

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SARIS, PATTI B.	2. Court or Organization U.S.D.C. MASSACHUSETTS	3. Date of Report 05/13/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT COURT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address J. J. MOAKLEY U.S. COURTHOUSE 1 COURTHOUSE WAY, SUITE 8110 BOSTON, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. CO-TRUSTEE	TRUST #3 (See Part VIII)
2. CO-TRUSTEE	TRUST #4 (See Part VIII)
3. CO-TRUSTEE	TRUST #5
4. Director	Bottom Line Inc., Boston, MA
5. Member Board of Directors	Federal Judges Association (FJA)
6. Director	Codman Academy Foundation Inc, Dorchester, MA

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	PRESIDENT AND FELLOWS OF HARVARD COLLEGE, CAMBRIDGE, MA - salary
2. 2015	HARVARD BUSINESS SCHOOL PUBLISHING, MA - Royalties
3. 2015	HIGHVISTA STRATEGIES LLC, MA - Advisory fee
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Ukrainian Bar Association	September 29- October 4, 2015	Kiev, Ukraine	Guest speaker	Transportation, meals & lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Venture Investors Fund, LP		None	J	W					
2. Tucker Anthony Private Equity Fund I, LP		None	J	W					
3. TA Conference LLC		None	J	W					See Part VIII
4. Tucker Anthony Private Equity Fund II	D	Distribution	K	W					
5. Tucker Anthony Private Equity Fund III	D	Distribution	L	U					
6. Tucker Anthony Private Equity Technology LP	D	Distribution	K	W					
7. Trust # 5	F	Dividend	P2	T					
8. - Baupost Value Partners LP I									
9. - Kensico Offshore Fd Ltd LP					Redeemed (part)	04/01/15	P1		See Part VIII
10. - Federated US Treas Cash money market (cash equiv)					Buy (add'l)	01/15/15	P1		
11.					Buy (add'l)	04/02/15	P1		
12.					Buy (add'l)	12/22/15	K		
13.					Sold (part)	01/09/15	K		
14.					Sold (part)	02/13/15	J		
15.					Sold (part)	02/19/15	L		
16.					Sold (part)	03/03/15	J		
17.					Sold (part)	03/12/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Sold (part)	03/18/15	J		
19.					Sold (part)	04/14/15	M		
20.					Sold (part)	04/21/15	K		
21.					Sold (part)	04/23/15	J		
22.					Sold (part)	05/13/15	J		
23.					Sold (part)	05/15/15	K		
24.					Sold (part)	05/29/15	N		
25.					Sold (part)	06/04/15	L		
26.					Sold (part)	06/23/15	J		
27.					Sold (part)	07/14/15	K		
28.					Sold (part)	07/16/15	N		
29.					Sold (part)	08/18/15	J		
30.					Sold (part)	09/16/15	K		
31.					Sold (part)	10/09/15	J		
32.					Sold (part)	10/21/15	J		
33.					Sold (part)	11/24/15	J		
34.					Sold (part)	12/22/15	N		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Independent Franchise Partners US Eq Fd (IFPUX) mutual fund					Buy (add'l)	12/18/15	M		
36. Trust # 10		None	N	W					
37. - Travelers Life & Annuity Ins Co, universal life insurance									
38. Trust # 11	A	Dividend	P1	W					
39. - Sun Life Assurance, universal life insurance									
40. - Manufacturers Life Ins, universal life (John Hancock)									
41. - Federated USTreas Cash Rsv cash eq mutual fd					Sold (part)	02/11/15	J		
42.					Sold (part)	12/23/15	J		
43. - Artisan Intl Value Fd Inv (ARTKX) mutual fd					Buy (add'l)	02/10/15	J		
44.					Buy (add'l)	11/19/15	J		
45. - Driehaus Emg Mkts Growth Fd (DREGX) mutual fd					Buy (add'l)	02/10/15	J		
46. - FMI Large Cap Fd (FMIHX) mutual fund					Buy (add'l)	12/18/15	J		
