

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davis, Diane	2. Court or Organization Utica, NY	3. Date of Report 08/23/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 220 U.S. Courthouse 10 Broad Street Utica, New York 13501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Trust #1
2.	Co-Trustee	Trust #2
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED1. Central NY and Capital
District Bankruptcy Bar
Assns.

10/24/2014-10/25/2014 Cooperstown, NY

Bar Associations Annual
Bankruptcy Conferernee

mileage, meals, hotel

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1									
2. -Fidelity Advisors Small Cap Fund Class A	A	Int./Div.	J	T					
3. -Henderson Global Investors Intl Opport Fund A	A	Int./Div.	J	T					
4. -Mainstay Large Cap Growth Fund Class A	A	Int./Div.	J	T	Sold (part)	11/24/14	J	A	
5. -MFS Value Fund Class A	A	Int./Div.	J	T	Sold (part)	11/24/14	J	A	
6. -Pioneer Mid Cap Value Fund Class A	A	Int./Div.			Sold	12/15/14	J	A	
7. -FT Templeton Global Bond A	A	Int./Div.	J	T					
8. -PIMCO Total Return Fund Class A	A	Int./Div.	J	T	Buy (add'l)	11/25/14	J		
9. -USB Bank USA Dep Acct	A	Int./Div.	J	T					
10. -JP Morgan Core Bond Fund Select	A	Int./Div.	J	T					
11. -Virtus Multi-Sector Short Term Bond Fund Class A	A	Int./Div.	J	T					
12. -Manning & Napier FD, Inc. World Opportunities	A	Int./Div.	J	T	Sold (part)	08/27/14	J	A	
13.					Sold (part)	08/28/14	J	A	
14. -FT Templeton Global Bond A	A	Int./Div.			Sold	12/23/14	J	A	
15. -USB PACE Large Company Growth Equity Investment Fund Class	A	Int./Div.	J	T					
16. -UBS PACE Intl Emerging Mkts Equity Investment Fund Class P	A	Int./Div.	J	T					
17. -UBS PACE Large Company Value Equity Investment Fund Class P	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -UBS PACE International Equity Investment Fund Class P	A	Int./Div.	J	T					
19. -UBS PACE Small/Medium Co Value Equity Investment Fund	A	Int./Div.	J	T					
20. -UBS Global Real Estate Securities Investment Fund Class P (Y)									
21. -UBS Government Sec Fixed Income Investment Fund	A	Int./Div.	J	T					
22. -UBS Strategic Fixed Income Investment Fund Long	A	Int./Div.	J	T					
23. -UBS High Yield Investments Class P	A	Int./Div.	J	T					
24. -CRSX	A	Int./Div.	J	T					
25. -GTEYX	A	Int./Div.	J	T					
26. -FMI Large Cap Fund	A	Int./Div.	K	T					
27. -Riverpark/Wedgewood Retail Funds	A	Int./Div.	K	T					
28. -DDMSX	A	Int./Div.	J	T					
29. -UBS PACE Small/Medium Co Growth Equity Inv Class P	A	Int./Div.	J	T					
30. -UBS PACE International Fixed Investment Class P	A	Int./Div.	J	T					
31. -UBS PACE Alternative Strategies Investments Class P	A	Int./Div.	J	T					
32. -SPY	A	Int./Div.	K	T	Buy (add'l)	01/03/14	J		
33.					Sold (part)	02/25/14	J	A	
34. -JVMIX	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -BND	A	Int./Div.	K	T	Buy (add'l)	04/09/14	J		
36.					Buy (add'l)	09/29/14	J		
37. -AQMINX	A	Int./Div.	J	T					
38. -ARBFX	A	Int./Div.			Sold	11/21/14	J	A	
39. -JHAIX	A	Int./Div.	J	T					
40. -MFLDX	A	Int./Div.	J	T					
41. -UBS PACE Interim Fixed Income	A	Int./Div.	J	T					
42. -IWR	A	Int./Div.	J	T	Sold (part)	04/14/14	J	A	
43. -IWP	A	Int./Div.			Sold	02/25/14	J	A	
44. -VGK	A	Int./Div.			Sold	08/29/14	J	A	
45. -CSJ	A	Int./Div.			Sold	04/14/14	J	A	
46. -OOSYX	A	Int./Div.	J	T	Sold (part)	04/09/14	J	A	
47.					Sold (part)	09/30/14	J	A	
48. -BGCIX	A	Int./Div.	J	T	Buy (add'l)	11/21/14	J		
49. -RLSIX	A	Int./Div.	J	T					
50. -ICEUX	A	Int./Div.	J	T	Sold (part)	08/27/14	J	A	
51.					Sold (part)	08/28/14	J	A	

