

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Greenberg, William S.	2. Court or Organization U.S. Court of Appeals for Veterans Claims	3. Date of Report 5/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 625 Indiana Avenue, NW Suite 900 Washington, DC 20004		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Chairman, Board of Trustees	Mary Sachs Charitable Trust (private foundation)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2002	Public Employee Retirement System Pension Fund, State of New Jersey, pension upon retirement age 60, no control
2. 2012	McCarter & English LLP, return of capital, no control
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 16

Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	McCarter and English LLP, return of capital	\$101,000.00
2. 2013	New Jersey Division of Pensions and Benefits, pension	\$5,945.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Self-employed pharmaceutical consultant
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 16

Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 16

Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Checking	A	Interest	M	T					
2. Brokerage Account #1									
3. -Schwab Government Money Fund	A	Interest	J	T					
4. -IN Washington Cmnty Sch Bldg Muni. Bond	C	Interest	M	T					
5. -LA State GO NC Muni. Bond	C	Interest	M	T					
6. -MN State HFA Fin. Rev. Muni. Bond	C	Interest	L	T	Sold (part)	09/01/13	J		
7.					Sold (part)	10/01/13	J		
8.					Sold (part)	11/01/13	J		
9. -SPDR S & P Mid-Cap 400 ETF Trust	C	Int./Div.	M	T	Sold (part)	07/08/13	L	E	
10. -Gateway Fund Y Shares	A	Int./Div.	K	T	Sold (part)	05/07/13	K	C	
11.					Sold (part)	10/15/13	J	B	
12.					Sold (part)	10/17/13	K	C	
13. -Merger Fund	A	Int./Div.	K	T	Sold (part)	05/07/13	K	A	
14.					Sold (part)	10/17/13	K	A	
15. -iShares Cohen & Steers Rlty (REIT)	C	Int./Div.	L	T					
16. -AMEX Energy Select Sector SPDR	C	Int./Div.	M	T					
17. -Powershares DB Commodity Index		None	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 I ~\$15,001 - \$50,000	E ~\$15,001 - \$50,000 F ~\$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$50,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 16

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Brokerage Account #2									
19. -S&P 500 Depository Receipts	A	Int./Div.	J	T					
20. -Schwab U.S. Treasury Money Fund		None	K	T					
21. Brokerage Account #3									
22. -BlackRock U.S. Treasury Money Market		None	J	T					
23. -FL Dept Mgmt Svcs Muni. Bond	B	Interest	L	T					
24. -IL Univ of IL Muni. Bond	B	Interest			Sold	03/01/13	L		
25. -MI State Hsg Dev Auth Muni. Bond	B	Interest	K	T	Sold (part)	04/01/13	J		
26.					Sold (part)	10/01/13	J		
27. -NJ State Transn Tr Fd Muni. Bond	C	Interest	L	T					
28. -PA St Tpk Commn Oil Franchise Muni. Bond	C	Interest	M	T					
29. -UT State HFA Rev Muni. Bond	A	Interest	K	T	Sold (part)	01/01/13	J		
30. -Vanguard Short Term Tax-Exempt Fund		None			Sold (part)	01/08/13	K		
31.					Sold	01/16/13	K		
32. -Nuveen Insured Muni Opportunity Fund	B	Int./Div.			Sold	07/08/13	K		
33. Brokerage Account #4									
34. -Schwab Advisor Cash Reserves - Premier	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 16

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Greenberg, William S.

