

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Waldor, Cathy L.	2. Court or Organization US District Court, New Jersey	3. Date of Report 06/19/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address US District Court 50 Walnut Street MLK Building Newark, NJ 07102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014	Rex Vinegar Company - self employed
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. (H) Brokerage Account #1 (44)									
2. Clearbridge Tactical Dividend Income Fund Cl C	C	Dividend	L	T					
3. Gugenheim Small Cap Value Fund Cl C	D	Dividend	K	T					
4. Mainstay Epoch Global Equity Yield Cl C	B	Dividend	L	T	Distributed (part)	12/11/14	J		
5. Principal Investors Preferred Securities Fund Cl C	B	Dividend	L	T	Distributed (part)	12/18/14	J		
6. RS Floating Rate Fund Cl C	B	Dividend	K	T					
7. Franklin Templeton Income Fund Cl C	C	Dividend	L	T					
8. Thornburg Income Builder Cl C	C	Dividend	M	T					
9. Goldman Sachs Income Builder Fund Cl C	C	Dividend	M	T	Buy (add'l)	01/15/14	J		
10.					Buy (add'l)	04/24/14	K		
11.					Buy (add'l)	05/15/14	K		
12. Market Vectors High Yield Muni ETF	B	Dividend	K	T	Distributed (part)	01/03/14	J		
13. AIG Sun America Polaris Choice IV - Annuity (X)		None	M	T	Buy (add'l)	01/21/14	K		
14.					Buy (add'l)	04/28/14	K		
15. MetLife Investors USA Inc. Co. Series L - Annuity		None	M	T					
16. American Funds Income Fund of America C	B	Dividend	L	T	Buy	05/14/14	K		
17. Eaton Vance R Bernstein All Asset Strategy Fund C		None	L	T	Buy	04/24/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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18.					Distributed (part)	12/24/14	J		
19. Integrity Williston BSN/MD-N Ameri Stock Fund C		None	K	T	Buy	05/15/14	K		
20.					Buy (add'l)	07/08/14	K		
21. Janus Balanced Fund C	B	Dividend	M	T	Buy	04/24/14	K		
22.					Buy (add'l)	05/15/14	K		
23.					Buy (add'l)	07/08/14	K		
24.					Distributed (part)	12/18/14	J		
25. JP Morgan Income Builder Class C (X)	A	Dividend	K	T					
26.					Distributed (part)	12/15/14	J		
27. Mainstay High Yield Muni Bond Fund Cl C	B	Dividend	L	T	Buy	04/24/14	L		
28. Principal Small Cap Dividend Income Fund C	A	Dividend	K	T	Buy	04/24/14	K		
29.					Distributed (part)	12/18/14	J		
30. SunAmerica Focused Dividend Strategy Fund C	A	Dividend	K	T	Buy	05/15/14	K		
31.					Distributed (part)	12/17/14	J		
32. Unts FT US EQ C/E Port Ser 12	A	Dividend	K	T	Buy	05/15/14	K		
33.									
34. (H) Brokerage Account #2 (45)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. SPDR Nuveen Barclays Short Term Bond ETF	A	Dividend	K	T					
36. iShares Trust Russell 2000 Index ETF	A	Dividend	J	T	Sold (part)	08/01/14	J	C	
37. iShares Trust Core S&P Mid Cap ETF	A	Dividend	K	T					
38. iShares TIPS Bond ETF	A	Dividend	J	T					
39. Vanguard FTSE Emerging Market ETF		None			Sold	03/05/14	J		
40. Vanguard Index Funds Vanguard Growth ETF	A	Dividend	K	T					
41. Vanguard Total Stock Market ETF	B	Dividend	L	T					
42. Vanguard Index Fund S & P 500 ETF	B	Dividend	L	T					
43. Vanguard High Divid Yield ETF		None			Sold	01/08/14	K	D	
44. Vanguard Divid Appreciation ETF	A	Dividend	K	T					
45. Vanguard Mega Cap ETF	A	Dividend	K	T					
46. Market Vectors Short Municipal Index ETF	A	Dividend	J	T					
47. Market Vectors High Yield Muni ETF	A	Dividend	K	T	Distributed (part)	01/03/14	J		
48.					Buy (add'l)	03/05/14	J		
49. First Trust Dorsey Wright FO ETF	A	Dividend	J	T	Buy	07/29/14	J		
50. Janus Triton Fund A		None			Buy	03/06/14	K		
51.					Sold	10/21/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. LINN Energy LLC	A	Dividend			Buy	01/01/14	K		
53.					Sold	03/05/14	K		
54. Principal Small Mid Cap Div P	A	Dividend	K	T	Buy	10/21/14	K		
55.					Distributed (part)	12/18/14	J		
56.									
57. (H) Brokerage Account #3 (41)									
58. Vanguard Index Funds Vanguard Growth ETF	A	Dividend	K	T	Distributed (part)	07/31/14	K		
59. Vanguard FTSE Emerging Markets ETF		None			Sold	03/05/14	J		
60. Vanguard High Divid Yield ETF SHS		None			Sold	01/08/14	L	E	
61. Vanguard Index FDS S&P 500 ETF SHS	B	Dividend	L	T	Distributed (part)	07/31/14	L		
62. Vanguard Total Stock Mkt ETF	B	Dividend	L	T	Distributed (part)	07/31/14	K		
63. Vanguard Mega Cap ETF DE	A	Dividend	K	T	Distributed (part)	07/31/14	K		
64. Vanguard Divid Appreciation ETF	A	Dividend	K	T	Distributed (part)	07/31/14	K		
65. SPDR Nuveen Barclays Short Term BD ETF	A	Dividend	K	T	Distributed (part)	07/31/14	K		
66. iShares Tips Bond ETF DE	A	Dividend	J	T	Distributed (part)	07/31/14	J		
67. iShares Trust Core S&P Mid Cap ETF	A	Dividend	K	T	Distributed (part)	07/31/14	K		
68. iShares Trust Russell 2000 Index Fund	A	Dividend	K	T	Distributed (part)	07/31/14	K		