47. - Harding Loevner Intl Equity Fd Ins (HLMIX) mutual fd									
48. - NB Equity Income Fd Ins (NBHIX) mutual fd					Sold (part)	09/22/15	J		See Part VIII
49. - Oak Ridge Small Cap Growth Fd Y (ORIYX) mutual fd					Sold (part)	02/10/15	J		See Part VIII
50. - Vanguard 500 Index Fd Adm (VFIX) mutual fd									
51. - NB High Income Bond Fd Ins (NHILX) mutual fd									

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52. - NB Muni Interim Term Bond fd (NMNLX) mutual fd					Sold	02/10/15	J	A	
53. - Ridgeworth Seix Floating Rate Fd Ins (SAMBX) mutual fd					Buy (add'l)	12/22/15	J		
54. - Vanguard Interim Term T/E Fd Adm (VWIUX) mutual fd					Buy (add'l)	02/10/15	J		
55. - Vanguard Small Cap Indz Fd Adm (VSMAX)					Buy (add'l)	02/10/15	J		
56. - Blackrock Strategic Inc Opp Fd Ins (BSIIX) mutual fd (x)					Buy	02/10/15	J		
57. - Transamerica Intl Small Cap Value Fd (TISVX) mutual fd (x)					Buy	09/22/15	J		
58. Tucker Anthony Private Equity Fund IV	E	Distribution	L	U					
59. IRA Rollover			O	W					
60. - Federated USTreas Cash Rsv cash eq mutual fd	A	Dividend	M	T	Buy (add'l)	09/08/15	J		
61.					Sold (part)	09/14/15	L		
62.					Sold (part)	09/15/15	K		
63.					Sold (part)	09/28/15	L		
64.					Sold (part)	10/13/15	M		
65.					Sold (part)	11/16/15	L		
66.					Sold (part)	11/27/15	J		
67.					Sold (part)	12/14/15	L		
68.					Sold (part)	12/15/15	J		

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69.					Sold (part)	12/23/15	L		
70.					Sold (part)	12/29/15	K		
71. - Zoom Information Inc Cl B closely held		None	J	W					
72. - Artisan Intl Value Fd Inv (ARTKX) mutual fd (x)	C	Dividend	L	T	Buy	09/25/15	L		
73.					Buy (add'l)	11/19/15	J		
74. - Dodge & Cox Intl Stock Fd (DODFX) mutual fd (x)	A	Dividend	K	T	Buy	09/11/15	J		
75.					Buy (add'l)	10/12/15	J		
76. - Driehaus Emg Mkts Growth Fd (DREGX) mutual fd (x)		None	K	T	Buy	09/14/15	J		
77.					Buy (add'l)	10/12/15	J		
78.					Buy (add'l)	11/25/15	J		
79.					Buy (add'l)	12/14/15	J		
80. - FMI Large Cap Fd (FMIHX) mutual fd (x)	C	Dividend	M	T	Buy	09/11/15	K		
81.					Buy (add'l)	10/12/15	K		
82.					Buy (add'l)	12/18/15	J		
83.					Buy (add'l)	12/22/15	L		
84. - Harding Loevner Intl Equity Fd Ins (HLMIX) mutual fd (x)	A	Dividend	K	T	Buy	09/11/15	J		
85.					Buy (add'l)	10/12/15	J		

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86.					Buy (add'l)	11/13/15	J		
87. - Matthews Asia Dividend-Ins (MIPX) mutual fd (x)	A	Dividend	J	T	Buy	09/11/15	J		
88.					Buy (add'l)	10/12/15	J		
89.					Buy (add'l)	12/14/15	J		
90. - Oak Ridge Small Cap Growth-I (ORIYX) mutual fd (x)	A	Dividend	K	T	Buy	09/11/15	J		
91.					Buy (add'l)	10/12/15	J		
92.					Buy (add'l)	12/10/15	J		
93. - Transamerica Intl Small Cap Value Fd (TISVX) mutual fd (x)	A	Dividend	K	T	Buy	09/11/15	J		
94.					Buy (add'l)	10/12/15	J		
95.					Buy (add'l)	12/23/15	J		
96.					Buy (add'l)	12/28/15	K		
97. - Vanguard Small Cap Indx Fd Adm (VSMAX) mutual fd (x)	A	Dividend	L	T	Buy	09/14/15	J		
98.					Buy (add'l)	10/12/15	J		
99.					Buy (add'l)	11/13/15	K		
100.					Buy (add'l)	12/11/15	K		
101.					Buy (add'l)	12/22/15	J		
102. - Vanguard 500 Index Fund Adm (VFIAX) mutual fd (x)	A	Dividend	M	T	Buy	09/14/15	K		

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103.					Buy (add'l)	10/12/15	K		
104.					Buy (add'l)	11/13/15	K		
