

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hicks, Jr., S. Maurice	2. Court or Organization U.S. District Co., Western La.	3. Date of Report 09/11/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. Courthouse 300 Pannin St. , Suite 5101 Shreveport, LA 71101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Lecturer	
2.	Board of Directors Member	(501(c) (3) organization)
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2012	- Teaching	\$2,500
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	Paralegal services - self-employed
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Supreme Court Committee on Bar Admissions, State of Louisiana	04/19/2012-04/22/2012	Savannah, GA	Activity of professional association or civic organization	Transportation, meals, lodging
2. Supreme Court Committee on Bar Admissions, State of Louisiana	04/25/2012-04/28/2012	Metairie, LA	Activity of professional association or civic organization	Transportation, meals, lodging
3. Supreme Court Committee on Bar Admissions, State of Louisiana	09/21/2012-09/22/2012	New Orleans, LA	Activity of professional association or civic organization	Transportation, meals, lodging
4. Supreme Court Committee on Bar Admissions, State of Louisiana	10/23/2012-10/25/2012	New Orleans, LA	Activity of professional association or civic organization	Transportation, meals, lodging

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5.	Louisiana State University	10/25/2012-10/26/2012	Baton Rouge, LA	Activity of professional association or civic organization	Transportation, meals, lodging
6.	Loyola Law School	11/08/2012-11/10/2012	New Orleans, LA	Activity of professional association or civic organization	Transportation, meals, lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Citimortgage	Mortgage - [REDACTED]	L
2.	Capital One Bank	Consumer Line of Credit	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Capital One Bank Accounts	D	Interest	M	T					
2. State Farm Life Insurance Co. Account		None	K	T					
3. Northwestern Mutual Life Insurance Co. Account		None	J	T					
4. Timber Land, [REDACTED] 1/1/96, \$30,000		None	K	R	Sold (part)	12/31/12	J	D	
5. Left intentionally blank		None							
6. Rental Property #1, [REDACTED] - 07/03/01, \$82,297	A	Rent	L	R					
7. Left intentionally blank		None							
8. Left intentionally blank		None							
9. IRA # 1 Morgan Keegan (prev Capital One) Money Fund	A	Interest	K	T					
10. Left intentionally blank		None							
11. VAC Federal Credit Union		None			Distributed	12/31/12	J	A	
12. Barksdale Federal Credit Union	A	Interest	J	T					
13. Red River Syndicate LP	C	Int./Div.	K	U					
14. Left intentionally blank		None							
15. Janney, Montgomery Scott Brokerage Account - Cash	A	Interest	J	T					
16. Left Intentionally blank		None							
17. Left intentionally blank		None							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Left intentionally blank		None							
19. Left intentionally blank		None							
20. Left intentionally blank		None							
21. Left intentionally blank		None							
22. Left intentionally blank		None							
23. Left intentionally blank		None							
24. IRA #1 Entrada Networks		None	J	T					
25. IRA #1 Globalnet		None	J	T					
26. Left intentionally blank		None							
27. Left intentionally blank		None							
28. Left intentionally blank		None							
29. Left intentionally blank		None							
30. Left intentionall blank		None							
31. Left intentionally blank		None							
32. Left intentionally blank		None							
33. Giant Studios		None	J	T					
34. IRA #2 Morgan Keegan (prev Capital One) Money Fund	A	Interest	M	T					

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35. Left intentionally blank		None							
36. Left intentionally blank		None							
37. Left intentionally blank		None							
38. Left intentionally blank		None							
39. Left intentionally blank		None							
40. Left intentionally blank		None							
41. Left intentionally blank		None							
42. Left intentionally blank		None							
43. Left intentionally blank		None							
44. Left intentionally blank		None							
45. Left intentionally blank		None							
46. Left intentionally blank		None							
47. Left intentionally blank		None							
48. Left intentionally blank		None							
49. Left intentionally blank		None							
50. Left intentionally blank		None							
51. Left intentionally blank		None							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. Left intentionally blank		None							
53. Left intentionally blank		None							
54. Left intentionally blank		None							
55. Left intentionally blank		None							
56. Left intentionally blank		None							
57. Left intentionally blank		None							
58. Left intentionally blank		None							
59. Left intentionally blank		None							
60. Left intentionally blank		None							
61. Left intentionally blank		None							
62. Left intentionally blank		None							
63. Left intentionally blank		None							
64. Left intentionally blank		None							
65. Left intentionally blank		None							
66. Left intentionally blank		None							
67. Left intentionally blank		None							
68. Left intentionally blank		None							