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69. Market Vectors High Yield Muni ETF	B	Dividend	K	T	Distributed (part)	01/03/14	J		
70.					Buy (add'l)	03/05/14	J		
71.					Distributed (part)	07/31/14	J		
72. Market Vectors Trust Short Municipal Index ETF	A	Dividend	J	T	Distributed (part)	07/31/14	J		
73. Janus Triton Fund SHS	A	Dividend	K	T	Buy	03/06/14	L		
74.					Distributed (part)	07/31/14	K		
75.					Distributed (part)	12/18/14	J		
76. LINN Energy LLC	A	Dividend			Buy	01/08/14	L		
77.					Sold	03/05/14	L		
78.									
79. (H) Brokerage Account #4 (40)									
80. JPMorgan Income Builder Class C	B	Dividend			Merged (with line 188)	05/12/14	M		
81. Principal Global Diversified Income Fund	C	Dividend	L	T	Distributed (part)	12/18/14	J		
82. Clearbridge Tactical Dividend Income	B	Dividend	K	T					
83. Thornburg Income Builder Class C	B	Dividend			Merged (with line 192)	05/12/14	L		
84. Principal-Smallcap Dividend Income Funds	A	Dividend	L	T	Distributed (part)	12/18/14	J		
85. Cohen & Steers Preferred Sec & Inc Fund C	C	Dividend	L	T	Distributed (part)	12/12/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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86. Nuveen NJ Div Adv 2 Muni Fund Term Preferred Due 11/01/15	A	Dividend			Merged (with line 193)	05/12/14	L		
87. Franklin Income Fund C	C	Dividend	M	T					
88.									
89. (H) Brokerage Account #5 (51)									
90. Principal MidCap Fund Class C		None	M	T	Distributed (part)	12/18/14	J		
91. Blackrock Basic Value Fund C	A	Dividend	K	T	Distributed (part)	12/15/14	J		
92. Blackrock Global Allocation Fund Inc C	A	Dividend	L	T	Distributed (part)	12/18/14	J		
93. Franklin Templeton Mutual Global Discover Fund Cl C	B	Dividend	M	T	Distributed (part)	09/09/14	J		
94.					Distributed (part)	12/23/14	J		
95. Franklin Templeton Income C	A	Dividend	K	T					
96. American Funds American Balanced Fund Cl C	A	Dividend	K	T	Distributed (part)	03/17/14	J		
97.					Distributed (part)	12/24/14	J		
98. First Eagle Global Fund Cl C		None	L	T	Distributed (part)	12/17/14	J		
99. Ivy Asset Strategy fund Cl C	A	Dividend	L	T	Distributed (part)	12/12/14	J		
100. JP Morgan Income Builder	C	Dividend	L	T	Distributed (part)	07/28/14	K		
101.					Distributed (part)	12/15/14	J		
102. Thornburg Income Builder Cl C	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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103. Goldman Sachs Income Builder Funds C	A	Dividend	K	T	Buy	05/30/14	K		
104.									
105. (H) Brokerage Account #6 (52)									
106. Vanguard FTSE Europe ETF	A	Dividend			Sold	08/26/14	J	A	
107. Vanguard Bond Index Fund Inc. Total Bond Mkt ETF	A	Dividend	K	T	Distributed (part)	04/07/14	J		
108.					Buy	04/09/14	J		
109.					Buy	09/29/14	J		
110.					Distributed (part)	12/30/14	J		
111. iShares Trust Core S & P Small Cap ETF	A	Dividend			Sold	04/09/14	J	B	
112. iShares Russell MidCap ETF (X)	A	Dividend	J	T					
113. iShares Russell MidCap Growth ETF		None			Sold	02/20/14	J	B	
114. iShares 1-3 Credit Bond ETF	A	Dividend			Sold	04/09/14	J	A	
115. SPDR S&P 500 ETF TR	A	Dividend	K	T					
116. Riverpark/Wedgewood Retail Funds	A	Dividend	K	T	Distributed (part)	12/16/14	J		
117.					Sold (part)	12/22/14	J	A	
118. Oppenheimer Senior Floating Rate Fund Class Y (X)	A	Dividend	J	T	Sold (part)	04/09/14	J	A	
119.					Sold (part)	09/29/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Waldor, Cathy L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. FMI Large Cap Fund	A	Dividend	K	T	Distributed (part)	12/22/14	J		
121. Mainstay ICAP International Fund A	A	Dividend	K	T	Sold (part)	08/26/14	J	A	
122.					Sold (part)	08/27/14	J	A	
123. John Hancock Disciplined Value Mid Cap Fund C1 A	A	Dividend	J	T	Sold (part)	02/20/14	J	A	
124.					Distributed (part)	12/17/14	J		
125. Manning & Napier World Opportunities	A	Dividend	J	T	Sold (part)	08/26/14	J	B	
126.					Sold (part)	08/27/14	J	A	
127.					Distributed (part)	09/16/14	J		
128.					Distributed (part)	12/16/14	J		
129. Franklin Templeton Global Bond Fund FI A	A	Dividend			Distributed (part)	12/17/14	J		
130.					Sold	12/22/14	J		
131. JP Morgan Core Bond Fund	A	Dividend	J	T	Distributed (part)	12/15/14	J		
132. Virtus Multi-Sector Short Term Bond Fund CL A	A	Dividend	J	T					
133. Baird MidCap Fund Institutional Class		None	J	T	Buy	02/20/14	J		
134.					Distributed (part)	12/29/14	J		
135. Chartwell Small Cap Value I Share	A	Dividend	J	T	Buy	04/09/14	J		
136.					Distributed (part)	12/12/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Waldor, Cathy L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Gabelli Small Cap Growth Fund Class I		None	J	T	Buy	04/09/14	J		
138.					Distributed (part)	11/26/14	J		
139. iShares 7-10 Year Treasury Bond ETF	A	Dividend	J	T	Buy	12/30/14	J		
140. iShares Core MSCI Emerging Markets ETF	A	Dividend			Buy	08/26/14	J		
141.					Sold	12/22/14	J		
142. SPDR S&P Emerging Asia Pac ETF		None	J	T	Buy	08/26/14	J		
143.					Buy	08/27/14	J		
144. SPDR Barclays International Treasury ETF	A	Dividend			Buy	04/09/14	J		
145.					Sold (part)	09/23/14	J		
146.					Sold	11/06/14	J		
147. Vanguard Charlotte Funds Total International Bond Index Fund EFT	A	Dividend	J	T	Buy	09/23/14	J		
148.					Buy	11/06/14	J		
149. Vanguard Total Stock Market ETF		None	J	T	Buy	12/22/14	J		
150.									
151. (H) IRA #1 (42)									
152. First Eagle Global Fund Class C		None	L	T	Distributed (part)	12/17/14	J		
153. Blackrock Equity Dividend Fund C	A	Dividend	K	T	Distributed (part)	12/15/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Waldor, Cathy L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Blackrock Global Allocation Fund C	A	Dividend	K	T	Distributed (part)	12/18/14	J		
155. Franklin Templeton Mutual Global Discovery Fund Cl C	A	Dividend	J	T	Distributed (part)	09/09/14	J		
156.					Distributed (part)	12/23/14	J		
157. Franklin Templeton Income C	B	Dividend	K	T					
158. American funds American Balanced Fund Cl C	A	Dividend	L	T	Distributed (part)	03/17/14	J		
159.					Distributed (part)	12/24/14	J		
160.									
161. (H) IRA #2 (43)									
162. American Funds American Balanced Fund Cl C	A	Dividend	J	T	Distributed (part)	03/17/14	J		
163.					Distributed (part)	12/24/14	J		
164. Blackrock Global Allocation Fund Cl C	A	Dividend	K	T	Distributed (part)	12/18/14	J		
165.					Distributed (part)	12/19/14	J		
166.									
167. AXA Equitable Flexible Premium Variable Life Insurance #1		None	K	T					
168.									
169. AXA Equitable Flexible Premium Variable Life Insurance #2		None	M	T					
170.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Waldor, Cathy L.

