

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Nickerson, William M.	2. Court or Organization Maryland	3. Date of Report 10/31/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Senior St	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 101 W. Lombard Street Baltimore MD 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 12/6/93	State of Md. Judicial Pension Plan;
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. monthly	State of Md. Judicial Pension Plan	\$32,709.72
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bristol Myers Squibb cmn	B	Dividend	M	T					
2. Chevron Texaco Corp. formerly Chevron Corp. cmn	B	Dividend	L	T					
3. Coca Cola Co. cmn	C	Dividend	M	T					
4. Colgate Palmolive Co. cmn	B	Dividend	M	T					
5. DuPont, E. I. cmn	A	Dividend	J	T					
6. Equifax Inc. cmn	A	Dividend	M	T					
7. General Electric Co. cmn	B	Dividend	J	T					
8. General Mills Inc. cmn	B	Dividend	L	T					
9. Johnson & Johnson cmn	C	Dividend	M	T					
10. Merck & Co., Inc. cmn	A	Dividend	J	T					
11. Procter & Gamble Co. cmn	A	Dividend	K	T					
12. Franklin Mutual Shrs Cl Z (formerly Mutual Shares Fund)	A	Dividend	K	T					
13. Exelon Corp. cmn	A	Dividend	J	T					
14. Cheesecake Factory Inc. cmn		None	L	T					
15. Chemours Co.	A	Dividend	J	T					
16. J. Alexanders Hldgs., Inc.	A	Dividend	J	T					
17. T.R.P. Md. Tax Free Bond	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. T.R.P. Tax Exempt M/M	A	Dividend	L	T					
19. J P Morgan - Trust (H)									see VIII
20. - J P Morgan US DollarPrincipal (Form Deposit Sweep Inst'l.		None			Sold	12/16/16	J	A	
21. - JP Morgan Short Term Mun. Bd. Fd.	A	Dividend			Sold	12/16/16	K	A	
22. - Verizon Communications	A	Dividend			Sold	12/16/16	J	A	
23. - Chevron Corp.	A	Dividend			Sold	12/16/16	K	A	
24. - Dow Chemical	A	Dividend			Sold	12/16/16	K	A	
25. - Gen Elect Co	A	Dividend			Sold	12/16/16	K	A	
26. - Exxon Mobil Corp	A	Dividend			Sold	12/16/16	K	A	
27. - Altria Group, Inc.	A	Dividend			Sold	12/16/16	K	A	
28. - American Electric Power Co, Inc.	A	Dividend			Sold	12/16/16	J	A	
29. - Boeing Co.	A	Dividend			Sold	12/16/16	J	A	
30. - Bristol Meyers Squibb Co	A	Dividend			Sold	12/16/16	J	A	
31. - Consolidated Edison, Inc.	A	Dividend			Sold	12/16/16	J	A	
32. - Dominion Resources Inc VA	A	Dividend			Sold	12/16/16	J	A	
33. - Home Depot, Inc.	A	Dividend			Sold	12/16/16	J	A	
34. - McDonalds Corp.	A	Dividend			Sold	12/16/16	J	A	

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35. - Duke Energy	A	Dividend			Sold	12/16/16	J	A	
36. - Travelers Cos. Inc.	A	Dividend			Sold	12/16/16	K	A	
37. - Mondalez Int'l.	A	Dividend			Sold	12/16/16	J	A	
38. - Spectra Energy Corp.	A	Dividend			Sold	12/16/16	J	A	
39. - Pfizer Inc.	A	Dividend			Sold	12/16/16	J	A	
40. - Philip Morris Int'l., Inc.	A	Dividend			Sold	12/16/16	J	A	
41. - Kellogg Co.	A	Dividend			Sold	12/16/16	J	A	
42. - Coca-Cola Co.	A	Dividend			Sold	12/16/16	J	A	
43. - Sysco Corp.	A	Dividend			Sold	12/16/16	J	A	
44. - Yum Brands Inc.	A	Dividend			Sold	12/16/16	J	A	
45. - Delaware Emerging Mkts. Fund	A	Dividend			Sold	12/16/16	K	A	
46. - Eaton Vance Mutual Funds TR FLT RT CL 1	A	Dividend			Sold	12/16/16	K	A	
47. - JPM High Yield Fund	A	Dividend			Sold	12/16/16	J	A	
48. - Kraft Foods Group, Inc.	A	Dividend			Sold	12/16/16	J	A	
49. - T. Rowe Price New Asia Fd.	A	Dividend			Sold	12/16/16	K	A	
50. - Vanguard FTSE Europe ETF	A	Dividend			Sold	12/16/16	J	A	
51. - i Shares Core S&P Mid-Cap ETF	A	Dividend			Sold	12/16/16	J	A	