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -ASML Holding NV-NY Reg Shares	A	Dividend	K	T					
36. -Adobe Systems Inc. Stock		None	L	T					
37. -Apple Inc. Stock	A	Dividend	K	T	Buy	01/08/13	K		
38.					Buy (add'l)	03/08/13	J		
39.					Buy (add'l)	09/25/13	J		
40. -Automatic Data Processing Inc. Stock	B	Dividend	L	T					
41. -Celgene Corp. Stock		None	L	T	Sold (part)	02/08/13	J	C	
42.					Sold (part)	05/15/13	J	C	
43.					Sold (part)	10/08/13	J	D	
44. -Cognizant Tech Solutions Corp. Stock		None	L	T					
45. -General Electric Stock	B	Dividend	L	T	Buy (add'l)	05/31/13	J		
46.					Buy (add'l)	09/25/13	J		
47. -EMC Corp/Mass Stock	A	Dividend	K	T					
48. -Google Stock		None	L	T					
49. -Medtronic Stock	A	Dividend	L	T					
50. -Monsanto Co. Stock	A	Dividend	K	T	Sold (part)	08/09/13	J	C	
51. -Marsh & McLennan Companies Inc. Stock	B	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 16

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52. -NetApp Inc. Stock	A	Dividend	K	T	Buy (add'l)	05/31/13	J		
53. -Intel Corp. Stock	A	Dividend			Sold	05/21/13	K	D	
54. -KLA-Tencor Corp. Stock	A	Dividend	K	T					
55. -Maxim Integrated Products Stock	A	Dividend			Sold	09/25/13	K	D	
56. -Microsoft Corp. Stock	B	Dividend	K	T					
57. -Johnson & Johnson Company Stock	B	Dividend	K	T					
58. -Qualcomm Stock	A	Dividend	L	T					
59. -Teradata Corp. Stock		None	K	T	Buy	09/25/13	K		
60.					Sold (part)	11/13/13	K		
61.					Buy (add'l)	12/20/13	K		
62. -Cisco Systems Inc. Stock	B	Dividend	K	T					
63. -Fresenius Medical Care AG-ADR Stock	A	Dividend			Sold (part)	01/09/13	J	C	
64.					Sold (part)	10/16/13	K	D	
65.					Sold	10/17/13	K	E	
66. -Amgen Stock	A	Dividend	K	T					
67. -Varian Medical Systems Inc. Stock		None	L	T	Sold (part)	10/22/13	J	D	
68.					Buy (add'l)	11/13/13	K		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 16

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69. -Cytec Ind. Inc.	A	Dividend	K	T	Buy (add'l)	04/18/13	K		
70. -Edwards Lifesciences Corp. Stock		None	K	T	Buy (add'l)	10/22/13	J		
71. -Quest Diagnostics Inc. Stock	A	Dividend	K	T	Buy (add'l)	09/25/13	J		
72. -Pall Corp. Stock	A	Dividend	L	T	Sold (part)	03/15/13	K	D	
73. -Stanley Black & Decker Inc. Stock	A	Dividend	K	T	Buy	06/18/13	J		
74.					Buy (add'l)	08/12/13	K		
75. -Cerner Corp. Stock		None	K	T	Sold (part)	03/18/13	J	D	
76. -F5 Networks Inc. Stock		None	K	T	Buy	05/28/13	K		
77.					Buy (add'l)	10/08/13	J		
78.					Buy (add'l)	11/21/13	J		
79. -Flir Systems Inc. Stock	A	Dividend	K	T					
80. -China Life Insurance Co. - ADR Stock		None			Sold (part)	06/05/13	J		
81.					Sold (part)	06/07/13	J		
82.					Sold	06/10/13	J	A	
83. -Liberty Property Trust	B	Int./Div.	K	T					
84. -Schlumberger Ltd. Stock	A	Dividend	K	T					
85. -EOG Resources Stock	A	Dividend	L	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 16