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06/19/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. (H) (X) Brokerage Account #7 (29)									
172. Vanguard Index Funds Vanguard Growth ETF (X)	A	Dividend	K	T					
173. Vanguard Index FDS S&P 500 ETF SHS (X)	A	Dividend	L	T					
174. Vanguard Total Stock Mkt ETF (X)	A	Dividend	L	T					
175. Vanguard Mega Cap ETF DE (X)	A	Dividend	K	T					
176. Vanguard Divid Appreciation ETF (X)	A	Dividend	K	T					
177. SPDR Nuveen Barclays Short Term BD ETF (X)	A	Dividend	K	T					
178. iShares Tips Bond ETF DE (X)	A	Dividend	J	T					
179. iShares Trust Core S&P Mid Cap ETF (X)	A	Dividend	K	T					
180. iShares Trust Russell 2000 Index Fund (X)	A	Dividend	K	T					
181. Market Vectors High Yield Muni ETF (X)	A	Dividend	K	T					
182. Market Vectors Trust Short Municipal Index ETF (X)	A	Dividend	J	T					
183. Janus Triton Fund SHS (X)		None			Sold	10/21/14	K		
184. Principal Small Mid Cap Div	A	Dividend	K	T	Buy	10/21/14	K		
185.					Distributed (part)	12/18/14	J		
186.									
187. (H) (X) Brokerage Account #8 (46)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. JP Morgan Income Builder Class C (X)	C	Dividend	L	T	Sold (part)	10/15/14	J		
189.					Distributed (part)	12/15/14	J		
190.									
191. (H) (X) Brokerage Account #9 (71)									
192. Thornburg Income Builder Class C (X)	B	Dividend	L	T					
193. Nuveen NJ Div Adv 2 Muni Fund Term Preferred Due 11/01/15 (X)	B	Dividend			Sold	11/12/14	L		
194. Nuveen NJ Div Advantage 2% CI C	A	Dividend	M	T	Buy	11/12/14	L		
195.									
196. Santander Bank Accounts (X)	A	Int./Div.	L	T					
197.									
198. Real Estate - Rental Property - Verona, Essex County, NJ (X)	C	Rent	N	W					
199.									
200.									
201.									
202.									
203.									
204.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 13 - This same asset was reported on Line 15 of the 2013 disclosure as the Sun America Polaris Choice IV Annuity. It is now called the AIG Sun America Polaris Choice IV Annuity.

