AO 10
Rev. 1/2017

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2017

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
SEYMOUR, STEPHANIE K.	U.S. COURT OF APPEALS	08/18/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. CIRCUIT JUDGE--SENIOR	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2017 **to** 12/31/2017
7. Chambers or Office Address		
4-562 U.S. COURTHOUSE 333 WEST FOURTH TULSA, OK 74103-3877		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Vice President of Board of Directors	Crested Butte Music Festival, Crested Butte, CO
2.	Advisory Council Member	Community Foundation of Gunnison Valley, Gunnison, CO
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
SEYMOUR, STEPHANIE K.	08/18/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2017	self-employed - lawyer
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Duke Law School	4/10/2017 - 4/11/2017	Durham, NC	Judge Moot Court	air fre, lodging, food, ground transportation
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
SEYMOUR, STEPHANIE K.	08/18/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Bank of Okla. Accts	A	Interest	K	T					
2. Prosperity Bank Accounts	A	Interest	K	T					
3. UBS Accounts	A	Interest	L	T					
4. Lot, Mt. Crested Butte, CO ($81,000, 8/10/94)		None	O	R					
5. IRA #5 (H)									
6. -Double Line Total Return Fund Instl (DBLTX) (mutual fund)	A	Dividend	J	T					
7. -Loomis Sayles Investment Grade Bd Cl Y (LSIIX) (mutual fund)	A	Dividend	K	T					
8. -TCW Emerging Markets Income Fd Cl I (TGEIX) (mutual fund)	B	Dividend	K	T					
9. -Virtus Multi-Sector Short Term Bond (PIMSX) (mutual fund)	A	Dividend	K	T					
10. -IShares 7-10 Yr Treas Bond ETF (IEF) (ETF)		None			Sold	01/09/17	J	A	
11. -Blackrock Strategic Income I (BSIIX) (mutual fund)	B	Dividend	K	T					
12. -Columbia Income Opportunities FD Class Z (CIOZX) (mutual fund)	A	Dividend	J	T					
13. -Blackrock Global Long/Short Credit FD I (BGCIX) (mutual fund)	A	Dividend	K	T					
14. -Blackrock Multi-Asset Income Portfolio Instl (BIICX) (mutual fund)	A	Dividend	K	T					
15. -Lord Abbett Short Duration Income Fd (LDLFX) (mutual fund)	A	Dividend	J	T					
16. -Mainstay Short Duration High Yd Fd Class I (MDHIX) (mutual fund)	A	Dividend	J	T					
17. -Mainstay Incom Builder I (MTOIX) (mutual fund)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
SEYMOUR, STEPHANIE K.	08/18/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. -Guggenheim Macro Opportunities Fd Cl (GIOIX) (mutual fund)	A	Dividend	K	T					
19. -Vanguard Total Bond MKT (BND) (ETF)	A	Dividend	J	T	Sold (part)	12/20/17	J		
20. -Vanguard Total Intl BD (BNDX) (ETF)	A	Dividend	J	T					
21. -Invesco Core Bond Plus Fund (CPBYX) (mutual fund)	A	Dividend	K	T					
22. -SPDR Double Line TR Tact ETF (TOTL) (ETF)	A	Dividend	J	T					
23. -AB Global Bond Fund (ANAYX) (mutual fund)	A	Dividend	K	T					
24. -Invesco Floating Rate Fund Class Y (AFRYX) (mutal fund)	A	Dividend	J	T	Buy	01/09/17	J		
25. IRA #6 (H)									
26. -Double Line Total Return Fund Instl (DBLTX) (mutual fund)	B	Dividend	K	T	Sold (part)	12/20/17	J		
27. -Loomis Sayles Investment Grade Bond (LSIIX) (mutual fund)	B	Dividend	K	T	Sold (part)	12/20/17	J		
28. -TCW Emerging Markets Income Fd Class I (TGEIX) (mutual fund)	C	Dividend	L	T	Sold (part)	12/20/17	J		
29. -Virtus Multi-Sector Short Term Bond (PIMSX) (mutual fund)	B	Dividend	K	T	Sold (part)	12/20/17	J		
30. -Blackrock Strategic Income I (BSIIX) (mutual fund)	C	Dividend	L	T	Sold (part)	12/20/17	J		
31. -Columbia Income Opportunities FD (CIOZX) (mutual fund)	B	Dividend	K	T	Sold (part)	12/20/17	J	A	
32. -Blackrock Global Long/Short Credit FD I (BGCIX) (mutual fund)	A	Dividend	K	T	Sold (part)	12/20/17	J		
33. -Blackrock Multi-Asset Income Portfolio Instl (BIICX) (mutual fund)	B	Dividend	K	T	Sold (part)	12/20/17	J		
34. -IShares 7-10 Yr Treas Bd (IEF) (ETF)		None			Sold	01/09/17	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. -Lord Abbett Short Duration Inc Fd (LDLFX) (mutual fund)	B	Dividend	K	T	Sold (part)	12/20/17	J		
36. -Mainstay Short Duration High Yield Fd Cl (MDHIX (mutual fund)	B	Dividend	K	T	Sold (part)	12/20/17	J		
37. -Guggenheim Macro Opportunities Fd (GIOIX) (mutual fund)	B	Dividend	K	T	Sold (part)	12/20/17	J		
38. -Mainstay Incom Building I (MTOIX) (mutual fund)	B	Dividend	L	T	Sold (part)	12/20/17	J	A	
39. -AB Global Bond Fund Class Advisor (ANAYX) (mutual fund)	B	Dividend	K	T	Sold (part)	12/20/17	J	A	
40. -Invesco Core Bond Plus Fund Class Y (CPBYX) (mutual fund)	B	Dividend	K	T	Sold (part)	12/20/17	J		
41. -SPDR Double Line Tr Tact ETF (TOTL) (ETF)	B	Dividend	K	T	Sold (part)	12/20/17	J		
42. -Vanguard Total Bond Mkt ETF (BND) (ETF)	B	Dividend	K	T	Sold (part)	12/20/17	J		
43. -Vanguard Total Intl Bond ETF (BNDX) (ETF)	A	Dividend	K	T	Sold (part)	12/20/17	J		
44. -Invesco Floating Rate Fund Class Y (AFRYX) (mutual fund)	C	Dividend	L	T	Buy	01/09/17	L		
45.					Sold (part)	12/20/17	J		
46. Brokerage #9 (H)									
47. -Jackson Nat'l Life Ins Co Perspective L Series Class L		None	N	T					
48. -Lincoln Nat'l Choice Plus Assurance L Share		None	O	T					
49. -Lincoln Nat'l Choice Plus Assur L Share		None	O	T					
50. -Ohio Nat'l Oncore Lite II Class L		None	N	T					
51. Brokerage #10 (H)									

