

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                     |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>HART, JACOB P.                                                                                                                       | <b>2. Court or Organization</b><br><br>EASTERN DISTRICT OF PA.                                                                                                                                                                                                                                      | <b>3. Date of Report</b><br><br>07/13/2016                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>Full Time recalled U.S. Magistrate Judge                                      | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input checked="" type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2015<br>to<br>12/31/2015 |
| <b>7. Chambers or Office Address</b><br><br>Room 3006 U.S. Courthouse<br>601 Market St.<br>Philadelphia, PA 19106                                                                                         |                                                                                                                                                                                                                                                                                                     |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                                     |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |  |
|----|--|--|
| 1. |  |  |
| 2. |  |  |
| 3. |  |  |
| 4. |  |  |
| 5. |  |  |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME  
(yours, not spouse's)

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

Self Employed Fiction Writer. Book Publisher. Sole owner of Springstreet Books.

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. DAVIS OF NEW YORK MUTUAL FUND                                                                                            | D                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. BLACKROCK WORLD INVESTMENT TRUST                                                                                         | A                                       | Dividend                                      | J                                               | T                                         | Sold (part)                                     | 12/23/15                | J                               | A                              |                                                                   |
| 3. CITIBANK BANK DEPOSIT PROGRAM                                                                                            | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. EATON VANCE DIV. EQUITY INCOME FUND                                                                                      | B                                       | Dividend                                      | J                                               | T                                         | Sold (part)                                     | 12/23/15                | J                               | A                              |                                                                   |
| 5. EATON VANCE TAX-MANAGED GLOBAL INCOME FUND                                                                               | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. AB GLOBAL GOVERNMENT INCOME                                                                                              | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. IVY ASSET STRATEGY FUND                                                                                                  | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. LOOMIS SAYLES STRATEGIC INCOME                                                                                           | C                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. DELAWARE TAX FREE PENNSYLVANIA FUND                                                                                      | D                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. BLACKROCK CREDIT ALL INC TR IV                                                                                          | C                                       | Dividend                                      | K                                               | T                                         | Sold (part)                                     | 12/23/15                | K                               | A                              |                                                                   |
| 11. ALPINE ULT SHT TX OPT INC INV                                                                                           | C                                       | Dividend                                      | O                                               | T                                         | Buy (add'l)                                     | 07/29/15                | L                               |                                |                                                                   |
| 12. MAINSTAY SHT TRM HI YLD                                                                                                 | A                                       | Dividend                                      | L                                               | T                                         | Buy                                             | 12/23/15                | L                               |                                |                                                                   |
| 13. NEUBERGER BERMAN STR INC INST                                                                                           | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 10/22/15                | K                               |                                |                                                                   |
| 14. CITIGROUP IRA IRA (H) (assests below)                                                                                   |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 15. --DWS HIGH RET. EQ (now DEUTSCHE CROCI EQ DIV A)                                                                        | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. --AB GLOBAL GOVERNMENT INC.                                                                                             | C                                       | Dividend                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. --BLACKROCK GLOBAL ALLOCATION FUND                                                                                      | C                                       | Dividend                                      | K                                               | T                                         | Sold (part)                                     | 12/23/15                | J                               | A                              |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H ~\$1,000,001 - \$5,000,000                                   | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$50,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q ~Appraisal<br>U ~Book Value                                                      | R ~Cost (Real Estate Only)<br>V ~Other               | S ~Assessment<br>W ~Estimated                                                          | T ~Cash Market                                             |                        |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                            |   |          |   |   |             |          |   |   |  |
|------------------------------------------------------------|---|----------|---|---|-------------|----------|---|---|--|
| 18. --COLUMBIA MARSICO 21ST CENTURY FUND                   |   | None     | K | T |             |          |   |   |  |
| 19. --LOOMIS SAYLES STRATEGIC INCOME                       | D | Dividend | N | T |             |          |   |   |  |
| 20. --EATON VANCE GLOBAL MACRO                             | C | Dividend | L | T | Sold (part) | 12/23/15 | J | A |  |
| 21. --ING GROEP NV                                         | C | Dividend | K | T |             |          |   |   |  |
| 22. --NORTHSTAR REALTY FNC                                 | C | Dividend | K | T |             |          |   |   |  |
| 23. --NEUBERGER BERMAN STR INC INST                        | B | Dividend | L | T | Sold (part) | 10/19/15 | L | A |  |
| 24. --MS LIQUID ASSET FUND                                 | A | Dividend | J | T |             |          |   |   |  |
| 25. CLEARBRIDGE SMALL CAP GROWTH FUND                      | A | Dividend | J | T |             |          |   |   |  |
| 26. --CG CAPITAL MARKETS MONEY MARKET - TGMU               | A | Dividend |   |   | Sold        | 6/12/15  | K | A |  |
| 27. --CG CAPITAL MARKETS CORE FIXED INCOME - TIJU          | C | Dividend | L | T | Sold (part) | 6/14/15  | K | A |  |
| 28. --CG CAPITAL MARKETS LARGE CAP VALUE - TLVU            | C | Dividend | M | T | Sold (part) | 4/17/15  | J | C |  |
| 29. --CG CAPITAL MARKETS SMALL CAP VALUE - TSVU            | C | Dividend | K | T | Sold (part) | 4/17/15  | J | A |  |
| 30. --CG CAPITAL MARKETS HIGH YIELD - THYU                 | B | Dividend | K | T |             |          |   |   |  |
| 31. --CG CAPITAL MARKETS EMERGING MARKETS - TEMU           | A | Dividend | K | T |             |          |   |   |  |
| 32. --CG CAPITAL MARKETS INTERNATIONAL FIXED INCOME - TIFU | B | Dividend | K | T | Sold (part) | 8/14/15  | J | A |  |
| 33. --CG CAPITAL MARKETS INTERNATIONAL EQUITY - TIEU       | C | Dividend | M | T | Sold (part) | 4/17/15  | J | B |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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Name of Person Reporting

