loss
62. Fidelity VIP Contra (w/in annuity)		None			Sold	09/30/16	J		see Part VIII
63. Am Century / VP Value (w/in annuity)		None	K	T	Sold (part)	09/30/16	J		see Part VIII
64. CNL Lifestyles CNLIDI (w/in L IRA)	A	Int./Div.	J	T					
65. Corp Prop Assoc CPA17 (w/in L IRA)	A	Int./Div.	J	T					
66. Am Insured Mkt (w/in L IRA)	A	Int./Div.	J	T					
67. MITTX (w/in L IRA) Mass MFS Investors	A	Int./Div.	K	T					
68. ATHAX (w/in L IRA) Amer Century Heritage		None	J	T					

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69. MGIAX (w/in L IRA) MFS Int'l Value	A	Int./Div.	J	T					
70. Justice Federal accounts	A	Int./Div.	K	T					
71. Invesco Int'l Gr. Sr. (annuity)		None	J	T	Buy (add'l)	09/30/16	J		
72. MDLOX (w/in L IRA) Blackrock Global Alloc.	A	Int./Div.	J	T					
73. Oppenheimer Equity Income IRA (both)	B	Int./Div.	L	T	Sold (part)	01/04/16	J		see Part VIII
74.					Sold (part)	02/19/16	J		see Part VIII
75.					Sold (part)	06/24/16	J		see Part VIII
76.					Sold (part)	10/24/16	J		see Part VIII
77.					Sold (part)	11/01/16	J		see Part VIII
78. Oppenheimer Main St Small Cap	A	Int./Div.	K	T					
79. Scholars Edge Age Based Portfolio 10 (18+)		None	K	T	Sold (part)	01/25/16	J	A	
80.					Sold (part)	01/26/16	J	A	
81.					Sold (part)	01/28/16	J	A	
82.					Sold (part)	04/01/16	J	A	
83.					Sold (part)	06/06/16	J	A	
84.					Sold (part)	08/15/16	J	A	
85.					Sold (part)	09/02/16	J	A	

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86.					Sold (part)	09/30/16	J	A	
87. Scholars Edge Large Cap nka Dreyfus R Growth		None	J	T					
88. Scholars Edge Sm & Mid Cap nka MidCap Portfolio		None	J	T					
89. DELETED									
90. Scholars Edge Int'l Growth		None	J	T					
91. Scholars Edge Large Cap Value nka Value		None	J	T					
92. Scholars Edge Portfolio 100 fka Aggressive		None	K	T	Buy (add'l)	01/26/16	J		
93. Scholars Edge Portfolio 80 fka Moderately Aggressive		None	K	T	Buy (add'l)	01/26/16	J		
94. Scholars Edge Portfolio 60 fka Moderate		None	J	T					
95. Pimco VIT All Asset (annuity)		None	K	T					
96. Oppenheimer Deveoping Mkts Fund	A	Int./Div.	J	T	Sold (part)	01/04/16	J		see Part VIII
97. Oppenheimer Rochester Ltd Term NY Munis	A	Int./Div.	J	T	Buy (add'l)	10/24/16	J		
98.					Sold (part)	10/03/16	J		se Part VIII loss
99. Oppenheimer Money Mkt (treat as bank acct)	A	Int./Div.	J	T					
100. Oppenheimer Rochester AMT Free NY Munis	A	Int./Div.	J	T	Buy (add'l)	06/01/16	J		
101. NEW Oppenheim Rochester AMT Free	A	Int./Div.	J	T	Buy (add'l)	06/02/16	J		see Part VIII
102. US treasuries	A	Interest	K	T					

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103. AmerGen (L IRA)	A	Int./Div.	J	T					
104. Oppenheimer Small & Mid Cap Value now MidCap Value	A	Int./Div.	K	T					
105. DELETED									
106. Oppenheimer Discovery Mid Cap Growth	A	Int./Div.	L	T	Buy (add'l)	01/04/16	J		
107.					Buy (add'l)	10/03/16	J		
108. Oppenheimer Int'l Growth (L)	A	Int./Div.	J	T					see Part VIII
109. Blackrock Global Dividend (AmPrise) (A IRA)	A	Int./Div.	K	T	Sold (part)	09/30/16	J	A	
110. CNL Growth Props (AmPrise) (A IRA)	C	Int./Div.	J	T					
111. Corporate Capital (AmPrise) (A IRA)	A	Int./Div.	J	T					
112. Fidelity Advisor Growth Opp (AmPrise)	C	Int./Div.	K	T					
113. Fidelity Advisos Cons. Disc.	A	Int./Div.	K	T					
114. DELETED									
115. MFS Int'l Value (AmPrise) MGIAX (A SPS IRA)	A	Int./Div.	K	T					
116. Steelpath Opp MLP Inc (AmPrise)	B	Int./Div.	K	T	Sold (part)	09/30/16	J		see Part VIII loss
117. Templeton Global Bond (AmPrise)	A	Int./Div.			Sold	03/15/16	J		see Part VIII loss
118. DELETED									
119. AmPrise Lord Abbott Short Duration	A	Int./Div.			Sold	03/15/16	K		see Part VIII loss

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Trust, Alan S.