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52. -Blackrock Strategic Income I (BSIIX) (mutual fund)	B	Dividend	L	T					
53. -Vanguard Total Stock Market (VTI) (ETF)	C	Dividend	M	T					
54. -Powershares QQQ Trust (QQQ) (ETF)	A	Dividend	K	T	Sold (part)	09/25/17	K	D	
55. -Invesco Equally-Weighted S&P 500 (VADDX) (mutual fund)	B	Dividend	M	T	Sold (part)	09/05/17	K	C	
56. -Lord Abbett Short Duration Income Fd (LDLFX) (mutual fund)	B	Dividend	L	T	Sold (part)	12/29/17	L		
57. -Guggenheim Macro Opportunities Fd (GIOIX) (mutual fund)	B	Dividend	L	T					
58. -Davis Financial Fund Class Y (DVFYX) (mutual fund)	A	Dividend	L	T					
59. -Energy Sector SPDR Fund ETF (XLE) (ETF)	A	Dividend			Sold	05/02/17	L	B	
60. -IShares Core U.S. Aggregate Bond (AGG) (ETF)	B	Dividend			Sold	12/29/17	L		
61. -JP Morgan Small Cap Equity Bond Fund Select (VSEIX) (mutual fund)	A	Dividend	M	T					
62. -SPDR S&P 500 ETF (SPY) (ETF)	B	Dividend	L	T					
63. -Vanguard Intl Equity Index FD FTSE All World EX US (VEU) (ETF)	C	Dividend	M	T	Buy (add'l)	01/09/17	L		
64. -Invesco Floating Rate Fund Class Y (AFRYX) (mutual fund)	B	Dividend	L	T	Buy	01/09/17	L		
65. -Virtus Emerging Markets Opportunities (HIEMX (mutual fund)	A	Dividend	L	T	Buy	01/09/17	L		
66. IRA #7 (H)									
67. -Colgate Palmolive Co. (CL) (common)	A	Dividend			Sold	02/08/17	K	A	
68. -Diageo Plc New GB Spon ADR (DEO) (common)		None			Sold	02/08/17	K		