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52. - 1 Shares MSCI EAFE Index Fund	A	Dividend			Sold	12/16/16	J	A	
53. - Dodge & Cox Int'l. Stock Fund	A	Dividend			Sold	12/16/16	J	A	
54. Exxon Mobil Corp cmn	A	Dividend	K	T					
55. Intel Corp cmn	A	Dividend	K	T					
56. PNC Finl. Svs. Grp. cmn	A	Dividend	K	T					
57. Microsoft Corp cmn	A	Dividend	K	T					
58. T. Rowe Price Inc. cmn	A	Dividend	L	T					
59. Zebra Tech cmn		None	L	T					
60. Wal-Mart Stores cmn	A	Dividend	J	T					
61. Fidelity Natl. Info. Svcs. Inc. cmn	A	Dividend	K	T					
62. Zimmer Hldgs. Inc. cmn		None	J	T					
63. Smucker J M Co cmn	A	Dividend	J	T					
64. Express Scripts Hldg. Co.		None	J	T					
65. Massachusetts Mutual Ins. Co. - whole life policy	B	Dividend	L	T					
66. Navy Mutual Aid Ass'n. perm. plus life ins. policy	B	Dividend	K	T					
67. Amer. Trust Co. ACF W. Nickerson IRA (H) #									
68. - Columbia Acorn Fd. - A	A	Dividend	K	T					

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69. - Columbia High Yield Bd. Fd. Cl A	A	Dividend	K	T					
70. - 1 Shares MSCI Emerging Mkts.ETF	A	Dividend	J	T					
71. - 1 Shares Russell 1000 Value ETF	A	Dividend	L	T					
72. - 1 Shares Russell 1000 Growth ETF	A	Dividend	K	T					
73. - 1 Shares S&P Midcap400 Growth ETF	A	Dividend	K	T					
74. - 1 Shares S&P Midcap 400 Value ETF.	A	Dividend	K	T					
75. - 1 Shares Core S&P Smallcap ETF	A	Dividend	K	T					
76. - Morgan Stanley Capital Trust V	A	Dividend			Redeemed	08/18/16	K	A	
77. - Fidelity Advisor New Insights - T Fd.		None	L	T					
78. - Fidelity Advisor New Insights Cl A		None			Sold	12/19/16	J	A	
79. - MFS Intl. Diverse - A	A	Dividend	J	T					
80. - Fidelity Advisor Mid Cap II - A		None	J	T					
81. - Ameriprise Insured Money Mkt.	A	Dividend	J	T					
82. - Columbia Acorn International Fd. A	A	Dividend	J	T					
83. - Columbia Income Bldr. Cl A	A	Dividend	K	T					
84. - John Hancock Strategic Inc. Opp. Cl A	A	Dividend	K	T					
85. - Fidelity Advisor Strategic Inc. Cl A	A	Dividend	K	T					

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86. - MFS New Discovery Value Cl A	A	Dividend	K	T					
87. - First Trust Cons. Staples Alpha ETF	A	Dividend	J	T					
88. - Columbia Select Large Cap Value Cl A	A	Dividend	L	T	Sold (part)	12/19/16	J	A	
89. Amer. Trust Co. ACF W. Nickerson IRA(H) #4634									
90. - Ameriprise Insd. M/M	A	Dividend	J	T					
91. - CNL Health Care Inc. REIT	A	Dividend	L	T					
92. Columbia Mid Cap Value - A Fund	A	Dividend	K	T					
93. AIM Invesco Smallcap Equity Fd Cl A	A	Dividend	K	T					
94. Columbia Global Infrst Cl A	A	Dividend			Sold	11/02/16	K	A	
95. Fidelity Advisor Mid Cap II-A Fd.		None	K	T					
96. Fidelity Advisor New Insights A Fd.		None	K	T					
97. MFS Value - A Fd.	A	Dividend	K	T					
98. Morgan Stanley Capital Trust V	A	Dividend			Sold	08/18/16	J	A	
99. Oppenheimer Developing Mkts. - A Fd.	A	Dividend	J	T					
100. Riversource Ret. Adv. Plus Annuity [REDACTED]									
101. - VP Partner Sm Cap C13					Redeemed	11/22/16	J	A	
102. - WFADV VT Sm Ca Gr C2					Redeemed	11/22/16	J	A	