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86. -Baidu.com - ADR Stock		None			Sold	04/30/13	J		
87. -Diageo PLC - Spons ADR Stock	B	Dividend	L	T					
88. -Qiagen N. V. Stock		None	K	T	Buy (add'l)	05/31/13	J		
89. -SABMiller PLC - Spons ADR Stock	B	Dividend	L	T					
90. -Teva Pharmaceutical - Spons ADR Stock	A	Dividend			Buy (add'l)	10/30/13	K		
91.					Sold	11/04/13	K		
92. -Enterprise Products Partners L.P. interest	B	Int./Div.	L	T					
93. -Energy Transfer Partners L.P. interest	B	Int./Div.	K	T					
94. IRA #1									
95. -Schwab Government Money Fund	A	Interest	L	T					
96. -U.S. Treasury Inflation Indexed Bonds	C	Interest	M	T	Sold (part)	03/31/13	J		
97.					Buy (add'l)	06/30/13	J		
98.					Buy (add'l)	09/30/13	J		
99.					Sold (part)	12/31/13	J		
100. -FHLB U.S. Government Agency Bond	C	Interest			Sold	10/18/13	L		
101. -FNMA U.S. Government Agency Bond (Y)									
102. -W1 West Allis Muni. Bond	B	Interest	L	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 16

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103. -IN State Bd Bk Muni. Bond	A	Interest	K	T	Buy	06/19/13	K		
104. -IL Peoria Cnty Cmnty Unit SD Muni. Bond	A	Interest	K	T	Buy	06/11/13	L		
105. -MI Jackson Pub Schs GO NC Muni. Bond	A	Interest	K	T	Buy	02/21/13	K		
106. -CO Colorado Springs Util Rev Muni. Bond	B	Interest	L	T	Buy	02/05/13	L		
107. -OH Hamilton Cnty Sewer Sys Muni. Bond	C	Interest	L	T	Buy	02/15/13	L		
108. -PA Commonwealth Fing Auth Muni. Bond	B	Interest	K	T	Buy	01/23/13	L		
109. -KY Asset/Liability Commn Muni. Bond	A	Interest	K	T	Buy	02/21/13	L		
110. -PA Montgomery Cnty Muni. Bond	A	Interest	K	T	Buy	06/12/13	K		
111. -CA Univ of Cal Rev Muni. Bond	A	Interest	K	T	Buy	06/14/13	K		
112. -WA State Muni. Bond	B	Interest	L	T	Buy	06/12/13	L		
113. -Wal-Mart Stores Corporate Bond	B	Interest	L	T					
114. -UPS Corporate Bond	C	Interest	L	T	Sold (part)	01/15/13	K		
115.					Buy (add'l)	05/31/13	L		
116. -Proctor & Gamble Corporate Bond	B	Interest	L	T					
117. -Chevron Corporate Bond (Y)									
118. -SBC Communications Corporate Bond	B	Interest	K	T					
119. -GlaxoSmithKline Corporate Bond	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 16

Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -IBM Corporate Bond	B	Interest	K	T					
121. -Walt Disney Co. Corporate Bond	B	Interest	K	T	Buy	06/03/13	K		
122. -Wells Fargo & Co. Corporate Bond	A	Interest	K	T	Buy	05/29/13	K		
123. -Target Corporate Bond	A	Interest	K	T	Buy	05/30/13	K		
124. -General Electric Capital Corporate Bond	B	Interest	L	T	Buy	02/20/13	L		
125. -Berkshire Hathaway Corporate Bond	A	Interest	K	T	Buy	02/11/13	K		
126. -PIMCO Total Return Fund - Institutional	C	Int./Div.	M	T	Buy	05/21/13	N		
127. -Vanguard Bond Index - Short Term	B	Int./Div.	M	T					
128. -Vanguard S-T Investment Grade Fund	A	Int./Div.	L	T	Buy	12/09/13	M		
129. -Blackrock Funds II - Floating Rate	D	Int./Div.	N	T	Buy	05/21/13	N		
130. -iShares DJ Select Dividend Index Fund	B	Int./Div.	M	T	Buy	05/22/13	L		
131. IRA #2									
132. -Schwab Government Money Fund	A	Interest	K	T					
133. -Vanguard Bond Index - Short Term	A	Int./Div.	K	T					
134. 401(k) #1									
135. -PIMCO Total Return Fund - Institutional	D	Int./Div.			Sold (part)	04/23/13	K		
136.					Sold	05/08/13	O	F	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 16

Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Trust #1									
138. -BNY Mellon Money Market Fund		None			Sold	02/14/13	K		
139. -CRA 12 Deposit Account	C	Int./Div.	K	T	Buy	02/14/13	K		
140. -WA State Motor Vehicle Tax Zero Coupon Muni. Bond	A	Interest	K	T					
141. -Grand Prairie TX Sch Dist Zero Coupon Muni. Bond	B	Interest	K	T					
142. -Irving Tex Indpt Sch Dist Zero Coupon Muni. Bond	A	Interest	K	T					
143. -Mt. San Antonio CA Cmnty Zero Coupon Muni. Bond	A	Interest	K	T					
144. -Hopewell PA Area Sch Dist Zero Coupon Muni. Bond	B	Interest	K	T					
145. -Chicago IL Brd Ed Zero Coupon Muni. Bond	B	Interest	K	T					
146. -Ector Cnty TX Indpt Sch Dist Zero Coupon Muni. Bond	B	Interest	K	T					
147. -Phoenix AZ Indl Dev Auth Zero Coupon Muni. Bond		None	K	T					
148. -St Clair Cnty IL Pub Bldg Zero Coupon Muni. Bond	B	Interest	K	T					
149. -S&P 500 Depository Receipts	D	Int./Div.	N	T					
150. -SPDR S&P Mid-Cap 400 ETF Trust	B	Int./Div.	M	T					
151. -Dreyfus Small Cap Stock Index Fund	A	Int./Div.	M	T					
152. -Dreyfus Intl Stock Fund	B	Int./Div.	L	T					
153. -Harbor FD Intl Fund	B	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 16

Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Harris Assoc Invnt TR Oakmark Intl Fund	A	Int./Div.	L	T					
155. -Dreyfus Premier Strategic Intl Stock Fund (Y)									
156. -BNY Mellon Emerging Markets Fund - MPAM Shares	A	Int./Div.	K	T					
157. -Powershares DB Commodity Index Tracking Fund		None	L	T					
158. -AMEX Energy Select Sector SPDR Fund	B	Int./Div.	L	T					
159. Trust #2									
160. -Schwab Government Money Fund		None	J	T					
161. -Vanguard Intermediate Term Tax-Exempt Bond Fund	B	Int./Div.	L	T					
162. -S&P 500 Depository Receipts	A	Int./Div.	K	T					
163. -SPDR S&P Mid-Cap 400 ETF Trust	A	Int./Div.	K	T					
164. -Longleaf Partners Small Cap	B	Int./Div.	J	T					
165. -Baron Small Cap Fund-I	A	Int./Div.	J	T					
166. -Gateway Fund Y Shares	A	Int./Div.	J	T					
167. -AMEX Energy Select Sector SPDR	A	Int./Div.	K	T					
168. -PIMCO Commodity RR Strat-Ins	A	Int./Div.	J	T					
169. -Dreyfus Intl Stock Fund CLI	A	Int./Div.	K	T					
170. -Oakmark Intl Fund - I	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 16

Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Harbor International Fund - Inst	A	Int./Div.	K	T					
172. Pleiades Investment Partners, LP - passive LP interest		None	M	U					
173. The Vittoria Fund, LP - passive LP interest		None	M	U					
174. JBG Investment Fund VIII - passive LP interest		None	M	U					
175. WP Real Estate LLC	C	Distribution	K	U					
176. Wolfpac Technologies Inc.	F	Int./Div.	N	U					
177. BKWG, Inc.	E	Distribution	K	U					
178. McCarter & English LLP - partnership interest (Y)									
179. Northwestern Mutual Whole Life Insurance Policies	E	Dividend	N	T					
180. MassMutual Whole Life Insurance Policies	B	Dividend	K	T					
181. New York Life Whole Life Insurance Policy		None	J	T					
182. AAFMAA Whole Life Insurance Policy		None	J	T					
183. QuantaPoint		None	J	U					
184. Wells Fargo Checking (X)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 16

Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

Greenberg, William S.

Date of Report

5/15/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **William S. Greenberg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544