

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Braden, Susan G.	2. Court or Organization U.S. Court of Federal Claims	3. Date of Report 08/10/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Court of Federal Claims 717 Madison Place, N.W. Washington, D.C. 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2016	American Bar Association - salary compensation
2. 2016	Ropes & Gray LLP - retirement benefits
3. 2016	American University - honorarium (\$200)
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American Law Institute	2/4/2016	Philadelphia, PA	Professional Association Advisory Committee Meeting	Transportation and meals
2. New York Property Law Association	04/01/2016-04/02/2016	New York, NY	Activity of professional association or civic organization	Transportation, lodging, and meals
3. American Law Institute	11/09/2016-11/10/2016	Philadelphia, PA	Professional Association Advisory Committee Meeting	Transportation, lodging, and meals
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	BB&T	Mortgage on rental property	N
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property, Alexandria, VA	F	Rent	P1	W					
2. Citibank	A	Interest	K	T					
3. RGIP, LLC	D	Distribution	M	U					
4. Dept. of Justice Credit Union (various accounts)	D	Interest	N	T					
5. 401(k) #1 (H)									
6. J.P. Morgan Prime Money Mkt. Fd Morgan CI (Y)									
7. Ameriprise Insured Deposit Account (IDA) (cash) (X)	A	Interest	K	T					
8. Advisors Inner Circle FDS Edgewood Growth Instl CI	A	Dividend	M	T	Buy	06/07/16	M		
9.					Buy (add'l)	12/21/16	J		
10. Artisan Intl Value	B	Dividend	M	T	Buy (add'l)	01/22/16	J		
11. Blackrock Strategic	C	Dividend	M	T					
12. Dreihaus Inc. Mut. Fd. Emerging Mkt. Act	A	Dividend	M	T					
13. Dodge & Cox Funds Int'l Stock Fd	C	Dividend			Sold	11/11/16	M		
14. FMI Funds Large Cap	C	Dividend	N	T	Buy (add'l)	01/22/16	K		
15.					Sold (part)	11/17/16	J		
16. Harding Loevner Fds Int'l Equity Portf.	B	Dividend			Buy (add'l)	01/22/16	J		
17.					Sold	06/07/16	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Investment Mgrs Serv. Oak Ridge Sml Cap Growth		None			Buy (add'l)	01/22/16	J		
19.					Sold	06/07/16	L		
20. Metropolitan West Fds. Total Return Bd Fd	D	Dividend	O	T	Sold (part)	01/22/16	K		
21.					Buy (add'l)	06/07/16	M		
22.					Sold (part)	11/17/16	M		
23. Matthews Intl Fds Asia Div. Fd.	B	Dividend	L	T	Buy (add'l)	01/22/16	K		
24. Miller Invt TR Convertible Bond Fund	B	Dividend	L	T	Buy	11/11/16	L		
25. Neuberger Berman High Income Bd Fd	D	Dividend	M	T	Buy (add'l)	01/22/16	J		
26.					Buy (add'l)	06/07/16	L		
27. Newberger Berman Core Bd Fd Intl C1	D	Dividend	N	T	Sold (part)	01/22/16	K		
28. Ridgeworth Fds Seix Flts Rate Inc. Fd	C	Dividend			Sold	06/07/16	L		
29. Third Avenue Real Est. Value Fd	A	Dividend			Sold	11/11/16	L		
30. Transamerica Intl Sml Cap Value	C	Dividend	M	T	Buy (add'l)	01/22/16	J		
31. Vanguard Index Sml Cap Index Admiral	C	Dividend	M	T	Buy (add'l)	01/22/16	J		
32. Vanguard Index 500 Index Admiral	D	Dividend	N	T					
33. Vanguard Fix Inc. Short Term Inv Grade	A	Dividend			Sold	06/07/16	K		
34. Oil & Gas Royalty (Houston & San Antonio, TX)	D	Royalty	L	W					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. U Fund College Investing Plan #1: MA Portfolio 2021	B	Dividend	K	T	Buy (add'l)	12/13/16	J		
36. U Fund College Investing Plan #2: MA Portfolio 2024	B	Dividend	K	T	Buy (add'l)	12/13/16	J		
37. U Fund College Investing Plan #3: MA Portfolio 2024	B	Dividend	K	T	Buy (add'l)	12/13/16	J		
38. U Fund College Investing Plan #4: MA Portfolio 2027	B	Dividend	K	T	Buy (add'l)	12/13/16	J		
39. U Fund College Investing Plan #5: MA Portfolio 2027	B	Dividend	K	T	Buy (add'l)	12/13/16	J		
40. U Fund College Investing Plan #6: MA Portfolio 2030	A	Dividend	J	T	Buy (add'l)	12/13/16	J		
41. U Fund College Investing Plan #7: MA Portfolio 2033	A	Dividend	J	T	Buy (add'l)	12/13/16	J		
42. Trust #1 (H)									
43. FMI Large Cap Fd	A	Dividend	K	T	Sold (part)	05/05/16	J		
44.					Buy (add'l)	12/16/16	J		
45. Vanguard 500 Index Fund	B	Dividend	L	T	Buy (add'l)	12/20/16	J		
46. Artisan Int'l Value Fd Inv.	A	Dividend	K	T	Buy (add'l)	12/07/16	J		
47. Dodge & Cox Int'l Stock Fund		None			Sold	11/11/16	K		
48. Harding Loevner Int'l Equity Fund		None			Sold	02/24/16	K		
49. Edgewood Growth Fund	A	Dividend	L	T	Buy	02/24/16	K		
50.					Buy (add'l)	05/05/16	J		
51.					Buy (add'l)	12/07/16	K		