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103. - Allbern VPS Intl Val					Redeemed	11/22/16	J	A	
104. - COL Mid Cap Val C13					Redeemed	11/22/16	J	A	
105. - Fid VIP Mid Cap C1 2					Redeemed	11/22/16	J	A	
106. - COL VP Divdnd Opp C13					Redeemed	11/22/16	K	A	
107. - COL VP Emg Mkt C13					Redeemed	11/22/16	J	A	
108. - COL VP Incom Oppt C12					Redeemed	11/22/16	J	A	
109. Riversource Ret. Adv. Plus Annuity ()									
110. - VP Partner Sm Cap C13					Redeemed	11/18/16	J	A	
111. - WFADV VTG Sm Ca Gr C2					Redeemed	11/18/16	J	A	
112. - Allbern VPS Intl Val					Redeemed	11/18/16	J	A	
113. - COL Mid Cap Val C 13					Redeemed	11/18/16	J	A	
114. - Fid VIP MidCap C1 2					Redeemed	11/18/16	J	A	
115. - COL VP Divnd Opp C 13					Redeemed	11/18/16	K	A	
116. - COL VP Emg Mkt C 13					Redeemed	11/18/16	J	A	
117. - COL VP Incom Oppt C 12					Redeemed	11/18/16	J	A	
118. Investment property, Baltimore, MD		None	M	R					see VIII
119. FID NATL FINL INC NEW FNF & FNFV GRP (formerly Lender Proc. Svcs.)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Nickerson, William M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. Riversource Ret. Adv. 4 Ad. VA Annuity ()									
121. - Col VP Cont Core	A	Int./Div.	J	T					
122. - Col VP Emerging Mkt	A	Int./Div.	J	T					
123. - Col VP Lg Cap Growth	A	Int./Div.	J	T					
124. - Col VP Lg Core Quant (Y)									see VIII
125. - Col VP Select LC Val	A	Int./Div.	J	T					
126. - VP-Columb Wanger Inter	A	Int./Div.	J	T					
127. - VP-DFA International	A	Int./Div.	J	T					
128. - VP-Holland LC Growth (Y)									see VIII
129. - VP-Invesco Internat (Y)									see VIII
130. - VP-Jennison Mid Growth	A	Int./Div.	J	T					
131. - VP - Loomis Sales Grth	A	Int./Div.	J	T					
132. - VP-MFS Value	A	Int./Div.	J	T					
133. - VP-Morg Stan Global RE (Y)									see VIII
134. - VP-NFJ Dividend Value (Y)									see VIII
135. - VP-NFN Win Lrg Cap Gr	A	Int./Div.	J	T					
136. - VP-Partners Sm Cap Val	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Nickerson, William M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - VP-Partners Small Grth	A	Int./Div.	J	T					
138. - VP-Pyramis Invest Int	A	Int./Div.	J	T					
139. - VP-Pyrford Internat (Y)									see VIII
140. - VP-Sit Dividend Growth (Y)									see VIII
141. - VP Victory Estb Value	A	Int./Div.	J	T					
142. - Col VP Core Bond (Y)									see VIII
143. - Col VP Emerg Mkts Bond	A	Int./Div.	J	T					
144. - Col VP Income Opp Fund	A	Int./Div.	J	T					
145. - Col VP Lmted Duration	A	Int./Div.	J	T					
146. - Col VP US Gov Mort	A	Int./Div.	J	T					
147. - Col VP Strat Income	A	Int./Div.	J	T					
148. - VP-Am Cent Diver Bond	A	Int./Div.	J	T					
149. - VP-Eaton Vance Fl-Rate	A	Int./Div.	J	T					
150. - VP-J.P. Morgan Cor Bnd	A	Int./Div.	J	T					
151. - VP-TCW Core Plus Bond	A	Int./Div.	J	T					
152. - VP-Wells Fargo Sh Gov	A	Int./Div.	J	T					
153. - Col VP Commodity Strat	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Nickerson, William M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - VP-AQR Managed Futures	A	Int./Div.	J	T					
155. - Col VP US Equities	A	Int./Div.	J	T					
156. - Col VP Inter Bond	A	Int./Div.	J	T					
157. - Col VP Div ABS Return	A	Int./Div.	J	T					
158. - Tactical Assets	A	Int./Div.	J	T					
159. - Col VP Disc Core (X)	A	Int./Div.	J	T					see VIII
160. - VP-MFS Bld Rsch Core (X)	A	Int./Div.	J	T					see VIII