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52. -TGLDX (X)	A	Int./Div.	J	T					
53. -NMPAX	A	Int./Div.	J	T	Buy	12/15/14	J		
54. -IJR	A	Int./Div.			Buy	01/03/14	J		
55.					Sold	04/19/14	J	A	
56. -EFA	A	Int./Div.			Buy	01/03/14	J		
57.					Sold	08/26/14	J	A	
58. -GMF	A	Int./Div.	J	T	Buy	08/26/14	J		
59.					Buy (add'l)	08/27/14	J		
60. -BWV	A	Int./Div.			Buy	04/19/14	J		
61.					Sold (part)	09/23/14	J	A	
62.					Sold	11/16/14	J	A	
63. -VTI	A	Int./Div.	J	T	Buy	12/22/14	J		
64. -BMDIX	A	Int./Div.	J	T	Buy	02/20/14	J		
65. -CWSIX	A	Int./Div.	J	T	Buy	04/09/14	J		
66. -GACIX	A	Int./Div.	J	T	Buy	04/09/14	J		
67. -IEF	A	Int./Div.	J	T	Buy	12/22/14	J		
68. -BNDX	A	Int./Div.	J	T	Buy	09/23/14	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69.					Buy (add'l)	11/06/14	J		
70. -CLSIX	A	Int./Div.	J	T	Buy	01/03/14	J		
71. -BGLSX	A	Int./Div.	J	T	Buy	11/21/14	J		
72. Brokerage Account #2									
73. NYS Retirement Plan-No control (spouse)		None	N	T					
74. Trustco	A	Interest	J	T					
75. Keybank	A	Int./Div.	M	T					
76. SEFCU	A	Interest	K	T					
77. Citizens	A	Interest	K	T					
78. Berkshire (X)	A	Interest	K	T					
79. Trust #1	F	Int./Div.	P1	T					
80. James S. Davis -buyout of 50% int in decedent's ownership of warehouse	E	Interest	N	T					
81. -Bank Deposit Program Citibank	A	Int./Div.	J	T					
82. -XOM	A	Int./Div.	K	T					
83. -MS Liquid Asset Fund	A	Int./Div.	M	T					
84. -EEM	A	Int./Div.	J	T	Sold (part)	11/14/14	J	A	
85. -Goldman Sacs Group Corporate Bond	A	Interest	J	T					

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86. -VZ	A	Dividend	J	T					
87. -JNJ	A	Dividend	K	T					
88. -IBM	A	Dividend	K	T					
89. -MSFT	A	Dividend	J	T					
90. -MRK	A	Dividend	J	T					
91. -HD	A	Dividend	K	T					
92. -INTC	A	Dividend	J	T					
93. -UTX	A	Dividend	K	T					
94. -AXP	A	Dividend	K	T					
95. -BA	A	Dividend	K	T					
96. -T	A	Dividend	J	T					
97. -PFE	A	Dividend	J	T					
98. -JPM	A	Dividend	J	T					
99. -PG	A	Dividend	K	T					
100. -MMM	A	Dividend			Sold	02/28/14	J	C	
101. -WMT	A	Dividend	K	T					
102. -DD	A	Dividend	J	T	Sold (part)	04/17/14	J	C	