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52.					Buy (add'l)	12/20/16	J		
53. Oak Ridge Small Cap Growth		None			Sold	05/05/16	J		
54. Vanguard Sml Cap Index Fd	A	Dividend	K	T	Buy (add'l)	05/05/16	J		
55.					Buy (add'l)	12/07/16	J		
56.					Buy (add'l)	12/20/16	J		
57. Transamerica Intl Small Cap Value Fd	A	Dividend	K	T	Buy	12/07/16	J		
58. Driehaus Emg Mkts Growth Fund	A	Dividend	K	T	Sold (part)	01/22/16	J		
59.					Buy (add'l)	12/07/16	J		
60. Matthews Asia Dividends Ins	A	Dividend	K	T	Buy (add'l)	01/22/16	J		
61.					Buy (add'l)	12/07/16	J		
62.					Buy (add'l)	12/20/16	J		
63. Miller Convertible Bond Fund	A	Dividend	J	T	Buy	11/11/16	J		
64.					Buy (add'l)	12/07/16	J		
65.					Buy (add'l)	12/20/16	J		
66. NB Muni I/T Bonds Fnd Ins.	C	Dividend	M	T	Buy (add'l)	05/05/16	J		
67.					Buy (add'l)	12/07/16	J		
68.					Buy (add'l)	12/20/16	J		

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69. Vanguard I/T T/E Fd Adm	C	Dividend	M	T	Buy (add'l)	05/05/16	J		
70.					Buy (add'l)	12/07/16	J		
71.					Buy (add'l)	12/20/16	J		
72. NB High Income Bond Fd Ins	B	Dividend	K	T	Buy (add'l)	05/05/16	J		
73. Ridgeworth Seix Floating Rate Fd Ins	A	Dividend			Sold	05/05/16	K		
74. WCM Focused International Growth Fund	A	Dividend	K	T	Buy	11/11/16	K		
75.					Buy (add'l)	12/07/16	J		
76.					Buy (add'l)	12/20/16	J		
77. Blackrock Strategic Inc. Opp Fd Ins	A	Dividend	K	T	Buy (add'l)	12/07/16	J		
78. Third Ave. Real Est. Val Isn't		None			Sold	11/11/16	J		
79. Vanguard S/T T/E Fd Adm	A	Dividend			Sold	05/05/16	J		
80. Federated Govt Obli Fd - Prm	A	Dividend	K	T					
81. Las Vegas Sands Corp. (Common Stock) (Y)									
82. ABAThru Savings 401(k) #1 (no control) (H)									
83. T. Rowe Prc Retirement 2010	D	Dividend	M	T	Sold (part)	02/22/16	J	A	
84. T. Rowe Price 2040 Fund	D	Dividend	M	T	Sold (part)	02/22/16	J	A	
85. S.SgA Russ. Sm/MD Cap Index Fund	D	Dividend	L	T	Sold (part)	02/22/16	J	A	

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 4: Consolidated entry of 2015, lines 4 and 5.

Part VII, line 6: Name correction; see 2015, line 7.

Part VII, lines 35-41: Numerical identification added to assist with account tracking; no change in holdings.

Part VII, line 43: Name correction; see 2015, line 65.

Part VII, line 47: Name correction; see 2015, line 71.

Part VII, lines 82-85: This is an employer-controlled retirement plan. The employee can choose the funds in which he is invested from a list provided by the employer's plan administrator, but the employee is not able to control transactions executed within the plan, nor is he provided with the details of transactions executed within the plan by the plan administrator. The employee receives quarterly statements identifying the allocated value and investment earnings for the funds in which he is invested (Columns A through C) Despite inquiry of the plan administrator, further information is unavailable.

2015 - Part VII, lines 38 and 78, Column D(1): Inadvertently reported as sold instead of sold (part).

2015 - Part VII, lines 64 and 93: Income and value reported on these headers were cumulative for the account as a whole; therefore Columns B through D should have been left blank. The corresponding header lines are reflected in this report on lines 42 and 82, with income and value information recorded separately for each asset within the accounts.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Susan G. Braden

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544