

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Acosta, John V.	2. Court or Organization District Court - Oregon	3. Date of Report 04/23/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2016 to 12/31/2016
7. Chambers or Office Address 1127 United States Courthouse 1000 SW Third Avenue Portland, OR 97204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	2016 Member, Dean's Advisory Council	University of Oregon School of Law
2.	2016 Member, Professionalism Commission	Oregon State Bar
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2008	TriMet Defined Benefit Retirement Plan, no control
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Acosta, John V.

Date of Report

04/23/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. to 12/2016

Uptown Providers, PC - salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Acosta, John V.

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04/23/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Capital One Bank	Credit Card	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Acosta, John V.

Date of Report

04/23/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1 (H)									
2. - Dodge & Cox Stock Fund (X)	D	Dividend			Spinoff (from line 26)	02/17/16	M		
3.					Sold	04/15/16	N		
4. - Harbor International Fund (X)		None			Spinoff (from line 42)	05/02/16	O		
5.					Sold	05/05/16	O		
6. - Vanguard Total Bond Market Index Fund	C	Dividend	N	T	Buy	01/04/16	K		
7.					Buy (add'l)	05/06/16	M		
8. - Vanguard REIT Index Fund	C	Dividend	M	T	Sold (part)	01/04/16	N		
9.					Sold (part)	01/04/16	K		
10.					Buy (add'l)	04/15/16	J		
11.					Buy (add'l)	05/05/16	J		
12. - Vanguard Total International Bond Index Fund	A	Dividend	K	T	Buy	01/06/16	K		
13. - Vanguard Total International Stock Index Fund	D	Dividend	N	T	Buy	01/04/16	N		
14. - Vanguard Total Stock Market Index Fund	A	Dividend	K	T	Buy	05/05/16	K		
15. - Vanguard Emerging Markets Stock Index Fund		None			Sold	01/06/16	K		
16. Vanguard Short-term Investment- Grade Bond Fund (IRA#2)	B	Dividend	M	T	Sold (part)	03/18/16	K		
17. IRA #3 (H)									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Acosta, John V.

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04/23/2017

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Vanguard Total Bond Market Index Fund	D	Dividend	N	T	Buy	01/04/16	N		
19. - Vanguard Total International Bond Index Fund	C	Dividend	M	T	Buy	01/04/16	M		
20. - Vanguard Total Stock Market Index Fund	D	Dividend	O	T	Sold (part)	01/04/16	N		
21.					Buy (add'l)	04/15/16	J		
22. - Vanguard Inflation-Protected Securities Fund		None			Sold	01/04/16	M		
23. IRA #4 (H)									
24. - Vanguard Intermediate-term Investment-grade Bond Fund	A	Dividend			Sold	01/04/16	J		
25. - Vanguard Total Stock Market Index Fund	A	Dividend	J	T	Buy	01/04/16	J		
26. Dodge & Cox Stock Fund (IRA #5) (see note)	B	Dividend			Closed	02/17/16	M		
27. IRA #7 (H)									
28. - Weitz Value Fund		None			Spinoff (from line 41)	01/25/16	L		
29.					Sold	04/15/16	L		
30. - Vanguard Federal Money Market Fund (X)	A	Dividend	J	T					
31. - Vanguard Prime Money Market Fund (X)	A	Dividend	J	T					
32. - Vanguard Total Bond Market Index Fund	B	Dividend	M	T	Buy	05/09/16	M		
33.					Buy (add'l)	06/01/16	J		
34. - Vanguard REIT Index Fund	C	Dividend	L	T	Buy	05/09/16	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Acosta, John V.

Date of Report

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - Vanguard International Stock Market Index Fund	D	Dividend	N	T	Buy	05/09/16	N		
36. - Vanguard Total Stock Market Index Fund	C	Dividend	M	T					
37. Vanguard Total International Bond Index Fund (IRA #8)	C	Dividend	M	T					
38. IRA#10 (H)									
39. - Vanguard Intermediate-term Investment-grade Bond Fund	A	Dividend			Sold	01/04/16	J		
40. - Vanguard Total Stock Market Index Fund	A	Dividend	J	T	Buy	01/04/16	J		
41. Weitz Value Fund (IRA #11) (See note)		None			Closed	01/25/16	L		
42. Harbor International Fund (IRA #12) (See note)		None			Closed	05/02/16	O		
43. IRA#13 (H)									
44. - D3 Family Fund LP; Private Placement (see note)		None	K	W	Sold (part)	01/27/16	J		
45.					Sold (part)	07/26/16	J		
46.					Sold (part)	10/28/16	J		
47. - Vanguard Total Stock Market Index Fund	D	Dividend	N	T	Buy	01/07/16	M		
48. - TIAA Real Estate Fund	A	Dividend	J	T	Buy	04/15/16	J		
49.					Buy (add'l)	11/11/16	J		
50. -Charles Schwab Cash Account (Y)									
51. United States Savings Bonds - I Series	D	Interest	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Acosta, John V.

Date of Report

04/23/2017

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. Wells Fargo Accounts		None	M	T					
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Acosta, John V.

Date of Report

04/23/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII.

Line 26 - Assets transferred to IRA #1, Line 2 and IRA#5 closed.

Line 30 - Increase in value of asset previously below reporting threshold

Line 31 - New account opened during 2016

Line 41 - Assets transferred to IRA #7, Line 28 and IRA#11 closed.

Line 42 - Assets transferred to IRA #1, Line 4 and IRA#12 closed.

Line 44- The D3 Family Fund does not report dividends nor interest. Therefore neither are reported here.

Line 50 - Account no longer meets reporting threshold

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Acosta, John V.

Date of Report

04/23/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John V. Acosta**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544