105.					Buy (add'l)	12/14/15	K		
106. Battery Ventures VI L.P.	D	Distribution	J	U					
107. Park St Capl Natural Res Fd L.P.	D	Distribution	M	U					
108. US Fidenza LLC		None	K	U					
109. MFB Northern Trust Co Funds Muni MM cash equiv.	A	Dividend	M	T					See Part VIII
110. Park St Capital V LP	G	Distribution	N	U					
111. Plymouth Rock Co Inc OC Cl A - common stock	G	Dividend	P2	T					
112. Friends of Lime Rock III LP	E	Distribution	L	W					
113. Highfields Capital II LP	G	Distribution	N	W					
114. Park St Natural Resource Fund II Combined (Private Equity)	F	Distribution	N	U					
115. Park Street Capital VI (Private Equity Fd)	G	Distribution	O	U					
116. Patron Capital LP II (private equity)	E	Distribution	N	W					
117. Orion Eruopean Real Estate Fd II, C.V., LP		None	L	U					
118. US Dublin LLC		None	L	U					
119. Europa Fund II US LP	E	Distribution	N	W	Buy (add'l)	06/24/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Weston Presidio V LP	G	Distribution	N	W					
121. NJ Dev Auth Municipal Bds	C	Interest			Matured	03/02/15	M		See Part VIII
122. Trust # 18	G	Distribution	P1	T					
123. - Federated USTreas Cash Rsv cash eq mutual fd					Buy (add'l)	04/10/15	M		
124.					Buy (add'l)	05/28/15	L		
125.					Buy (add'l)	08/20/15	L		
126.					Sold (part)	01/07/15	J		
127.					Sold (part)	04/13/15	M		
128.					Sold (part)	06/04/15	L		
129.					Sold (part)	09/04/15	L		
130. - Int in MECM Investments LLC (closely held)									
131. Trust # 19	G	Distribution	P1	T					
132. - Federated USTreas Cash Rsv cash eq mutual fd					Buy (add'l)	04/10/15	M		
133.					Buy (add'l)	05/28/15	L		
134.					Buy (add'l)	08/20/15	L		
135.					Sold (part)	01/07/15	J		
136.					Sold (part)	04/13/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	06/04/15	L		
138.					Sold (part)	09/04/15	L		
139. - Int in MECM Investments LLC (closely held)									
140. Trust # 20	G	Distribution	P1	T					
141. - Federated USTreas Cash Rsv cash eq mutual fd					Buy (add'l)	04/10/15	M		
142.					Buy (add'l)	05/28/15	L		
143.					Buy (add'l)	08/20/15	L		
144.					Sold (part)	01/07/15	J		
145.					Sold (part)	04/13/15	M		
146.					Sold (part)	06/04/15	L		
147.					Sold (part)	09/04/15	L		
148. - Int in MECM Investments LLC (closely held)									
149. Trust # 21	G	Distribution	P1	T					
150. - Federated USTreas Cash Rsv cash eq mutual fd					Buy (add'l)	04/10/15	M		
151.					Buy (add'l)	05/28/15	L		
152.					Buy (add'l)	08/20/15	L		
153.					Sold (part)	01/07/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold (part)	04/13/15	M		
155.					Sold (part)	06/04/15	L		
156.					Sold (part)	09/04/15	L		
157. - Int in MECM Investments LLC (closely held)									
158. TA Investors L.P	A	Distribution							See Part VIII
159. Wavemark Inc Ser A pfd stock	D	Distribution							See Part VIII
160. Centerbridge Cap Ptnrs LPs (Priv. Eq)	G	Distribution	P1	U					
161. Lime Rock Partners IV LP (combined) (private equities)	E	Distribution	P1	U	Buy (add'l)	06/26/15	J		
162.					Buy (add'l)	09/25/15	J		
163.					Buy (add'l)	12/24/15	J		
164. Park St Cap Priv Eq Fd VII A LP	F	Distribution	N	U					
165. Park St Cap Natural Res Fd III LP combined (priv Eq)	G	Distribution	P1	U	Buy (add'l)	07/23/15	J		
166. Polaris Vent Part Entrep Fd V LP	F	Distribution	O	U					