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2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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69. -Intel Corp (INTC) (common)	A	Dividend			Sold	02/08/17	K	B	
70. -Medtronic Inc (MDT) (common)	A	Dividend			Sold	02/08/17	J		
71. -Microsoft (MSFT) (common)		None			Sold	02/08/17	K	D	
72. -Nextera Energy Inc Com (NEE) (common)		None			Sold	02/08/17	K	C	
73. -Nordstrom Inc (JWN) (common)		None			Sold	02/08/17	J		
74. -Novartis AG Spon ADR (NVS) (common)		None			Sold	02/08/17	K		
75. -Starbucks Corp (SBUX) (common)	A	Dividend			Sold	02/08/17	K	D	
76. -Untd Technologies Corp (UTX) (common)		None			Sold	02/08/17	K	A	
77. -Apple Inc (AAPL) (common)		None			Sold	02/08/17	K	C	
78. -Invesco Ltd (IVZ) (common)		None			Sold	02/08/17	K		
79. -O Reilly Automotive Inc (ORLY) (common)		None			Sold	01/11/17	K	D	
80. -Union Pacific Corp (UNP) (common)		None			Sold	02/08/17	K		
81. -Accenture PLC Ireland CL (ACN) (common)		None			Sold	02/08/17	K	B	
82. -Ameriprise Financial Inc DE (AMP) (common)		None			Sold	02/08/17	K	C	
83. -Crane Co DE (CR) (common)		None			Sold	02/08/17	K	B	
84. -CVS Health Corp DE (CVS) (common)	A	Dividend			Sold	02/08/17	J		
85. -Frontier Communications Corp (FTR) (common)		None			Sold	02/08/17	J		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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86. -Home Depot Inc DE (HD) (common)		None			Sold	02/08/17	K	C	
87. -McDonalds Corp DE (MCD) (common)		None			Sold	02/08/17	K	B	
88. -Marsh & McLennan Cos. Inc (MMC) (common)	A	Dividend			Sold	02/08/17	K	C	
89. -Pepsico Inc De (PEP) (common)	A	Dividend			Sold	02/08/17	K	B	
90. -Rockwell Automation Inc (ROK) (common)		None			Sold	02/08/17	K	C	
91. -Texas Instruments (TXN) (common)	A	Dividend			Sold	02/08/17	K	D	
92. -VF Corp DE (VFC) (common)		None			Sold	02/08/17	J		
93. -Chubb Ltd Chf (CB) (common)	A	Dividend			Sold	02/08/17	K	A	
94. -Coca Cola Co (KO) (common)		None			Sold	02/08/17	K		
95. -Exxon Mobil Corp (XOM) (common)		None			Sold	01/31/17	K		
96. -General Motors Co (GM) (common)		None			Sold	02/08/17	K	B	
97. -Lockheed Martin Corp (LMT) (common)		None			Sold	02/08/17	K	A	
98. -Nike Inc Cl (NKE) (common)	A	Dividend			Sold	02/08/17	K		
99. -Praxair Inc. (PX) (common)		None			Sold	02/08/17	K		
100. -Walt Disney (Holding Co) Disney Com (DIS) (common)	A	Dividend			Sold	02/08/17	K	A	
101. -Chevron Corp De (CVX) (common)		None			Buy	01/11/17	J		
102.					Sold	02/08/17	J		

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
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H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000
3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