Date of Report

9/10/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Lord Abbott Bond Deb	A	Int./Div.			Sold	03/15/16	K		see Part VIII loss
121. DELETED									
122. Blackrock Multi Asset (L IRA)	A	Int./Div.	J	T	Buy (add'l)	09/30/16	J		
123. Deutsche Gloab Infrastructure (L IRA)	A	Int./Div.	J	T					
124. Oppen Rochester Fund Muni	A	Int./Div.	J	T					
125. Oppen Steel Path Select 40	A	Int./Div.	K	T	Buy (add'l)	11/10/16	J		
126.					Buy (add'l)	11/16/16	J		
127. Oppen SteelPath Alpha Plus	A	Int./Div.			Sold	11/16/16	J		see Part VIII loss
128. Oppen SteelPath Alpha	A	Int./Div.			Sold	11/16/16	J		see Part VIII loss
129. Oppen Portfolio Series Eq Inv.	A	Int./Div.	J	T					
130. Opp Ltd Term Bond Fund	A	Int./Div.			Sold	10/03/16	J		see Part VIII loss
131. Opp Rochester Short Term Muni	A	Int./Div.	J	T					
132. Opp Rochester High Yield Muni	A	Int./Div.	J	T	Buy	06/02/16	J		
133. Opp Equity Fund	A	Int./Div.	J	T					
134. Blackrock Gbl Allocation AmPrise (A IRA)	A	Int./Div.	K	T					
135. COL VP Bal Fund AmPrise (annuity)	A	Int./Div.	K	T					
136. COL VP Growth AmPrise (annuity)	A	Int./Div.	K	T	Buy	09/30/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Trust, Alan S.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Lord Abbott Sec Growth Leaders AmPrise	A	Int./Div.	J	T					
138. Lord Abbott Bond Deb AmPrise (both IRA)	A	Int./Div.			Sold	03/15/16	J		see Part VIII loss
139. NEW Oppenheimer Rising Dividend (both)	A	Int./Div.	K	T	Buy	10/03/16	K		
140.					Sold (part)	12/28/16	J		see Part VIII loss
141. NEW Oppenheimer Small Cap Value (L)		None	J	T	Buy	12/14/16	J		
142. NEW Oppenheimer Real Estate Fund (A)	B	Int./Div.	J	T	Buy	01/04/16	J		
143.					Buy (add'l)	10/03/16	J		
144. NEW AmPrise ALNAX AC Alt Inc. (A IRA)	A	Int./Div.	J	T	Buy	09/30/16	J		
145. NEW PPIAX Deutsche Short Duration (A SPS IRA)	A	Int./Div.	J	T	Buy	03/15/16	J		
146. NEW GSGIX Goldman Sachs Global Inc. (A SPS IRA)	A	Int./Div.	J	T	Buy	03/15/16	J		
147. NEW MFBFX MFS Corp Bond (A SPS IRA)	A	Int./Div.	J	T	Buy	03/15/16	J		
148. NEW HFXAX Catlyst Hedged Futures	A	Int./Div.	J	T	Buy	09/30/16	J		
149. NEW WFG BX Wells Growth Balanced (A SPS IRA)	A	Int./Div.	J	T	Buy	09/30/16	J		
150. NEW SSTHX Wells Short Term High Yield (A SPS IRA)	A	Int./Div.	J	T	Buy	03/15/16	J		
151.					Sold	09/30/16	J	A	
152.					Buy (add'l)	10/03/16	J		
153. NEW BHYAX Blackrock High Yield Bond	A	Int./Div.			Buy	03/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Trust, Alan S.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold	09/30/16	J	A	
155. NEW JP Morgan Growth Adv (L IRA)	A	Int./Div.	J	T	Buy	09/30/16	J		
156.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

as of 9.10.17

Lines 4 and 8 inadvertently reported as sold part in 2015 report as opposed to sold all, each was under \$15,000 (J).

Line 101 and 108 funds were inadvertently left off 2015 report each as a purchase of less than \$15,000 (J).

Lines 2, 4-12, 16-21, 49, 50, 53, 71, 79-95 -- based on information provided by the fund manager(s), no income or reinvested dividends, taxable or otherwise, was paid or attributed to any of these investments during the reporting period.

Losses were suffered for sales in lines 13, 22, 23, 25 - 28, 30-32, 55, 59, 61, 98, 116, 117, 119, 120, 127, 128, 130, 138 and 140 therefore no Gain code in D(4).

Sales in lines 12, 16, 17, 62, 63, 73-77 and 96 were exchanges within an IRA or annuity for which no gain or loss was reported and I was unable to ascertain a gain or loss with certainty.

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Trust, Alan S.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alan S. Trust

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544