167. Summit Ptnrs Priv Eq Fd VII-A	G	Distribution	O	W					
168. TA X LP (Priv Eq)	G	Distribution	O	U					
169. Maricopa Cty, AZ Municipal bds	D	Interest			Sold	12/10/15	M	C	
170. Minneapolis, MN Municipal bds	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. University Idaho Municipal bds	D	Interest	M	T					
172. Federated US Treas Cash Rsv cash eq mutual fd	A	Dividend	P1	T	Buy (add'l)	01/05/15	N		
173.					Buy (add'l)	01/07/15	K		
174.					Buy (add'l)	01/09/15	M		
175.					Buy (add'l)	01/13/15	J		
176.					Buy (add'l)	01/16/15	K		
177.					Buy (add'l)	01/20/15	K		
178.					Buy (add'l)	01/21/15	K		
179.					Buy (add'l)	01/26/15	K		
180.					Buy (add'l)	01/29/15	M		
181.					Buy (add'l)	01/30/15	L		
182.					Buy (add'l)	02/02/15	J		
183.					Buy (add'l)	02/03/15	K		
184.					Buy (add'l)	02/04/15	K		
185.					Buy (add'l)	02/05/15	J		
186.					Buy (add'l)	02/09/15	J		
187.					Buy (add'l)	02/12/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	02/17/15	K		
189.					Buy (add'l)	02/23/15	M		
190.					Buy (add'l)	03/02/15	J		
191.					Buy (add'l)	03/03/15	J		
192.					Buy (add'l)	03/04/15	J		
193.					Buy (add'l)	03/05/15	J		
194.					Buy (add'l)	03/12/15	K		
195.					Buy (add'l)	03/17/15	K		
196.					Buy (add'l)	03/18/15	K		
197.					Buy (add'l)	03/19/15	K		
198.					Buy (add'l)	03/23/15	J		
199.					Buy (add'l)	03/24/15	J		
200.					Buy (add'l)	03/25/15	K		
201.					Buy (add'l)	03/27/15	J		
202.					Buy (add'l)	03/31/15	M		
203.					Buy (add'l)	04/01/15	K		
204.					Buy (add'l)	04/02/15	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	04/06/15	O		
206.					Buy (add'l)	04/07/15	M		
207.					Buy (add'l)	04/08/15	K		
208.					Buy (add'l)	04/10/15	M		
209.					Buy (add'l)	04/14/15	O		
210.					Buy (add'l)	04/16/15	K		
211.					Buy (add'l)	04/17/15	K		
212.					Buy (add'l)	04/24/15	J		
213.					Buy (add'l)	04/28/15	M		
214.					Buy (add'l)	04/29/15	N		
215.					Buy (add'l)	05/01/15	J		
216.					Buy (add'l)	05/04/15	K		
217.					Buy (add'l)	05/05/15	L		
218.					Buy (add'l)	05/08/15	J		
219.					Buy (add'l)	05/12/15	K		
220.					Buy (add'l)	05/14/15	K		
221.					Buy (add'l)	05/19/15	N		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	05/20/15	K		
223.					Buy (add'l)	05/22/15	K		
224.					Buy (add'l)	05/29/15	K		
225.					Buy (add'l)	06/01/15	P1		
226.					Buy (add'l)	06/02/15	J		
227.					Buy (add'l)	06/08/15	K		
228.					Buy (add'l)	06/10/15	J		
229.					Buy (add'l)	06/12/15	J		
230.					Buy (add'l)	06/15/15	L		
231.					Buy (add'l)	06/19/15	O		
232.					Buy (add'l)	06/23/15	K		
233.					Buy (add'l)	06/24/15	J		
234.					Buy (add'l)	06/25/15	J		
235.					Buy (add'l)	06/26/15	P1		
236.					Buy (add'l)	06/29/15	J		
237.					Buy (add'l)	06/30/15	K		
238.					Buy (add'l)	07/01/15	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Buy (add'l)	07/07/15	K		
240.					Buy (add'l)	07/09/15	J		
241.					Buy (add'l)	07/15/15	M		
242.					Buy (add'l)	07/16/15	M		
243.					Buy (add'l)	07/20/15	K		
244.					Buy (add'l)	07/22/15	J		
245.					Buy (add'l)	07/28/15	K		
246.					Buy (add'l)	07/30/15	L		
247.					Buy (add'l)	08/04/15	K		
248.					Buy (add'l)	08/05/15	J		
249.					Buy (add'l)	08/13/15	O		
250.					Buy (add'l)	08/14/15	M		
251.					Buy (add'l)	08/17/15	K		
252.					Buy (add'l)	08/18/15	M		
253.					Buy (add'l)	08/20/15	K		
254.					Buy (add'l)	08/21/15	J		