Name of Person Reporting	Date of Report
SEYMOUR, STEPHANIE K.	08/18/2018

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
103. -IShares MSCI Brazil Capped (EWZ) (ETF_	A	Dividend	K	T	Buy	05/17/17	K		
104. -IShares NASDAQ Biotechnology (IBB) (ETF)	A	Dividend			Buy	02/09/17	J		
105.					Buy (add'l)	03/21/17	J		
106.					Sold	05/17/17	J	A	
107. -IShares Core MSCI EAFE (IEFA) (ETF)	D	Dividend	M	T	Buy	05/17/17	M		
108. -IShares Core MSCI Emerging Market (IEMG) (ETF)	A	Dividend	K	T	Buy	05/17/17	K		
109. -IShares Core S&P Small Cap (UR) (ETF)	A	Dividend	K	T	Buy	05/17/17	K		
110. -SPDR S&P Bank (KBE) (ETF)	A	Dividend			Buy	02/09/17	K		
111.					Buy (add'l)	03/21/17	J		
112.					Sold	05/17/17	K		
113. -SPDR S&P Bank (KBE) (ETF)	A	Dividend			Buy	02/09/17	K		
114.					Buy (add'l)	03/21/17	J		
115.					Sold	05/17/17	K		
116. -IShares MSCI China (MCHI) (ETF)	A	Dividend	K	T	Buy	05/17/17	K		
117. --IShares Edge MSCI USA Momentum (MTUM) (ETF)	A	Dividend			Buy	02/09/17	J		
118.					Buy (add'l)	03/02/17	J		
119.					Sold	05/17/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
120. -IShares Edge MSCI USA Quality (QUAL) (ETF)	A	Dividend			Buy	02/09/17	J		
121.					Buy (add'l)	03/21/17	J		
122.					Sold	05/17/17	J	A	
123. -Vaneck Vectors Retail (RTH) (ETF)		None			Buy	02/09/17	J		
124.					Buy (add'l)	03/21/17	J		
125.					Sold	05/17/17	J	A	
126. -Vanguard Consumer Discretionary (VCR) (ETF)	A	Dividend			Buy	02/09/17	K		
127.					Buy (add'l)	03/21/17	J		
128.					Sold	05/17/17	L	B	
129. -Vanguard Energy (VDE) (ETF)	A	Dividend			Buy	02/09/17	K		
130.					Buy (add'l)	03/21/17	J		
131.					Sold	05/17/17	K		
132. -Vanguard Financials (VFH) (ETF)	A	Dividend			Buy	02/09/17	K		
133.					Buy (add'l)	03/21/17	J		
134.					Sold	05/17/17	K		
135. -Vanguard Information Technology (VGT) (ETF)	A	Dividend			Buy	02/09/17	L		
136.					Buy (add'l)	03/21/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
137.					Sold	05/17/17	M	D	
138. -Vanguard Health Care (VHT) (ETF)	A	Dividend			Buy	02/09/17	K		
139.					Buy (add'l)	03/21/17	J		
140.					Sold	05/17/17	L	B	
141. -Vanguard Mid Cap (VO) (ETF)	A	Dividend	L	T	Buy	05/17/17	L		
142. -Vanguard Total World STK (VT) (ETF)	A	Dividend	J	T	Buy	05/17/17	J		
143. -Vanguard Value (VTV) (ETF)	A	Dividend	K	T	Buy	05/17/17	K		
144. -Vanguard Growth (VUG) (ETF)	A	Dividend	L	T	Buy	05/17/17	K		
145. -Vanguard Large Cap (VV) (ETF)	B	Dividend	M	T	Buy	05/17/17	M		
146. -Materials Select Sector SPDR Trust I (XLB) (ETF)	A	Dividend			Buy	02/09/17	J		
147.					Buy (add'l)	03/21/17	J		
148.					Sold	05/17/17	J	A	
149. -Energy Select Sector SPDR Fund (XLE) (ETF)	A	Dividend	K	T	Buy	05/17/17	K		
150. -Industrial Select Sector SPDR Fund (XLI) (ETF)	A	Dividend			Buy	02/09/17	K		
151.					Buy (add'l)	03/21/17	J		
152.					Sold	05/17/17	K	A	
153. -Consumers Staples Select Sector SP (XLP) (ETF)	A	Dividend			Buy	02/09/17	J		

