

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Albritton, William H.	2. Court or Organization District Court-MD Alabama	3. Date of Report 06/06/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge-Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 1 Church Street Montgomery, AL 36104		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2016	UBS Financial Services Inc. -IRA-Distributions	\$12,200.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TV CABLE CO OF ANDALUSIA- COMMON STOCK	C	Dividend	L	W					
2.									
3. SERVISFIRST BANK ACCOUNTS	A	Interest	K	T					
4.									
5. BROKERAGE ACCOUNT #1 (H)									
6. -BLACKROCK GLOBAL ALLOCATION FD INC C		None			Sold	6/20/16	K	D	
7. -UBS Bank USA Dep Acct	A	Dividend	J	T					
8. -UNTS AAM DIV RULER PRFTO SER 2016B		None	K	T	Buy	6/21/16	K		
9.									
10. TRUST #1									
11. -UBS Bank USA Dep Acct	B	Dividend	J	T					
12. -EXXON MOBIL CORP		None	M	T					
13.									
14.									
15. TRUST #2									
16. -UBS BANK USA DEP ACCOUNT	B	Int./Div.	K	T					
17. -ALTRIA GROUP INC	A	Dividend			Sold	06/15/16	K	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -APPLE INC	A	Dividend	K	T					
19. -AT&T INC	A	Dividend			Sold	07/08/16	K	A	
20.	A	Dividend	K	T	Buy	09/02/16	K		
21. -COCA-COLA CO COM DE	A	Dividend	J	T					
22. -GENERAL ELECTRIC	B	Dividend	L	T					
23. -GLAXOSMITHKILINE PLC ADR	A	Dividend	J	T					
24. -INTL BUSINESS MACHINES CORP IBM	A	Dividend	J	T	Buy (add'l)	09/02/16	K		
25.	A	Dividend	J	T	Buy (add'l)	12/12/16	K		
26. -MERCK & CO. INC	A	Dividend			Sold	10/26/16	K	A	
27. -NEW MEDIA INVT GROUP INC (SPINOFF OF NEWCASTLE INVESTMENT CORP)	A	Distribution	J	T					
28. -NEW SENIOR INVT REIT (SPINOFF OF NEWCASTLE INVT CORP REITS)	A	Distribution			Sold	05/27/16	J	A	
29. -PFIZER INC	A	Dividend	K	T					
30. -PHILIP MORRIS INTL INC	A	Dividend			Sold	03/23/16	K	B	
31. -PPL CORPORATION	A	Dividend			Sold (part)	04/20/16	J	B	
32.	A	Dividend			Sold (part)	11/15/16	J	C	
33. -REYNOLDS AMERICAN INC	B	Dividend	K	T					

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34. -ROYAL DUTCH SHELL PLC	A	Dividend			Sold	05/27/16	J	A	
35. -VERIZON COMMUNICATIONS INC (SPINOFF OF VODAFONE GROUP PLC NEW SPON)	A	Dividend			Sold	11/16/16	J	A	
36. -VODAFONE GROUP PLC SPON ADR (FORMERLY VODAFONE GROUP PLC NEW SPON)	A	Dividend			Sold	05/27/16	J	A	
37. -W P CAREY INC REIT	A	Dividend			Sold	06/23/16	J	A	
38. -FIRST TRUST DORSEY WRIGHT FO ETF	A	Dividend	K	T					
39. -OPELIKA ALA PUB PK & REC	A	Interest	K	T					
40. -LOWNDES CNTY ALA WTS	A	Interest	K	T					
41. -MOBILE ALA PUB EDL BLDG	A	Interest			Redeemed	04/01/16	J	A	
42. -HUNTSVILLE ALA CAP IMPT	A	Interest	K	T					
43. -DAPHNE ALA WTS & IMPT	A	Interest			Redeemed (part)	04/01/16	J	A	
44.	A	Interest			Redeemed (part)	08/29/16	J	A	
45. -ALABAMA ST BRD ED REV	B	Interest	K	T					
46. -ISHARES IBOXX\$ HIGH YIELD CORP BOND FUND	B	Dividend			Sold (part)	06/03/16	J	A	
47.	A	Dividend			Sold (part)	06/20/16	K	A	
48. -SPDR BARCLAYS S/T HI YLD ETF	B	Dividend			Sold	06/20/16	L	A	
49. -JPMORGAN CHASE & CO		None	K	T	Buy	12/12/16	K		

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50. -METLIFE INC		None	K	T	Buy	12/12/16	K		
51. -ALPS ETF TR ALERIAN MLP	A	Distribution	K	T	Buy	09/02/16	K		
52. -ABBVIE INC COM	A	Dividend	J	T	Buy	08/03/16	J		
53. -BLACKROCK TAXABLE MUNICIPAL BOND TRUST	D	Dividend	M	T	Buy	06/20/16	N		
54.									
55. IRA ACCOUNT #1									
56. -UBS BANK USA DEP ACCT	A	Dividend	J	T					
57. -SPDR BARCLAYS S/T HI YLD ETF	A	Dividend			Sold	12/12/16	J	A	
58. -VANGUARD INTERMEDIATE TERM BOND ETF	A	Dividend			Sold	12/12/16	J	A	
59. -FACEBOOK INC. CL A		None	J	T	Buy	12/16/16	J		
60.									
61. IRA ACCOUNT #2									
62. -UBS BANK USA DEP ACCT	A	Dividend	J	T					
63. -APPLE INC		None	J	T					
64. -ASTRAZENECA PLC SPON ADR		None	J	T					
65. -AT&T INC		None			Sold	07/08/16	J	A	
66. -CENTURYLINK INC		None			Sold	11/16/16	J	A	