255.					Buy (add'l)	08/26/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Buy (add'l)	09/02/15	J		
257.					Buy (add'l)	09/09/15	M		
258.					Buy (add'l)	09/10/15	K		
259.					Buy (add'l)	09/11/15	O		
260.					Buy (add'l)	09/15/15	N		
261.					Buy (add'l)	09/18/15	L		
262.					Buy (add'l)	09/23/15	M		
263.					Buy (add'l)	09/28/15	J		
264.					Buy (add'l)	09/29/15	K		
265.					Buy (add'l)	10/01/15	M		
266.					Buy (add'l)	10/02/15	K		
267.					Buy (add'l)	10/05/15	J		
268.					Buy (add'l)	10/13/15	L		
269.					Buy (add'l)	10/16/15	K		
270.					Buy (add'l)	10/19/15	K		
271.					Buy (add'l)	10/23/15	L		
272.					Buy (add'l)	10/26/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.					Buy (add'l)	10/29/15	K		
274.					Buy (add'l)	10/30/15	L		
275.					Buy (add'l)	11/02/15	M		
276.					Buy (add'l)	11/03/15	K		
277.					Buy (add'l)	11/04/15	J		
278.					Buy (add'l)	11/12/15	O		
279.					Buy (add'l)	11/13/15	O		
280.					Buy (add'l)	11/17/15	J		
281.					Buy (add'l)	11/18/15	K		
282.					Buy (add'l)	11/19/15	J		
283.					Buy (add'l)	11/23/15	K		
284.					Buy (add'l)	12/01/15	K		
285.					Buy (add'l)	12/03/15	N		
286.					Buy (add'l)	12/14/15	L		
287.					Buy (add'l)	12/18/15	L		
288.					Buy (add'l)	12/21/15	J		
289.					Buy (add'l)	12/22/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	12/24/15	N		
291.					Buy (add'l)	12/29/15	M		
292.					Buy (add'l)	12/31/15	J		
293.					Sold (part)	01/06/15	J		
294.					Sold (part)	01/08/15	K		
295.					Sold (part)	01/09/15	J		
296.					Sold (part)	01/12/15	K		
297.					Sold (part)	01/13/15	J		
298.					Sold (part)	01/15/15	J		
299.					Sold (part)	01/20/15	J		
300.					Sold (part)	01/21/15	M		
301.					Sold (part)	01/22/15	J		
302.					Sold (part)	01/23/15	J		
303.					Sold (part)	01/28/15	J		
304.					Sold (part)	02/02/15	K		
305.					Sold (part)	02/05/15	K		
306.					Sold (part)	02/06/15	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Sold (part)	02/11/15	J		
308.					Sold (part)	02/12/15	L		
309.					Sold (part)	02/13/15	M		
310.					Sold (part)	02/17/15	J		
311.					Sold (part)	02/19/15	K		
312.					Sold (part)	02/20/15	J		
313.					Sold (part)	02/23/15	L		
314.					Sold (part)	02/25/15	J		
315.					Sold (part)	02/26/15	M		
316.					Sold (part)	03/02/15	K		
317.					Sold (part)	03/03/15	J		
318.					Sold (part)	03/09/15	K		
319.					Sold (part)	03/10/15	J		
320.					Sold (part)	03/11/15	J		
321.					Sold (part)	03/16/15	J		
322.					Sold (part)	03/17/15	J		
323.					Sold (part)	03/20/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Sold (part)	03/26/15	M		
325.					Sold (part)	04/01/15	M		
326.					Sold (part)	04/02/15	J		
327.					Sold (part)	04/06/15	J		
328.					Sold (part)	04/08/15	J		
329.					Sold (part)	04/13/15	K		
330.					Sold (part)	04/14/15	L		
331.					Sold (part)	04/15/15	P1		
332.					Sold (part)	04/23/15	J		
333.					Sold (part)	04/24/15	J		
334.					Sold (part)	04/27/15	J		
335.					Sold (part)	04/29/15	J		
336.					Sold (part)	04/30/15	M		
337.					Sold (part)	05/01/15	K		
338.					Sold (part)	05/04/15	L		
339.					Sold (part)	05/06/15	J		
340.					Sold (part)	05/07/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Sold (part)	05/11/15	J		
342.					Sold (part)	05/13/15	K		
343.					Sold (part)	05/15/15	J		
344.					Sold (part)	05/18/15	L		