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	03/21/17	J		
155.					Sold	05/17/17	J	A	
156. Brokerage #12 (H)									
157. -Genl Elec Co. 5.250% (corp bond)	A	Interest			Redeemed	12/06/17	J		
158. -Blackrock Strategic Income I (BSIIX) (mutual fund)	B	Dividend	K	T	Sold (part)	12/01/17	J		
159. -Double Line Total Return Fd Instl (DBLTX) (mutual fund)	B	Dividend	K	T	Sold (part)	12/01/17	J		
160. -Invesco High Yield Municipal Fund Cl Y (ACTDX) (mutual fund)	B	Dividend	K	T	Sold (part)	12/01/17	J	A	
161. -Thornburg Limited Term Municipal Fd Cl I (LTMIX) (mutual fund)	A	Dividend	K	T	Sold (part)	12/01/17	J		
162.					Sold (part)	12/04/17	J		
163. -Virtus Multi-Sector Short Term Bd (PIMSX) (mutual fund)	B	Dividend	K	T	Sold (part)	12/01/17	J	A	
164.					Sold (part)	12/04/17	J		
165. -Blackrock Multi-Asset Income Portfolio Instl (BIICX) (mutual fund)	B	Dividend	K	T	Sold (part)	12/01/17	J	A	
166. -Blackrock Strategic Municipal Opportunities (MAMTX) (mutual fund)	B	Dividend	K	T	Sold (part)	12/01/17	J	A	
167. -IShares 7-10 yr Treas Bd (IEF) (ETF)		None			Sold	01/09/17	K	A	
168. -Mainstay Short Duration High Yd Fd (MDHIX) (mutual fund)	A	Dividend			Sold	10/31/17	K	A	
169. -Lord Abbett Short Duration Income Fund (LDLFX) (mutual fund)	B	Dividend	K	T	Sold (part)	12/04/17	J		
170. -Mainstay Tax Free Bond Cl I (MTBIX) (mutual fund)	B	Dividend	K	T	Sold (part)	12/01/17	J		

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
171. -Mainstay Incom Builder I (MTOIX) (mutual fund)	B	Dividend	K	T	Sold (part)	12/01/17	J	A	
172. -Loomis Sayles Investment Grade Bond Class Y (LSIIX) (mutual fund)	B	Dividend	K	T	Sold (part)	12/01/17	J		
173. -Guggenheim Macro Opportunities Fund Class (GIOX) (mutual fund)	B	Dividend	K	T	Sold (part)	12/01/17	J		
174. -TCW Emerging Markets Income Fund Class I (TGEIX) (mutual fund)	B	Dividend	K	T					
175. -AB Global Bond Fund Class Adviser (ANAYX) (mutual fund)	A	Dividend	K	T	Sold (part)	12/01/17	J	A	
176. -Invesco Core Bond Plus Fund Class Y (CPBYX) (mutual fund)	B	Dividend	K	T	Sold (part)	12/01/17	J		
177. -SPDR Doubleline TR Tact ETF (TOTL) (ETF)	B	Dividend	K	T	Sold (part)	12/01/17	J		
178. -Vanguard Total Bond Mkt ETF (BND) (ETF)	A	Dividend	K	T	Sold (part)	12/01/17	J		
179. -Invesco Floating Rate Fund Class Y (AFRYX) (mutual fund)	B	Dividend	L		Buy	01/09/17	L		
180.					Sold (part)	12/04/17	J		
181. Brokerage Account #13 (H)									
182. -Apple Inc (AAPL) (common)		None			Sold	02/06/17	J	B	
183. -Colgate Palmolive Co (CL) (common)	A	Dividend			Sold	02/06/17	J		
184. -Intel Corp (INTC) (common)	A	Dividend			Sold	02/06/17	J	A	
185. -Nordstrom Inc (JWN) (common)		None			Sold	02/06/17	J		
186. -Medtronic Inc (MDT) (common)	A	Dividend			Sold	02/06/17	J	A	
187. -Microsoft Corp (MSFT) (common)		None			Sold	02/06/17	J	B	

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2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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188. -Nextera Energy Inc Com (NEE) (common)		None			Sold	02/06/17	J	B	
189. -Novartis AG Spon ADR (NVS) (common)		None			Sold	02/06/17	J		
190. -Starbucks Corp (SBUX) (common)		None			Sold	02/06/17	J	B	
191. -Untd Technologies Corp (UTX) (common)		None			Sold	02/06/17	J	A	
192. -Invesco Ltd (IVZ) (common)		None			Sold	02/06/17	J		
193. -O Reilly Automotive Inc (ORLY) (common)		None			Sold	01/11/17	J	B	
194. -Union Pacific Corp De (UNP) (common)		None			Sold	02/06/17	J		
195. -Accenture PLC Ireland CL A (ACN) (common)		None			Sold	02/06/17	J	A	
196. -Ameriprise Financial Inc. (AMP) (common)		None			Sold	02/06/17	J	B	
197. -Crane Co De (CR) (common)		None			Sold	02/06/17	J	A	
198. -CVS Health Corp DE (CVS) (common)	A	Dividend			Sold	02/06/17	J		
199. -Diageo Plc New (DEO) (common)		None			Sold	02/06/17	J		
200. -Frontier Communications Corp (FTR) (common)		None			Sold	02/06/17	J		
201. -Home Depot Inc (HD) (common)		None			Sold	02/06/17	J	A	
202. -Marsh & McLennan Cos. Inc (MMC) (common)	A	Dividend			Sold	02/06/17	J	B	
203. -McDonalds Corp (MCD) (common)		None			Sold	02/06/17	J	A	
204. -Pepsico Inc (PEP) (common)	A	Dividend			Sold	02/06/17	J	A	