161. - VP-MorgStan Advantage (X)	A	Int./Div.	J	T					see VIII
162. - T. Rowe Lrg Cap Val (X)	A	Int./Div.	J	T					see VIII
163. - Col VP Select Inter (X)	A	Int./Div.	J	T					see VIII
164. - VP Lazard Internat (X)	A	Int./Div.	J	T					see VIII
165. - VP Oppenheimer Intl Gr (X)	A	Int./Div.	J	T					see VIII
166. - VP Center Square RE (X)									see VIII
167. - Col VP Long Gov-Credit (X)	A	Int./Div.	J	T					see VIII
168. Franklin MD Tax Free Inc. - A	A	Dividend	J	T					
169. Columbia Acorn Int'l. - A Fd.	A	Dividend	K	T					
170. Invesco LTD Term Mun Income Cl A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Pfizer, Inc.	A	Dividend			Sold	06/17/15	K	A	see VIII
172. Ameriprise Insured Money Market Fd.	A	Dividend	J	T					
173. Riversource Ret. Adv. 4 Ad. VA Annuity ()	A	Int./Div.	L	T					
174. - Col VP Cont Core	A	Int./Div.	J	T					
175. - Col VP Emerging Mkt	A	Int./Div.	J	T					
176. - Col VP Lg Cap Growth	A	Int./Div.	J	T					
177. - Col VP Lg Core Quant (Y)									see VIII
178. - Col VP Select LC Val	A	Int./Div.	J	T					
179. - VP-Columb Wanger Inter	A	Int./Div.	J	T					
180. - VP-DFA International	A	Int./Div.	J	T					
181. - VP-Holland LC Growth (Y)									see VIII
182. - VP-Invesco Internat (Y)									see VIII
183. - VP-Jennison Mid Growth	A	Int./Div.	J	T					
184. - VP-MFS Value	A	Int./Div.	J	T					
185. - VP-Morg Stan Global RE (Y)									see VIII
186. - VP-NEJ Dividend Value (Y)									see VIII
187. - VP-Nvn Win Lrg Cap Gr	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - VP-Partners Sm Cap Val	A	Int./Div.	J	T					
189. - VP-Partners Small Grth	A	Int./Div.	J	T					
190. - VP-Loomis Sayles Grth	A	Int./Div.	J	T					
191. - VP-Pyrford Internat (Y)									see VIII
192. - VP-Sit Dividend Growth (Y)									see VIII
193. - VP- Victory Estb Value	A	Int./Div.	J	T					
194. - Col VP Core Bond (Y)									see VIII
195. - Col VP Emerg Mkts Bond	A	Int./Div.	J	T					
196. - Col VP Income Opp Fund	A	Int./Div.	J	T					
197. - Col VP Lmted Duration	A	Int./Div.	J	T					
198. - Col VP US Gov Mort	A	Int./Div.	J	T					
199. - Col VP Strat Income	A	Int./Div.	J	T					
200. - VP-Am Cent Diver Bond	A	Int./Div.	J	T					
201. - VP-Eaton Vance FL-Rate	A	Int./Div.	J	T					
202. - VP-J.P. Morgan Cor Bnd	A	Int./Div.	J	T					
203. - VP-TCW Core Plus Bond	A	Int./Div.	J	T					
204. - VP-Wells Fargo Sh Gov	A	Int./Div.	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Col VP Commodity Strat	A	Int./Div.	J	T					
206. - VP-AQR Managed Futures	A	Int./Div.	J	T					
207. - VP Pyramis Internat	A	Int./Div.	J	T					
208. - Col VP Inter Bond	A	Int./Div.	J	T					
209. - Col VP Us Equities	A	Int./Div.	J	T					
210. - Col VP Div ABS Return	A	Int./Div.	J	T					
211. - Tactical Assets	A	Int./Div.	J	T					
212. - Col VP Disc Core (X)	A	Int./Div.	J	T					See VIII
213. - VP-MFS Bld Rsch Core (X)	A	Int./Div.	J	T					See VIII
214. - VP-Morg Stan Advantage (X)	A	Int./Div.	J	T					See VIII
215. - T. Rowe Lrg Cap Val (X)	A	Int./Div.	J	T					See VIII
216. - VP Oppenheimer Intl Gr (X)	A	Int./Div.	J	T					See VIII
217. - VP Center Square RE (X)	A	Int./Div.	J	T					See VIII
218. - Col VP Long Gov-Credit (X)	A	Int./Div.	J	T					See VIII
219. - Col VP Select Inter (X)	A	Int./Div.	J	T					See VIII
220. - VP Lazard Internat (X)	A	Int./Div.	J	T					See VIII
221. RiverSource RAVA5 Advantage Variable Annuity					Buy	11/21/16	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Nickerson, William M.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - Col VP Contrarian Core	A	Int./Div.	J	T					