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103.					Buy (add'l)	05/05/14	J		
104.					Buy (add'l)	06/04/14	J		
105. -GE	A	Dividend	J	T					
106. -DIS	A	Dividend	K	T					
107. -KO	A	Dividend	J	T					
108. -CVX	A	Dividend	K	T					
109. -CSCO	A	Dividend	J	T					
110. -WIP	A	Dividend			Buy (add'l)	08/18/14	J		
111.					Sold	11/07/14	J	A	
112. -US Treasury Notes	B	Interest			Matured	02/03/14	J	A	
113. -CWB	A	Int./Div.			Sold (part)	07/15/14	J	B	
114.					Sold	10/14/14	J	B	
115. -WFC	A	Dividend	K	T					
116. -Concophillips	A	Interest			Matured	02/03/14	J	A	
117. -TIP	A	Int./Div.			Buy (add'l)	05/23/14	J		
118.					Buy (add'l)	08/18/14	J		
119.					Sold	11/07/14	K	A	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -TBF	A	Int./Div.			Sold	05/12/14	K	A	
121. -Proctor & Gamble Co	A	Interest	J	T					
122. -E.I. Du Pont De Nemours	A	Interest	J	T					
123. -Australian Government	A	Interest			Redeemed	10/21/14	J	A	
124. -JSOSX	A	Int./Div.	K	T	Buy (add'l)	09/25/14	J		
125. -CAT	A	Dividend	K	T					
126. -F	A	Dividend	J	T					
127. -USB	A	Dividend	J	T					
128. -UNH	A	Dividend	K	T					
129. -Sector Rotation Account	D	Int./Div.	L	T					
130. Hewlett-Packard Co Corporate Bond	A	Interest			Matured	03/03/14	J	A	
131. Walgreen Co Corporate Bond	A	Interest	J	T					
132. Kellogg Company Corporate Bond	A	Interest	J	T					
133. Conagra Foods Inc. Corporate Bond	A	Interest	J	T					
134. Apple Inc Corporate Bond	A	Interest	J	T					
135. Verizon Communications Corporate Bond	A	Interest	J	T					
136. BA Option exp 2/22/2014		None			Expired	02/22/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -IEV	A	Int./Div.			Sold	07/10/14	J	A	
138. -ILF	A	Int./Div.	J	T	Sold (part)	11/14/14	J	A	
139. -DIA	A	Int./Div.	J	T	Buy (add'l)	07/10/14	J		
140.					Sold (part)	11/14/14	J	B	
141. -FEZ	A	Int./Div.	J	T	Sold (part)	11/14/14	J	A	
142. -MDY	A	Int./Div.	J	T	Sold (part)	11/14/14	J	A	
143. -SPY	A	Int./Div.	J	T	Sold (part)	07/15/14	J	A	
144.					Sold (part)	11/14/14	J	C	
145. -VGK	A	Int./Div.	J	T	Sold (part)	11/14/14	J	A	
146. -VPL	A	Int./Div.	J	T	Buy (add'l)	07/10/14	J		
147.					Sold (part)	11/14/14	J	B	
148. -EWJ	A	Int./Div.	J	T	Sold (part)	11/14/14	J	A	
149. -MCD	A	Dividend	J	T					
150. -NKE	A	Dividend	K	T	Buy (add'l)	03/04/14	J		
151. -EWS	A	Int./Div.	J	T	Sold (part)	11/14/14	J	A	
152. -EWY	A	Int./Div.	J	T	Sold (part)	11/14/14	J	A	
153. -IJR	A	Int./Div.	J	T	Sold (part)	11/14/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -EWU	A	Int./Div.	J	T	Sold (part)	11/14/14	J	A	
155. US Treasury Bills	A	Interest	K	T	Buy	03/25/14	K		
156.					Sold	05/11/14	K	A	
157.					Buy	06/25/14	K		
158.					Sold	09/11/14	K	A	
159.					Buy	12/30/14	K		
160. Occidental Petroleum Corp corporate bond	A	Interest	J	T	Buy	11/17/14	J		
161. Caterpillar Financial corporate bond	A	Interest	J	T	Buy	11/12/14	J		
162. Proctor & Gamble corporate bond	A	Interest	J	T	Buy	06/19/14	J		
163. 3M Company corporate bond	A	Interest	J	T	Buy	06/20/14	J		
164. Walt Disney Company corporate bond	A	Interest	J	T	Buy	06/20/14	J		
165. -BAC	A	Dividend	J	T	Buy	03/04/14	J		
166. -DOW	A	Dividend	J	T	Buy	04/22/14	J		
167. -CALL UNH 12/20/14 82.5000 (X)					Closed	11/26/14	J	A	
168. -CALL HD 11/22/14 85.000 (X)					Closed	11/20/14	J	A	
169. -CALL NKE 11/22/14 85.000					Closed	11/20/14	J	A	
170. -CALL NKE OCT 80.000 (X)					Closed	09/29/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -CALL CVX 1/17/15			J	T	Open	11/18/14	J		
172. -CALL IBM APR 185.000 (X)					Closed	04/16/14	J	A	
173. Trust #2	D	Int./Div.	P1	T					
174. -Lake property Middlesec, NY, Yates County			O	W					
175. MS Liquid Asset Fund	A	Int./Div.	K	T					
176. -MUXAX	A	Dividend	K	T					
177. -HME	B	Dividend	J	T					
178. -XOM	B	Dividend	K	T					
179. -EMN	A	Dividend	J	T					