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☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                  |   |          |   |   |             |         |   |   |  |
|--------------------------------------------------|---|----------|---|---|-------------|---------|---|---|--|
| 34. --CG CAPITAL MARKETS LARGE CAP GROWTH - TLGU | E | Dividend | M | T | Sold (part) | 4/17/15 | K | E |  |
| 35. --CG CAPITAL MARKETS SMALL CAP GROWTH - TSGU | C | Dividend | K | T | Sold (part) | 4/17/15 | J | A |  |
| 36. --PIMCO DYNAMIC INCOME FUND                  | E | Dividend | M | T |             |         |   |   |  |
| 37. CITIZENS BANK MONEY MARKET ACCOUNTS (J)      | A | Interest | L | T |             |         |   |   |  |
| 38. CITIGROUP SEP IRA assets listed below (H)    |   |          |   |   |             |         |   |   |  |
| 39. --GOLDMAN SACHS CAPITAL GROWTH               | B | Dividend | K | T |             |         |   |   |  |
| 40. LEGG MASON WESTERN ASSETS                    | A | Dividend | J | T |             |         |   |   |  |
| 41. --PIONEER HIGH YIELD                         | A | Dividend | J | T |             |         |   |   |  |
| 42. --ISHARES MSCI EAFE FUND                     | A | Dividend | J | T |             |         |   |   |  |
| 43. --ISHARES RUSSELL 1000 INDEX FUND (S)        | A | Dividend | J | T |             |         |   |   |  |
| 44. --EATON VANCE DIV. EQ. INCOME FUND           | A | Dividend | J | T |             |         |   |   |  |
| 45. --PIONEER FUND INC                           | B | Dividend | J | T |             |         |   |   |  |
| 46. --AB GLOBAL GOV INCOME TRUST                 | A | Dividend | J | T |             |         |   |   |  |
| 47. --LOOMIS SAYLES STRAT INC. FUND              | C | Dividend | K | T |             |         |   |   |  |
| 48. --MS LIQUID ASSET FUND                       | A | Dividend | J | T |             |         |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ JACOB P. HART

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544