

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tatel, David S.	2. Court or Organization U.S. Court of Appeals, DC Cir.	3. Date of Report 05/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Prettyman U.S. Courthouse 333 Constitution Ave., NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	Self-Employed (education consultant)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Spencer Foundation, Chicago, IL	1/24/13	Los Angeles, CA	Attend Retreat	Airfare, Meals, Local Transportation
2. The National Academies, Washington, D.C.	5/12/13-5/14/13	Washington, D.C.	Committee Meetings	Meals, Parking, Local Transportation
3. Spencer Foundation, Chicago, IL	10/23/13-10/24/13	Chicago, IL	Attend Lecture & Board Meeting	Airfare, Meals, Local Transportation
4. National Academy of Sciences, Washington, D.C.	11/18/13-11/19/13	Washington, D.C.	Committee Meetings	Local Transportation
5. American Society of International Law, Washington, D.C.	12/19/13	Washington, D.C.	Advisory Board Meeting	Local Transportation

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Capital One Bank- checking acct	A	Interest	K	T					
2. Starbucks Corp.	B	Dividend	M	T					
3. Standard & Poors Midcap 400	B	Dividend	M	T					
4. Federal Realty Investment Trust	B	Dividend	L	T					
5. Avalonbay Communities	B	Dividend	L	T					
6. Suncor Energy Inc.	A	Dividend	K	T					
7. Newport News, VA 5% due 11/1/15	B	Interest			Matured	11/01/13	K	A	
8. Wisconsin St 4.75% due 5/1/25	B	Interest	L	T					
9. Spring, TX Indpt Sch 5% due 8/15/33	B	Interest	K	T					
10. Schwab Advisors Cash Reserves Premier Fund	A	Dividend	K	T	Buy (add'l)	12/31/13	K		
11. DFA Emerging Markets Core Equity Portfolio	A	Dividend	J	T					
12. DFA Emerging Markets Value Portfolio	A	Dividend	K	T					
13. DFA International Small Cap Value Portfolio	A	Dividend	J	T					
14. DFA T.A. U.S. Core Equity 2 Portfolio	A	Dividend	K	T					
15. DFA US Core Equity 2 Portfolio (X)	A	Dividend	K	T					
16. DFA Short-Term Govt Portfolio (X)		None			Sold	04/19/13	J	A	
17. DFA Five-Year Global Fixed Income Portfolio (X)		None			Sold	05/01/13	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. DFA Inflation-Protected Securities Portfolio (X)		None			Sold	05/01/13	J	A	
19. DFA Short-Term Extended Quality Portfolio (X)	A	Dividend			Sold	10/16/13	K	A	
20. Vanguard Short-Term Investment Grade (X)	A	Distribution			Sold	10/16/13	K	A	
21. Simplified Employee Pension Plan (SEP-IRA)	C	Int./Div.	M	T					
22. --Schwab Advisors Cash Reserve Premier Fund									
23. --DFA Actively Hedged Global Fixed Income Portfolio									
24. --DFA International Core Equity Portfolio					Sold (part)	11/01/13	J	A	
25. Individual Retirement Account	F	Int./Div.	P1	T					
26. --U.S. Treasury TIPS 2% due 1/15/26									
27. --Vanguard S/T Investment Grade Fd									
28. --Vanguard Inter Term Corporate Bond Fd									
29. --DFA Five Year Global Fixed Income Portfolio									
30. --DFA Short Term Extended Quality Portfolio Instl					Buy (add'l)	05/24/13	L		
31. --DFA Short Term Government Portfolio									
32. --DFA Selectively Hedged Global Fixed Income Portfolio					Buy (add'l)	12/31/13	K		
33. --PIMCO Emerging Local Bond Fund									
34. --DFA Emerging Markets Core Equity Portfolio									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. --DFA Emerging Markets Small Cap Portfolio					Buy (add'l)	07/23/13	K		
36. --DFA International Core Equity Portfolio					Sold (part)	10/16/13	L	D	
37. --DFA International Small Cap Value Portfolio									
38. --DFA U.S. Core Equity 2 Portfolio					Sold (part)	05/01/13	L	E	
39. --See Line 38					Sold (part)	05/24/13	L	E	
40. --See Line 38					Sold (part)	10/18/13	K	D	
41. --DFA U.S. Small Cap Value Portfolio					Sold (part)	07/23/13	K	D	
42. --DFA U.S. Micro Cap Portfolio									
43. --DFA Emerging Markets Value Portfolio									
44. --Schwab Advisors Cash Reserve Premier Fund									
45. --Templeton Global Bond Fund					Buy	05/03/13	K		
46. --Vanguard Total International Bond ETF					Buy	07/23/13	K		
47. --Vanguard Short-Term Inflation-Protected Securities ETF					Buy	05/02/13	L		
48. --Vanguard Emerging Markets Government Bond ETF					Buy	08/08/13	J		
49. Life Insurance- Massachusetts Mutual Life Insurance	D	Int./Div.	M	T					
50. Rental property, Rappahannock County, VA (8/2/96 \$86,600)	D	Rent	L	R					
51. Maryland College Savings Plan T. Rowe Price Balanced Port (no control)		None	J	T	Buy (add'l)	12/27/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. --See Line 51					Sold (part)	06/25/13	K	B	
53. --See Line 51		None	J	T	Buy (add'l)	12/27/13	J		
54. --See Line 51		None	J	T	Buy (add'l)	12/27/13	J		
55. --See Line 51					Sold (part)	07/22/13	K	B	
56. --See Line 51		None	J	T	Buy (add'l)	12/27/13	J		
57. --See Line 51					Sold (part)	07/22/13	J	B	
58. --See Line 51		None	J	T	Buy (add'l)	12/27/13	J		
59. --See Line 51					Sold (part)	07/22/13	J	A	
60. --See Line 51		None	J	T	Buy (add'l)	12/27/13	J		
61. --See Line 51					Sold (part)	07/22/13	J	A	
62. --See Line 51		None	J	T	Buy (add'l)	12/27/13	J		
63. --See Line 51					Sold (part)	07/22/13	J	A	
64. --See Line 51		None	J	T	Buy (add'l)	12/27/13	J		
65. --See Line 51					Sold (part)	07/22/13	J	A	
66. USAA checking & savings accounts		None			Closed	12/23/13	J		
67. Nevada College Savings Plan Vanguard Moderate Growth Port (no control)		None	K	T	Buy (add'l)	06/25/13	K		
68. --See Line 67		None	K	T	Buy (add'l)	07/22/13	K		

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69. --See Line 67		None	K	T	Buy (add'l)	07/22/13	J		
70. --See Line 67		None	K	T	Buy (add'l)	07/22/13	J		
71. --See Line 67		None	K	T	Buy (add'l)	07/22/13	J		
72. --See Line 67		None	K	T	Buy (add'l)	07/22/13	J		
73. Nevada College Savings Plan Vanguard Growth Portfolio (no control)		None	J	T	Buy	07/22/13	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Investments and Trusts

Note 1- Items 15-20 were inherited from estate #1.

Note 2- Filer made contributions to 8 Maryland College Savings Plan accounts. He also rolled over a portion of 7 of these accounts to accounts with the Nevada College Savings Plan.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David S. Tatel**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544