

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Boggs, Danny J.	2. Court or Organization Sixth Circuit Court of Appeals	3. Date of Report 11/10/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 220 Gene Snyder United States Courthouse 601 West Broadway Street Louisville, KY 40202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Counselor	Louia D. Brandeis American Inn of Court
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. ABA Forum on Media	2/ 6-8, 2013	Dana Point, CA	Judge Moot Court Competition	Transportation, 2 days' food and lodging
2. Duke Law Federalist Society	2/17-18, 2013	Durham, NC	Speaker at Federalist Society	Transportation, 1 day food and lodging
3. New York University Law School	2/24-25, 2013	Washington, DC	Participate in panel on e-mail searches	Transportation, 1 day food (no lodging; stayed in wife's apartment)
4. Penn Law Federalist Society	4/2-3, 2013	Philadelphia, PA	Speaker at Federalist Society	Transportation, 2 days' food (no lodging; stayed with a friend)
5. American University Law School	10/17-19, 2013	Washington, DC	Judge for Moot Court Competition	Transportation, 1 day food (no lodging; stayed in wife's apartment)

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Sallie Mae Loan Corp., Wilkes Barre, PA	Student Loans	M
2.	JP Morgan Chase, Louisville, KY	Mortgage on rental house in Bowling Green, KY	K
3.	Capital One, Richmond, VA	Credit card loan**	K
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 1/2 interest in rental house in Bowling Green, KY	B	Rent	K	Q					
2. JP Morgan Chase Bank, Lou, KY (checking/savings account)	A	Interest	K	T					
3. [REDACTED], Louisville, KY	D	Rent	M	Q					
4. IRA Account - JP Morgan Chase Bank, Louisville, KY SPDRs	B	Int./Div.	M	T					
5. Israel Bonds	A	Interest	J	T					
6. JP Morgan Chase IRA Account, Louisville, KY	C	Int./Div.	M	T					
7. Penn Mutual Whole Life Policies	A	Dividend	K	T					
8. Met Life Whole Life Policy	A	Dividend	J	T					
9. Internotes of GE Capital Corp (5%)	A	Interest	J	T	Redeemed	02/15/13	J	A	
10. Pimco Strategic Global Fund (X)	A	Dividend	J	T					
11. TVA bonds (4.5%)	A	Interest	J	T					
12. Freddie Notes/Freddie Mac (3.2-4.65%)	B	Interest	K	T	Redeemed	06/17/13	K	A	
13. Long Term CD: GE Money Bank, Salt Lake City	A	Interest	J	T					
14. Long Term CD: Goldman Sachs, New York	A	Interest	J	T					
15. Wells Fargo Bank, CD	A	Interest	J	T					
16. Western Asset High Income Fund (X)	A	Dividend	J	T					
17. Wells Fargo 4% Note (X)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. 5/3dBank, Cincinnati checking account and CDs	A	Interest	K	T					
19. Vanguard Admiral Fla Long Term Tax exempt fund	D	Dividend	M	T					
20. Nuveen Municipal Tax Exempt fund	A	Dividend	J	T					
21. PXP Stock	B	Dividend	J	T	Redeemed	06/14/13	K	B	
22. Internotes of GE Capital Corp (5.5%-5.625%)	A	Interest	J	T	Redeemed	05/15/13	J	A	
23. Internotes of GE Capital Corp (5.25%)	A	Interest	J	T	Redeemed	07/15/13	J	A	
24. Internotes of GE Capital Corp (4.35%)	A	Interest	J				J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Item VII. 1. The appraisal on this house in Bowling Green, KY was done in 2008.

Item VII. 3. [REDACTED] I am reporting this asset value as a proportionate share of the value [REDACTED] property, as we received income from renting the carriage house. The appraisal was done in mid-2009.

Item VII. 4. JP Morgan Chase Bank IRA consists of shares of Standard & Poor Deposit Receipt and money market funds.

Item VII. 6. JP Morgan Chase Bank IRA consists of shares of Standard & Poor Deposit Receipts, Israel Bonds, and money market funds.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Danny J. Boggs**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544