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69. Biosante Pharmaceutical		None	J	T	Sold (part)	06/08/12	J	A	
70. Left intentionally blank		None							
71. Left intentionally blank		None							
72. Left intentionally blank		None							
73. Left intentionally blank		None							
74. Left intentionally blank		None							
75. Left intentionally blank		None							
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77. Left intentionally blank		None							
78. Left intentionally blank		None							
79. Left intentionally blank		None							
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81. Left intentionally blank		None							
82. Left intentionally blank		None							
83. Left intentionally blank		None							
84. Left intentionally blank		None							
85. Left intentionally blank		None							

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86. Left intentionally blank		None							
87. Left intentionally blank		None							
88. Left intentionally blank		None							
89. Left intentionally blank		None							
90. Left intentionally blank		None							
91. Left intentionally blank		None							
92. Left intentionally blank		None							
93. Left intentionally blank		None							
94. Left intentionally blank		None							
95. Left intentionally blank		None							
96. Left intentionally blank		None							
97. Left intentionally blank		None							
98. Left intentionally blank		None							
99. Left intentionally blank		None							
100. Left intentionally blank		None							
101. Left intentionally blank		None							
102. Left intentionally blank		None							

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103. Left intentionally blank		None							
104. Left intentionally blank		None							
105. Left intentionally blank		None							
106. Left intentionally blank		None							
107. Left intentionally blank		None							
108. Morgan Keegan cash-T	A	Int./Div.	J	T					
109. Left intentionally blank		None							
110. Left intentionally blank		None							
111. Left intentionally blank		None							
112. Left intentionally blank		None							
113. Left intentionally blank		None							
114. Left intentionally blank		None							
115. Left intentionally blank		None							
116. Left intentionally blank		None							
117. Left intentionally blank		None							
118. Left intentionally blank		None							
119. Left intentionally blank		None							

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120. Left intentionally blank		None							
121. Left intentionally blank		None							
122. Left intentionally blank		None							
123. Left intentionally blank		None							
124. Left intentionally blank		None							
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127. Left intentionally blank		None							
128. Left intentionally blank		None							
129. Left intentionally blank		None							
130. Left intentionall blank		None							
131. Left intentionally blank		None							
132. Left intentionally blank		None							
133. Left intentionally blank		None							
134. Left intentionally blank		None							
135. Left intentionally blank		None							
136. Left intentionally blank		None							

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Left intentionally blank		None							
138. Left intentionally blank		None							
139. Scottrade Cash Acct.	A	Interest	K	T					
140. Left intentionally blank		None							
141. Left intentionally blank		None							
142. Left intentionally blank		None							
143. Left intentionally blank		None							
144. Left intentionally blank		None							
145. Left intentionally blank		None							
146. Canadian Oil Sands- Scottrade	A	Dividend	J	T					
147. Left intentionally blank		None							
148. Eagle Rock Energy- Scottrade	A	Distribution			Sold	02/28/12	J	A	
149. Left intentionally blank		None							
150. Left intentionally blank		None							
151. Left intentionally blank		None							
152. Left intentionally blank		None							
153. Left intentionally blank		None							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Left intentionally blank		None							
155. Left intentionally blank		None							
156. IRA #2 Encana	A	Dividend	J	T					
157. IRA #2 National Fuel Gas	A	Dividend	J	T					
158. Left intentionally blank		None							
159. Left intentionally blank		None							
160. Left intentionally blank		None							
161. Left intentionally blank		None							
162. IRA #2 Eagle Rock Energy Partners	A	Distribution			Sold	02/28/12	J	D	
163. IRA #2 Kinder Morgan Partners	A	Distribution	K	T					
164. IRA #1 FHLMC CMO Series	A	Interest	J	T					
165. IRA #1 GNMA CMO Series	A	Interest			Redeemed	09/20/12	J	A	
166. Left intentionally blank		None							
167. Left intentionally blank		None							
168. GMX Resources- Janney		None	J	T					
169. Left intentionally blank		None							
170. Biomass Resources- Janney		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Rental Property #2, New Orleans, LA 1/1/09 \$55,000		None	L	R					
172. Breitburn Energy Partner-Janney	A	Distribution	J	T					
173. Eagle Rock Energy Partners-Janney	A	Distribution			Sold	01/27/12	K	D	
174. Left intentionally blank		None							
175. Genesis Energy LP- Janney	A	Distribution			Sold (part)	09/13/12	J	B	
176.					Sold	09/19/12	J	A	
177. Cenovus Energy- Scottrade	A	Dividend	J	T					
178. Left intentionally blank		None							
179. Geron Corp- Scottrade		None	J	T					
180. GMX Res Inc- Scottrade		None	J	T					
181. Stec Inc- Scottrade		None	J	T					
182. Left intentionally blank		None							
183. Left intentionally blank		None							
184. Left intentionally blank		None							
185. Left intentionally blank		None							
186. Left intentionally blank		None							
187. Left intentionally blank		None							