180. -Goldman Sacs Group Corporate Bond	A	Interest	J	T					
181. -TIP	A	Int./Div.			Buy (add'l)	05/23/14	J		
182.					Buy (add'l)	08/08/14	J		
183.					Sold	11/07/14	J	A	
184. -EWU	A	Int./Div.	J	T					
185. -EWJ	A	Int./Div.	J	T	Buy (add'l)	01/06/14	J		
186. -IEV	A	Int./Div.			Sold	07/15/14	J	B	
187. -IWR	A	Int./Div.	K	T	Buy (add'l)	01/06/14	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -IJH	A	Int./Div.	K	T	Buy (add'l)	01/06/14	J		
189. -IWM	A	Int./Div.	J	T	Sold (part)	01/06/14	J	C	
190. -IJR	A	Int./Div.	K	T	Sold (part)	01/06/14	J	C	
191. -EWS	A	Int./Div.	J	T					
192. -DIA	A	Int./Div.	K	T	Buy (add'l)	07/10/14	J		
193. -WIP	A	Int./Div.			Buy (add'l)	08/18/14	J		
194.					Sold	11/07/14	J	A	
195. US Treasury Notes	A	Interest	J	T	Sold (part)	01/31/14	J	A	
196. Citibank Bank Deposit Program	A	Interest	J	T					
197. -CWB	A	Int./Div.			Sold (part)	07/10/14	J	B	
198.					Sold	10/08/14	J	A	
199. -Conocophillips	A	Interest			Matured	02/03/14	J	A	
200. -EWY	A	Int./Div.	J	T					
201. -Proctor & Gamble Co	A	Interest	J	T					
202. -E.I. Du Pont De Nemours	A	Interest	J	T					
203. -Australian Government	A	Interest			Redeemed	10/21/14	J	A	
204. -JSOSX	A	Int./Div.	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -MDY	A	Int./Div.	J	T	Sold (part)	01/06/14	J	A	
206. -EEM	A	Int./Div.	J	T					
207. -IWF	A	Int./Div.	J	T					
208. -IWD	A	Int./Div.	J	T					
209. -IWO	A	Int./Div.	J	T					
210. -IWN	A	Int./Div.	J	T					
211. -LJK	A	Int./Div.	J	T					
212. -LIJ	A	Int./Div.	J	T	Sold (part)	01/06/14	J	A	
213. -TBF	A	Int./Div.			Sold	05/07/14	K	A	
214. -SPY	A	Int./Div.	K	T	Buy (add'l)	01/06/14	J		
215.					Sold (part)	07/10/14	J	A	
216. -FXV	A	Int./Div.	J	T					
217. Hewlett-Packard Co corporate bond	A	Interest			Redeemed	03/03/14	J	A	
218. Walgreen Co corporated bond	A	Interest	J	T					
219. Kellogg Company corporate bond	A	Interest	J	T					
220. Conagra Foods Inc corporate bond	A	Interest	J	T					
221. Apple Inc corporate bond	A	Interest	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Verizon Communications corporate bond	A	Interest	J	T					
223. -FNX	A	Int./Div.	J	T					
224. -ILF	A	Int./Div.	J	T					
225. -FEZ	A	Int./Div.	J	T					
226. -VGK	A	Int./Div.	J	T					
227. -VPL	A	Int./Div.	K	T	Buy (add'l)	07/10/14	J		
228. Walt Disney corporate bond	A	Interest	J	T	Buy	06/20/14	J		
229. 3M Company corporate bond	A	Interest	J	T	Buy	06/20/14	J		
230. Proctor & Gamble Company corporate bond	A	Interest	J	T	Buy	06/19/14	J		
231. Occidental Petroleum Corp corporate bond	A	Interest	J	T	Buy	11/17/14	J		
232. Caterpillar Financial corporate bond	A	Interest	J	T	Buy	11/12/14	J		
233. -Elfriede H. Davis - amounts due on maintenance of lake house property	A	Interest	J	T					
234.									
235.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART I: I serve as a Co-trustee on two trusts with [REDACTED]. The trusts were established as part of [REDACTED] estate and are for the benefit of [REDACTED]. We review the status of the trusts annually to assure they meet [REDACTED] financial needs. Upon [REDACTED] death, the balance, if any, will go to the [REDACTED] equally, including myself. [REDACTED] I have waived our right to receive our lawful commission from the trusts.

PART VII:

Line 79 indicates the aggregate total for Trust #1. All of the information listed in lines 80 through 172 are the holdings for this trust.

The Sector Rotation Account at Line 129 is an account that buys stocks in Sector Rotation style for Trust #1. All stocks within the Trust have been disclosed in Part VII.

Line 173 indicates the aggregate total for Trust #2. All of the information listed in lines 174-233 are the holdings for this trust.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Diane Davis**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544