

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2014Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Crabtree, Daniel D.	2. Court or Organization U.S. District Court, District of Kansas	3. Date of Report 04/30/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Daniel D. Crabtree United States District Court, District of Kansas 444 Southeast Quincy, Room 405 Topeka, Kansas 66683		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	Stinson Leonard Street LLP (former law firm)
2. Director (uncompensated)	Greater Kansas City Community Foundation (non-profit charitable foundation)
3. Director (uncompensated)	Kansas City Sports Commission (non-profit organization)
4. Director (uncompensated)	Providence Medical Center/St. John Hospital (non-profit hospitals)
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2014	Party to Partnership Agreement of Stinson Leonard Street LLP law firm (governed rights to compensation for law firm earnings and return of capital contributed)
2. 2014	401(k) Plan of Stinson Leonard Street LLP law firm (governed rights to contributions made to former law firm's 401(k) plan)
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Crabtree, Daniel D.

Date of Report

04/30/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Stinson Leonard Street LLP (partnership income from former private practice law firm before resigning that position on 5/12/2014)	\$66,973.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Salary, employment by Unified Government of Wyandotte County/Kansas City, Kansas
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Crabtree, Daniel D.

Date of Report

04/30/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	David and Demi Kiersznowski	various outings with these close personal friends, as described in part VIII	\$740.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Crabtree, Daniel D.

Date of Report

04/30/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Access Midstream Partners LP f/k/a Chesapeake Partners	B	Distribution	K	T					
2. AG Mortgage Investment Trust (REIT)	B	Dividend	M	T					
3. Breitburn Energy Partners LP	B	Distribution	J	T					
4. Calamos Growth Fund		None			Sold	08/27/14	K	A	
5. Citigroup Inc. Com New	A	Dividend	J	T					
6. Columbia Acorn Fund	A	Dividend	J	T					
7. Eaton Vance Large-Cap Value Fund	A	Distribution	K	T					
8. Eaton Vance Tax-Managed Growth Fund	A	Distribution	J	T					
9. Energy Transfer Partners LP	B	Distribution	L	T					
10. Fidelity Advisor New Insights Fund	C	Distribution	K	T					
11. General Electric Co.	A	Dividend	K	T					
12. Great Plains Energy Energy, Inc.	A	Dividend	J	T					
13. Growth Fnds of America	C	Distribution	K	T					
14. Crestwood Equity Partners f/k/a Inergy LP Unit LPv Interests	A	Distribution			Sold	08/27/14	J	A	
15. Crestwood Midstream Partners f/k/a Inergy Midstream LP Ints.		None			Sold	08/27/14	K	D	
16. Intel Corp	A	Dividend	J	T					
17. Invesco American Franchise Fund	C	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Crabtree, Daniel D.

Date of Report

04/30/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Invesco Charter Fund	A	Distribution	M	T					
19. Invesco Gloabl Small & Mid Cap Growth Fund	D	Distribution	K	T					
20. Ivy Asset Strategy Fund	A	Distribution	K	T					
21. Learning Quest Aggressive Track: Moderate Portfolio (529)	C	Distribution	M	T					
22. Linn Energy LLC Unit Registry Ltd. Interests	B	Distribution	J	T					
23. Penn West Petroleum Ltd	A	Dividend	K	T					
24. Suburban Propane Partners LP Units	A	Distribution			Sold	08/27/14	J	B	
25. Targa Resources Partners LP Units	B	Distribution	K	T					
26. Vanguard Emerging Markets Stock Index Fund	A	Dividend			Sold	08/01/14	J		
27. Vanguard Extended Market Index Fund	A	Dividend			Sold	08/01/14	J		
28. Vanguard Global Equity Fund	A	Dividend			Sold	08/01/14	K		
29. Vanugard Inflation-Protected Securities Fund	A	Dividend			Sold	08/01/14	K		
30. Vanguard Institutional Index Fund	D	Dividend			Sold	08/11/14	O	G	
31. Vanguard Life Strategy Growth Fund	D	Dividend			Sold	08/01/14	N		
32. Vanugard Mid-Cap Fund Index	A	Dividend			Sold	08/01/14	K		
33. Vanguard Natural Resources LLC	B	Distribution	M	T					
34. Vanguard Pacific Stock Index Fund	A	Dividend			Sold	08/01/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Crabtree, Daniel D.