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2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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205. -Rockwell Automation Inc New (ROK) (common)		None			Sold	02/06/17	J	B	
206. -Texas Instruments (TXN) (common)	A	Dividend			Sold	02/06/17	J	B	
207. -VF Corp (VFC) (common)		None			Sold	02/06/17	J		
208. -Chubb Ltd Chf (CB) (common)	A	Dividend			Sold	02/06/17	J	A	
209. -Coca Cola Co (KO) (common)		None			Sold	02/06/17	J		
210. -Exxon Mobil Corp (XOM) (common)		None			Sold	01/31/17	J		
211. -General Motors Co (GM) (common)		None			Sold	02/06/17	J	A	
212. -Lockheed Martin Corp.(LMT) (common)		None			Sold	02/06/17	J	A	
213. -Nike Inc Cl B (NKE) (common)	A	Dividend			Sold	02/06/17	J		
214. -Praxair Inc (PX) (common)		None			Sold	02/06/17	J		
215. -Walt Disney Co (DIS) (common)	A	Dividend			Sold	02/06/17	J	A	
216. -Chevron Corp (CVX) (common)	A	Dividend			Buy	01/11/17	J		
217.					Sold	02/06/17	J		
218. -IShares NASDAQ Biotechnology (IBB) (ETF)	A	Dividend	J	T	Buy	02/06/17	J		
219. -SPDR S&P Bank (KBE) (ETF)	A	Dividend	J	T	Buy	02/06/17	J		
220. -IShares Edge MSCI USA Momentum Factor (MTUM) (ETF)	A	Dividend	J	T	Buy	02/06/17	J		
221. -IShares Edge MSCI USA Quality Factor (QUAL) (ETF)	A	Dividend	J	T	Buy	02/06/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
222. -Vaneck Vectors Retail (RTH) (ETF)	A	Dividend	J	T	Buy	02/06/17	J		
223. -Vanguard Consumer Discretionary (VCR) (ETF)	A	Dividend	K	T	Buy	02/06/17	K		
224. -Vanguard Energy (VDE) (ETF)	A	Dividend	J	T	Buy	02/06/17	J		
225. -Vanguard Financials (VFH) (ETF)	A	Dividend	K	T	Buy	02/06/17	K		
226. -Vanguard Information Technology (VGT) (ETF)	A	Dividend	L	T	Buy	02/06/17	K		
227. -Vanguard Health Care (VHT) (ETF)	A	Dividend	K	T	Buy	02/06/17	K		
228. -Materials Select Sector SPDR Trust (XLB) (ETF)	A	Dividend	J	T	Buy	02/06/17	J		
229. -Industrial Select Sector SPDR Fund (XLI) (ETF)	A	Dividend	K	T	Buy	02/06/17	K		
230. -Consumers Staples Select Sector SPDR Fund (XLP)	A	Dividend	J	T	Buy	02/06/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
SEYMOUR, STEPHANIE K.	08/18/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 156 -- Brokerage 12
The Annual Report for 2016, filed 5/11/2017, listed on lines 219 through 222 the asset "Blackrock Global Long/Short Credit Fund I (BGCIX) (mutual fund)." Line 219 of that report correctly did not list a value code in Column C(1). However, Line 222 incorrectly showed in Columns D(1) and (2) that the asset was "Sold (part)" on 12/1/2016. The transaction type that should have been listed in Column D(1) is "Sold." Thus, this asset was not held in 2017 and is properly not included in Part VII of the report for 2017. An explanation in Part VIII for its omission from the 2017 Annual Report filed 5/11/2018 was inadvertently not included in that report and the error is now corrected.

Line 158 -- Blackrock Strategic Income I (BSIIX) (mutial fund)
This asset was inadvertently omitted from the 2017 Annual Report filed 5/11/2018 and that error is corected by this Amended Report.

Name of Person Reporting	Date of Report
SEYMOUR, STEPHANIE K.	08/18/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ STEPHANIE K. SEYMOUR**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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