223. - Col VP Disciplined Core	A	Int./Div.	J	T					
224. - Col VP Large Cap Growth	A	Int./Div.	J	T					
225. - Col VP Select Large Cap Growth	A	Int./Div.	J	T					
226. - Colo VP Select Large Cap Value	A	Int./Div.	J	T					
227. - VP Loomis Sayles Growth	A	Int./Div.	J	T					
228. - VP MFS Blended Research Core Equity	A	Int./Div.	J	T					
229. - VP MFS Value	A	Int./Div.	J	T					
230. - VP Morgan Stanley Advantage	A	Int./Div.	J	T					
231. - VP T. Rowe Price Large Cap Value	A	Int./Div.	J	T					
232. - VP Nuveen Winslow Large Cap Growth	A	Int./Div.	J	T					
233. - Col VP Mid Cap Growth	A	Int./Div.	J	T					
234. - Col VP Mid Cap Value	A	Int./Div.	J	T					
235. - VP Jennison Mid Cap Growth	A	Int./Div.	J	T					
236. - VP Victory Sycamore Established Value	A	Int./Div.	J	T					
237. - Col VP U.S. Equities	A	Int./Div.	J	T					
238. - VP Partners Small Cap Growth	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. - VP Partners Small Cap Value	A	Int./Div.	J	T					
240. - VP DFA International Value	A	Int./Div.	J	T					
241. - VP Lazard Intl Equity Advantage	A	Int./Div.	J	T					
242. - VP Oppenheimer International Growth	A	Int./Div.	J	T					
243. - VP Paramis International Equity	A	Int./Div.	J	T					
244. - VP American Century Diversified Bond	A	Int./Div.	J	T					
245. - Col VP Intermediate Bond	A	Int./Div.	J	T					
246. - Col VP Limited Duration Credit	A	Int./Div.	J	T					
247. - Col VP Long Government-Credit Bond	A	Int./Div.	J	T					
248. - Col VP U.S. Government Mortgage	A	Int./Div.	J	T					
249. - VP J.P. Morgan Core Bond	A	Int./Div.	J	T					
250. - VP TCW Core Plus Bond	A	Int./Div.	J	T					
251. - VP Wells Fargo Short Duration Govt	A	Int./Div.	J	T					
252. - Tactical Assets	A	Int./Div.	K	T					
253.									
254.									
255.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Nickerson, William M.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII, lines 19 - 53.

In accord with its terms, this trust terminated on 02/24/16 upon the death of a named beneficiary. The assets of the trust were liquidated to provide a cash distribution to the trust beneficiaries.

2. Part VII, line 118.

As noted in previous reports, this property was purchased on 11/07/2007 for \$110,000.00.

3. Part VII, lines 124, 128, 129, 133, 134, 139, 140, 142, 159 - 167, 177, 181, 182, 185, 186, 191, 192, 194, 212 - 220.

The annuity holdings on these lines marked with a (Y) appeared as assets held as of 12/30/15, my prior annual report, in their respective annuity contracts. They do not appear as assets held in the annuity contracts as reported to me in the year end report for 12/30/16. No Column D transaction information regarding their disposition is available to me.

The annuity holdings on these lines marked with an (X) denote acquisition in the course of the calendar year 2016. However, the specifics in regard to their acquisition, otherwise reportable in Column D is not available to me.

4. Part VII, line 171.

This asset was sold on 06/17/15 but inadvertently included as an asset held on 12/31/15.

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Name of Person Reporting

Nickerson, William M.

Date of Report

10/31/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **William M. Nickerson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544