Date of Report

04/30/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Vanguard PRIMECAP Core Fund	A	Dividend			Sold	08/01/14	K		
36. Vanguard Short-Term Investment-Grade Fund	A	Dividend			Sold	08/01/14	J		
37. Vanguard Small-Cap Index Fund	B	Dividend			Sold	06/27/14	K	A	
38. Vanguard Value Index	A	Dividend			Sold	08/01/14	K		
39. Country Club Bank (cash accounts)	A	Interest	N	T					
40. United Missouri Bank, n.a. (Health Savings Account)	A	Interest	J	T					
41. US Bank (cash account)		None	J	T					
42. Stinson Leonard Street LLP (capital account) f/k/a Stinson capi. acct.		None	L	U					
43. Federated Capital Reserves	A	Interest	K	T					
44. AT&T Inc. Com		None	J	T	Buy	10/24/14	K		
45. Alibaba Group SHS ADR		None	K	T	Buy	10/24/14	K		
46. Allianz SE Spon ADR Repstg		None	K	T	Buy	10/24/14	K		
47. Apple Inc Com	A	Dividend	K	T	Buy	10/24/14	K		
48. Astrazeneca PLC Sponsored ADR		None	K	T	Buy	10/24/14	K		
49. KKR & Co LP Del Com Units	A	Distribution	K	T	Buy	09/26/14	K		
50. Kinder Morgan Inc Del Com	A	Dividend	K	T	Buy	10/24/14	K		
51. Prospect Cap Corp Com	A	Dividend	K	T	Buy	09/26/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Crabtree, Daniel D.

Date of Report

04/30/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Walgreen Boots Alliance Inc. Com	A	Dividend	K	T	Buy	10/24/14	K		
53. Yahoo Inc Com		None	K	T	Buy	11/26/14	K		
54. Oppenheimer Senior Floating Rate Fund	B	Dividend	M	T	Buy	10/24/14	M		
55. Oppenheimer Steelpath MLP Alpha	C	Dividend	L	T	Buy	04/12/14	K		
56. Atlas Resource Partners LP Com	B	Distribution	K	T	Buy	06/20/14	K		
57. Talmer Bank & Turst (FDIC insured deposit)	A	Interest	L	T	Buy	08/28/14	L		
58. Country Club Financial Services (FDIC insured deposit)	A	Interest	O	T					
59.									
60.									
61.									
62.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$30,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Crabtree, Daniel D.

Date of Report

04/30/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The income on the holding identified on Line 7 of Part VII (Eaton Vance Large Cap Fund) consisted of both a dividend and a capital gain distribution. Because approximately 90 % of the income was paid in the form of a capital gain distribution, Column B(1) designates the income on this holding as a Distribution.

The income on the holding identified on Line 13 of Part VII (Growth Funds of America) consisted of both a dividend and a capital gain distribution. Because more than 95 % of the income was paid in the form of a capital gain distribution, Column B(1) designates the income on this holding as a Dividend.

The income on the holding identified on Line 17 of Part VII (Invesco American Franchise Fund) consisted of both a dividend and a capital gain distribution. Because more than 95 % of the income was paid in the form of a capital gain distribution, Column B(1) designates this income as a Distribution.

The income on the holding identified on Line 18 of Part VII (Invesco Charter Fund) consisted of both a dividend and a capital gain distribution. Because approximately 90 % of the income was paid in the form of a capital gain distribution, Column B(1) designates this income as a Distribution.

The income on the holding identified on Line 18 of Part VII (Invesco Charter Fund) consisted of both a dividend and a capital gain distribution. Because more than 95% of the income was paid in the form of a capital gain distribution, Column B(1) designates this income as a Distribution.

The income on the holding identified on Line 19 of Part VII (Invesco Global Small & Mid Cap Growth Fund) consisted of both a dividend and a capital gain distribution. Because approximately 95 % of the income was paid in the form of a capital gain distribution, Column B(1) designates this income as a Distribution.

The income on the holding identified on Line 20 of Part VII (Ivy Asset Strategy Fund) consisted of both a dividend and a capital gain distribution. Because more than 95% of the income was paid in the form of a capital gain distribution, Column B(1) designates this income as a Distribution.

The income on the holdings identified on lines 26, 27, 28, 29, 31, 32, 34, 35, 36, and 38 are estimated figures relying on the estimates provided by the investment firm Vanguard. All these holdings were part of my 401(k) retirement account with my former law firm employer. Because these holdings were part of the 401(k), the Vanguard firm has confirmed that they do not calculate or provide actual earnings figures for the period covered by this report. My statements provide, however, estimated annual income amounts and I have used those estimates as the basis for my disclosures in in column B (2) of Part VII.

The gift shown on line 1 of part V was provided by close personal friends and the appearance by either of them (or their company) in a matter would require that I take no official action with respect to the matter. Both individuals and their company are listed on my recusal list and have been so listed since the time I was appointed. The amount shown in part V consists of various outings together as friends (e.g., to a college basketball game, a round of golf, and attendance at a charity fundraiser). In many instances, these outings included [REDACTED] and so the amount shown in Part V includes the value of [REDACTED] participation as well.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Crabtree, Daniel D.

Date of Report

04/30/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Daniel D. Crabtree**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544