

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lettow, Charles F.	2. Court or Organization U.S. Court of Federal Claims	3. Date of Report 05/06/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Ct. of Fed. Claims Judge -- Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address National Courts Building 717 Madison Place, N.W. Washington, DC 20005		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. President and Director	Busy Way Farms, Inc. (Subchapter S farm entity wholly owned by family members; position is not compensated)
2. Partner	Homestead Partnership (small farm entity wholly owned by family members; position is not compensated)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1/1/1993	Cleary, Gottlieb, Steen & Hamilton retirement plan with former law firm, no control
2.	(NOTE: An opinion from the Committee on Codes of Conduct dated June 13, 2003, considers that receipt of the retirement annuity provided under this plan accords
3.	with the Code of Conduct for United States Judges.)

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Cleary, Gottlieb, Steen & Hamilton (retirement annuity)	\$816,316.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Busy Way Farms, Inc.	May 29-31, 2015	Iowa Falls, IA	trip to farms	transportation, meals & lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Morgan Stanley	Portfolio Loan Account	PI
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. (H) IRA # 1	C	Int./Div.	M	T					
2. -Citibank Dep. Prog. (transfer to Morgan Stanley Bank)	A	Interest			Closed	03/15/15	K		See Part VIII
3. -Morgan Stanley Bank (transfer from Citibank Dep. Program)	A	Interest	J	T	Open	03/15/15	K		See Part VIII
4. -AEE	B	Dividend	K	T					
5. -BGS	B	Dividend	K	T					
6. -CAT	A	Dividend	J	T					
7. -CL	A	Dividend	K	T					
8. -MWV (merge with WRK)	A	Dividend			Merged (with line 9)	07/02/15	K		
9. -WRK	A	Dividend	K	T	Sold (part)	07/02/15	J	A	
10. -SJM	A	Dividend	J	T					
11. (H) Brokerage Act. # 1									
12. -Morgan Stanley Bank	A	Interest	K	T					
13. -COF	B	Dividend	L	T					
14. -COV					Sold	01/27/15	K	D	
15. -CL	C	Dividend	M	T					
16. -KMI	A	Dividend	J	T					
17. -BBT	B	Dividend	L	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -MNK		None	J	T					
19. -MDT	A	Dividend	J	T	Buy	01/27/15	K		
20.					Sold (part)	01/27/15	J		
21. -GIS	D	Dividend	M	T					
22. -MRK	B	Dividend	K	T					
23. -MMM (3M)	C	Dividend	M	T					
24. -VZ	A	Dividend	K	T					
25. -WFC	B	Dividend	L	T					
26. -JNJ	B	Dividend	L	T					
27. (H) IRA # 2	G	Int./Div.	P1	T					
28. -Morgan Stanley Bank	A	Interest	K	T					
29. -BBT	D	Dividend	N	T					
30. -BGS	E	Dividend	N	T					
31. -CAT	B	Dividend	J	T	Sold (part)	10/22/15	L		
32. -CVX	E	Dividend	N	T					
33. -CL	D	Dividend	N	T					
34. -INGR	D	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. -GE	C	Dividend	M	T					
36. -MWV (merge with WRK)	C	Dividend			Merged (with line 37)	07/02/15	N		
37. -WRK	D	Dividend	N	T	Sold (part)	07/02/15	J	A	
38. -PKG	E	Dividend	O	T					
39. -PX	D	Dividend	M	T					
40. -SJM	D	Dividend	N	T					
41. -XOM	D	Dividend	M	T					
42. (H) Brokerage Act. # 2									
43. -Morgan Stanley Tax-Free Daily Income Trust	A	Interest	J	T					
44. -COF	E	Dividend	P1	T					
45. -WFC	C	Dividend	M	T					
46. -PKG	F	Dividend	O	T					
47. -PX	D	Dividend	N	T					
48. -SJM	D	Dividend	N	T					
49. (H) Brokerage Act. # 3									
50. -Citibank Dep. Program (transfer to Morgan Stanley Bank)	A	Interest			Closed	02/27/15	K		See Part VIII
51. -Morgan Stanley Bank (transfer from Citibank Dep Program)	A	Interest	J	T	Open	02/27/15	K		See Part VIII

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. -FITB	A	Dividend	K	T					
53. -MWV (merge with WRK)	A	Dividend			Merged (with line 54)	07/02/15	J		
54. -WRK	A	Dividend	J	T	Sold (part)	07/02/15	J	A	
55. -PX	A	Dividend	J	T					
56. (H) Brokerage Act. # 4									
57. -ML Bank Dep. Program		None	J	T					
58. -BIF Money Fund		None	J	T					
59. -LNT	A	Dividend	J	T					
60. -BPT	C	Royalty	J	T					
61. -CL	E	Dividend	O	T					
62. -XOM	D	Dividend	N	T					
63. -BBT	E	Dividend	O	T					
64. -KMI	E	Dividend	M	T					
65. (H) Brokerage Act. # 5									
66. -PEP	D	Dividend	N	T					
67. -QEP	B	Dividend	M	T					
68. -STR	D	Dividend	N	T					

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69. -USAA Money Mkt. Fund		None	J	T					
70. USAA Money Mkt. Fund	A	Dividend	J	T					
71. USAA VA Money Market Fund	A	Dividend	J	T					
72. Mass Mutual Whole Life	A	Distribution	J	U					
73. Thrivent Whole Life	A	Distribution	J	U					
74. USAA Whole Life 1	B	Distribution	K	U					
75. USAA Whole Life 2	B	Distribution	K	U					
76. Pacific Life Variable (Fixed LT Account)	E	Distribution	O	U					
77. USAA Subsc. Sav. Act.	B	Distribution	J	U					
78. Busy Way Farms	E	Int./Div.	O	U					
79. BB&T bank act	A	Interest	J	T					
80. Homestead Partnership	B	Distribution	L	U					
81. Stoneham Partners	E	Int./Div.	P2	U	Buy	07/20/15	K		
82. Vorbeck Materials pfd. stock		None	O	U					
83. Vorbeck Materials bridge loan	E	Interest	P1	U	Buy	02/06/15	N		
84.					Buy	02/21/15	N		
85.					Buy	03/23/15	N		

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86.					Buy	05/19/15	M		
87.					Buy	06/15/15	M		
88.					Buy	09/18/15	M		
89.					Buy	11/02/15	M		
90.					Buy	11/05/15	K		
91.					Buy	11/16/15	L		
92.					Buy	12/13/15	L		
93.					Buy	12/28/15	K		
94.									
95.									
96.									
97.									
98.									
99.									
100.									
101.									
102.									

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103.									
104.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Regarding lines 2, 3, 50, and 51, cash held by brokerage firm was shifted by them from one depository entity to another.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Charles F. Lettow**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544