Line 25 - This asset was an inheritance. The spouse of the reporting person received this inheritance. It was a partial distribution from Brokerage Account #5, Line 100 on 07/28/14.

Line 112 - This asset was reported on Line 95 of the 2013 disclosure as the iShares Trust Russell MidCap Index Fund ETF. The asset reported here is the same although the name is slightly different.

Line 118 - This asset was reported on Line 109 of the 2013 disclosure as the Oppenheimer Senior Floating Rate Fund Class A. On 07/21/14, by non taxable exchange, this asset became the Oppenheimer Senior Floating Rate Fund Class Y. It is otherwise the same investment.

Line 171 - This Brokerage Account #7 was established in 2014.

Lines 172 through 183 - All assets were inherited by the spouse of the reporting person and transferred from Brokerage Account #3. The assets are the partial distributions identified in Brokerage Account #3 as taking place on 07/31/14. The asset names did not change.

Line 187 - This Brokerage Account #8 is an estate account established in 2014. The deceased was a family member of the reporting person's spouse.

Line 188 - This asset was transferred from Brokerage Account #4, Line 80.

Line 191 - This Brokerage Account #9 is an estate account established in 2014. The deceased was a family member of the reporting person's spouse.

Line 192 - This asset was transferred from Brokerage Account #4, Line 83.

Line 193 - This asset was transferred from Brokerage Account #4, Line 86.

Line 196 - This asset was inadvertently omitted from the 2013 disclosure.

Line 198 - This real property was converted to a rental property in 2014.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Cathy L. Waldor**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544