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Left intentionally blank		None							
189. Left intentionally blank		None							
190. IRA #2 Denbury Resources Inc		None	J	T					
191. IRA #2 Dendreon Corp		None	J	T					
192. Left intentionally blank		None							
193. IRA #2 MolyCorp Inc		None	J	T					
194. IRA #2 Sharps Compliance Corp		None	J	T					
195. Left intentionally blank		None							
196. Left intentionally blank		None							
197. IRA #2- Genesis Energy LP	A	Distribution	K	T					
198. Left intentionally blank		None							
199. Left intentionally blank		None							
200. IRA #1- GNMA Series	A	Interest	J	T					
201. IRA #1-FNMA CMO Series	A	Interest			Sold	09/28/12	J	A	
202. IRA #1 GNMA CMO Series	A	Interest	J	T					
203. IRA #1 Canadian Oil Sands Trust	A	Dividend	J	T					
204. IRA #3 Regions Money Fund	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. JP Morgan -Cash Acct.		None	J	T					
206. Morgan Keegan Cash-S	A	Interest	J	T					
207. IRA #2-Apple Inc.		None			Sold (part)	12/13/12	K	D	
208.					Sold	12/17/12	K	D	
209. IRA #2-Carbo Ceramics, Inc	A	Dividend			Sold	02/07/12	J	A	
210. IRA #2-Cheniere Energy		None	J	T					
211. IRA #2-Delek US Holdings	A	Dividend			Sold	12/21/12	J	C	
212. IRA #2-Evolution Petroleum		None	J	T					
213. IRA #2- Green Dot Corp		None	J	T					
214. IRA #2-Interdigital Inc.	A	Dividend	J	T					
215. Left intentionally blank		None							
216. IRA #2-Guggenheim Canadian	A	Dividend	J	T					
217. IRA #2-Ishares Comex Gold		None	J	T					
218. IRA #3-GNMA Series	A	Interest	J	T					
219. Apple Inc. - Janney	A	Dividend	J	T	Buy	04/30/12	J		
220. Bed Bath & Beyond Inc. - Janney		None	J	T	Buy	09/21/12	J		
221. Linn Energy LLC - Janney	B	Distribution	K	T	Buy	02/29/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222.					Buy (add'l)	03/02/12	J		
223. ALJ Regional Holdings Inc. - Morgan Keegan (X)		None	J	T					
224. Ditech Networks Inc - Morgan Keegan (X)		None			Sold	12/07/12	J	A	
225. Chemtrade Logis - Scottrade	A	Dividend	J	T	Buy	03/02/12	J		
226. IRA #1-GNMA Series	A	Interest	J	T	Buy	06/15/12	J		
227. IRA #2 - Virnet X Holding Corp		None			Buy	08/10/12	J		
228.					Sold	12/21/12	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ S. Maurice Hicks, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544