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67. -CME GROUP INC	A	Dividend	J	T					
68. -COCA COLA CO COM DE	A	Dividend	J	T					
69. -EXXON MOBIL CORP DE	A	Dividend	J	T					
70. -FIRST TRUST DORSEY WRIGHT FO ETF	A	Dividend	J	T					
71. -WELLTOWER INC REIT		None			Sold	06/23/16	J	A	
72. -HOME DEPOT INC DE		None			Sold	06/02/16	J	A	
73. -JOHNSON & JOHNSON COM	A	Dividend	J	T					
74. -REYNOLDS AMERI INC (HOLDING CO)		None	J	T					
75. -NATIONAL GRID PLC NEW SPON ADR		None			Sold	06/02/16	J	A	
76. -POWERSHARES DWA CONSUMER CYC ETF		None			Sold	03/15/16	J	A	
77. -POWERSHARES DWA CONSUMER STAPL MOMENTUM		None			Sold	03/15/16	J	A	
78. -POWERSHARES DWA HEALTHCARE M ETF		None			Sold	02/05/16	J	A	
79. -POWERSHARES DYN TECHNOLOGY		None			Sold	03/15/16	J	A	
80. -POWERSHARES DWA UTILITIES MOME		None			Buy	02/05/16	J		
81.		None			Sold	03/15/16	J	A	
82. -PFIZER INC	A	Dividend	J	T					
83. -PHILLIP MORRIS INTL INC		None			Sold	03/23/16	J	A	

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84. -PPL CORP		None			Sold	11/15/16	J	A	
85. -PROCTOR & GAMBLE CO		None	J	T					
86. -W.P. CAREY INC REIT		None			Sold	06/23/16	J	A	
87. -LOCORR MANAGED FUTURES STRATEGY FUND CL I		None			Sold	03/01/16	J	A	
88. -LORD ABBETT MULTI ASSET INCOME FUND CLASS F		None			Sold	05/24/16	J	A	
89. -VERIZON COMMUNICATIONS INC		None			Sold	11/16/16	J	A	
90. -FT NASDAQ US MA DIVERS INC ETF	A	Dividend	J	T					
91. -FIRST TR EXCHANGE-TRADED FD IC NO AMER ENERGY		None	J	T					
92. -ALPS ETF TR ALERIAN MLP DE		None	J	T	Buy	02/08/16	J		
93. -LONGBORD MANAGED FUTURES STRATEGY FUND		None			Buy	03/03/16	J		
94.		None			Sold	11/23/16	J	A	
95. -FIRST TRUST EXCHANGE TRADED FUND II- FXU		None			Buy	03/15/16	J		
96.		None			Sold (part)	08/19/16	J	A	
97.		None			Sold (part)	11/16/16	J	A	
98.		None			Sold (part)	11/16/16	J		
99. -FIRST TRUST EXCHANGE TRADED FUND II- FXZ		None			Buy	04/19/16	J		
100.		None			Sold (part)	08/03/16	J	A	

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101.		None			Sold (part)	11/15/16	J	A	
102. -FIRST TRUST EXCHANGE TRADED FUND II CONSUMER-FXG		None			Buy	03/15/16	J		
103.		None			Sold (part)	08/10/16	J		
104.		None			Sold (part)	12/09/16	J		
105. -FIRST TRUST EXCHANGE TRADED FD II-FXD		None			Buy	03/15/16	J		
106.		None			Sold	04/19/16	J	A	
107. -FIRST TRUST EXCHANGE TECHNOLOGY ALPHADAX FUND-FXL	A	Dividend	J	T	Buy	03/15/16	J		
108. -SPDR DOUBLELINE TR TACT ETF	A	Dividend	J	T	Buy	06/14/16	J		
109. -GUGGENHEIM ENHANCED SHORT DURATION ETF	A	Dividend	J	T	Buy	06/14/16	J		
110.		None			Sold (part)	12/13/16	J	A	
111. -BLACKROCK TAXABLE MUNICIPAL BOND TRUST	A	Dividend	J	T	Buy	06/20/16	J		
112. -DUKE ENERGY CORP NEW DE		None			Buy	06/24/16	J		
113.		None			Sold	11/15/16	J		
114. -VODAFONE GROUP PLC SPN ADR		None			Buy	07/12/16	J		
115.		None			Sold	11/16/16	J	A	
116. -MATTEL INC DE	A	Dividend	J	T	Buy	07/19/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
117. -FIRST TRUST INDUSTRIALS/ PRODUCER DURABLES ALPHADEX™ FUND-FXR	A	Dividend	J	T	Buy	11/15/16	J		
118. -FIRST TRUST FINANCIAL -FXO	A	Dividend	J	T	Buy	11/16/16	J		
119. -FIRST TRUST ENERGY ALPHADEX- FXN	A	Dividend	J	T	Buy	12/09/16	J		
120. -VALERO ENERGY CORP NEW DE	A	Dividend	J	T	Buy	11/16/16	J		
121. -JPMORGAN CHASE & CO DE		None	J	T	Buy	11/16/16	J		
122. -INTEL CORP DE		None	J	T	Buy	11/16/16	J		
123. -ROYAL DUTCH SHELL PLC CL A SPON ADR		None	J	T	Buy	11/16/16	J		
124. -LOCORR MANAGED FUTURES STRATEGY FUND CL I	A	Dividend	J	T	Buy	11/21/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **William H. Albritton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544