AO 10
Rev. 1/2014

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2013

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
FISHER, RAYMOND C.	U.S. COURT OF APPEALS - NINTH CIRCUIT	04/22/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. CIRCUIT JUDGE - SENIOR	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2013 **to** 12/31/2013

7. Chambers or Office Address

U.S. COURT OF APPEALS - 9th CIRCUIT
125 S. GRAND AVE. #400
PASADENA, CA 91105

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member, Board of Directors	Constitutional Rights Foundation (nonprofit), Los Angeles, CA
2.	Member, Board of Directors	Western Justice Center (nonprofit), Pasadena, CA
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
FISHER, RAYMOND C.	04/22/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	Jan-Dec 13	CA State Teachers Retirement System - Pension
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	American Law Inst	6/12/13	Philadelphia PA	Election Law meeting	Transportation-forfeited airfare
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
FISHER, RAYMOND C.	04/22/2014

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. FIA Card Svcs NA RASP	A	Dividend	M	T					
2. M.LYNCH READY ASSETS TRUST	A	Dividend			Sold (part)	02/28/13	J		
3.					Sold (part)	03/14/13	J		
4.					Sold	04/01/13	J		
5. RFC STRIPS 0% 10/15/13		None			Redeemed	10/15/13	K	A	
6. RFC STRIPS 0% 10/15/15		None	K	T					
7. US TREAS STRIPS 0% 5/15/13		None			Redeemed	05/15/13	K	A	
8. US TREAS STRIPS 0% 8/15/13		None			Redeemed	08/15/13	L	A	
9. US TREAS STRIPS 0% 2/15/14		None	K	T					
10. US TREAS STRIPS 0% 11/15/14		None	K	T					
11. FINANCING CORP STRIPS 0% 5/30/13		None			Redeemed	05/30/13	K	A	
12. FINANCING CORP STRIPS 0% 5/30/14		None	K	T					
13. CD FIRST BK, PUERTO RICO 2.0% 4/1/13	A	Interest			Redeemed	04/01/13	J	A	
14. CD WEBSTER BK, CT 3/31/14	A	Interest	K	T					
15. CD CITIBANK NA,NV 2.55% 3/24/15	A	Interest	K	T					
16. ALGER SPECTRA FUND	A	Dividend	M	T	Buy (add'l)	03/01/13	J		
17. BLACKROCK GLOBAL ALLOC CL A	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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FISHER, RAYMOND C.	04/22/2014

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18. CALAMOS INTERNATL	A	Dividend	K	T					
19. CLEARBRIDGE APPREC FUND	A	Dividend	J	T	Buy	03/01/13	J		
20. COLUMBIA DIV INCOME	A	Dividend	L	T					
21. DREYFUS APPREC FD	A	Dividend	L	T					
22. FRANKLIN MUT GLOBAL DISC FD	A	Dividend	J	T	Buy	03/01/13	J		
23. FRANKLIN STRATEGIC INC FD	A	Dividend	L	T					
24. GABELLI ASSET FD	A	Dividend	J	T	Buy	03/01/13	J		
25. HIGHMARK GENEVA MID CAP		None			Merged (with line 34)	09/13/13	K		
26. INTREPID CAP FD	A	Dividend	J	T	Buy	03/01/13	J		
27. INVESCO BAL RISK FD	A	Dividend	K	T	Buy	01/04/13	K		
28. IVA WORLDWIDE FD									See Addl Information
29. J.HANCOCK STRATEGIC INCM	A	Dividend	L	T					
30. LORD ABBET INT'L	A	Dividend	K	T					
31. LORD ABBETT SHORT TERM		None	L	T	Buy (add'l)	03/01/13	K		
32. METROPOLITAN WEST TOT.RET.BOND	A	Dividend	J	T	Buy (add'l)	03/01/13	J		
33. MFS VALUE FD	A	Dividend	J	T	Buy	03/01/13	J		
34. NATIONWIDE GENEVA GROWTH (fka Highmark Geneva Mid Cap)	A	Dividend	L	T					

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35. PIONEER FUNDAMENTAL GRWTH	A	Dividend	L	T	Buy (add'l)	03/01/13	K		
36. PRINCIPAL MID CAP BLEND	A	Dividend	L	T					
37. PRUDENTIAL SHORT TERM CORP BOND	A	Dividend	L	T					
38. PRUDENTIAL TOTAL RETURN	A	Dividend	L	T					
39. SUN AMERICA FOCUSED DIV	A	Dividend	M	T					
40. TEMPLETON GLOBAL FD	A	Dividend	L	T	Buy (add'l)	03/01/13	J		
41. THORNBURG INVESTMENT INCM	A	Dividend	L	T					
42. UAM FPA CRESCENT	A	Dividend	L	T					
43. VIRTUS MULTISECTOR S-T BOND FD	A	Dividend	L	T					
44. WESTERN ASSET CORE	A	Dividend	L	T					
45. C.SCHWAB MUNI FUND		None	J	T					
46. NATIONWIDE AMERICA'S VISION ANNUITY (FID.VIP EQUITY)	B	Distribution	L	T					
47. JACKSON NAT'L LIFE (ANNUITIES)	C	Distribution	M	T					

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Name of Person Reporting	Date of Report
FISHER, RAYMOND C.	04/22/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. LINE 28: IVA purchase/sale misreported for 2012 as a 2012 transaction, but was actually a purchase on 12/2/09 and sale on 11/22/11. The values were correctly reported as K per book value (T)

Name of Person Reporting	Date of Report
FISHER, RAYMOND C.	04/22/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ RAYMOND C. FISHER

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544