345.					Sold (part)	05/21/15	L		
346.					Sold (part)	05/26/15	K		
347.					Sold (part)	05/27/15	J		
348.					Sold (part)	05/28/15	L		
349.					Sold (part)	06/01/15	K		
350.					Sold (part)	06/02/15	J		
351.					Sold (part)	06/03/15	O		
352.					Sold (part)	06/04/15	K		
353.					Sold (part)	06/05/15	K		
354.					Sold (part)	06/11/15	K		
355.					Sold (part)	06/12/15	J		
356.					Sold (part)	06/16/15	J		
357.					Sold (part)	06/18/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

358.					Sold (part)	06/19/15	O		
359.					Sold (part)	06/22/15	K		
360.					Sold (part)	06/26/15	J		
361.					Sold (part)	06/29/15	P1		
362.					Sold (part)	07/01/15	K		
363.					Sold (part)	07/02/15	P1		
364.					Sold (part)	07/06/15	K		
365.					Sold (part)	07/07/15	M		
366.					Sold (part)	07/08/15	K		
367.					Sold (part)	07/10/15	J		
368.					Sold (part)	07/13/15	K		
369.					Sold (part)	07/14/15	M		
370.					Sold (part)	07/15/15	M		
371.					Sold (part)	07/16/15	K		
372.					Sold (part)	07/17/15	K		
373.					Sold (part)	07/21/15	J		
374.					Sold (part)	07/22/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Sold (part)	07/23/15	J		
376.					Sold (part)	07/24/15	K		
377.					Sold (part)	07/27/15	J		
378.					Sold (part)	07/29/15	J		
379.					Sold (part)	07/31/15	L		
380.					Sold (part)	08/03/15	K		
381.					Sold (part)	08/04/15	J		
382.					Sold (part)	08/07/15	K		
383.					Sold (part)	08/10/15	K		
384.					Sold (part)	08/11/15	L		
385.					Sold (part)	08/12/15	L		
386.					Sold (part)	08/13/15	J		
387.					Sold (part)	08/14/15	P1		
388.					Sold (part)	08/17/15	J		
389.					Sold (part)	08/19/15	J		
390.					Sold (part)	08/31/15	L		
391.					Sold (part)	09/01/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Sold (part)	09/02/15	M		
393.					Sold (part)	09/03/15	M		
394.					Sold (part)	09/04/15	J		
395.					Sold (part)	09/08/15	M		
396.					Sold (part)	09/15/15	J		
397.					Sold (part)	09/16/15	N		
398.					Sold (part)	09/17/15	M		
399.					Sold (part)	09/23/15	J		
400.					Sold (part)	09/24/15	J		
401.					Sold (part)	09/25/15	L		
402.					Sold (part)	09/30/15	J		
403.					Sold (part)	10/05/15	J		
404.					Sold (part)	10/06/15	K		
405.					Sold (part)	10/07/15	J		
406.					Sold (part)	10/08/15	J		
407.					Sold (part)	10/09/15	K		
408.					Sold (part)	10/14/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Sold (part)	10/15/15	J		
410.					Sold (part)	10/20/15	L		
411.					Sold (part)	10/21/15	K		
412.					Sold (part)	10/22/15	J		
413.					Sold (part)	10/27/15	J		
414.					Sold (part)	10/29/15	J		
415.					Sold (part)	11/02/15	J		
416.					Sold (part)	11/03/15	M		
417.					Sold (part)	11/05/15	J		
418.					Sold (part)	11/10/15	K		
419.					Sold (part)	11/12/15	L		
420.					Sold (part)	11/13/15	O		
421.					Sold (part)	11/16/15	J		
422.					Sold (part)	11/17/15	J		
423.					Sold (part)	11/18/15	J		
424.					Sold (part)	11/20/15	L		
425.					Sold (part)	11/24/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Sold (part)	11/25/15	K		
427.					Sold (part)	11/30/15	N		
428.					Sold (part)	12/01/15	K		
429.					Sold (part)	12/02/15	J		
430.					Sold (part)	12/07/15	J		
431.					Sold (part)	12/08/15	M		
432.					Sold (part)	12/09/15	N		
433.					Sold (part)	12/10/15	J		
434.					Sold (part)	12/15/15	J		
435.					Sold (part)	12/16/15	J		
436.					Sold (part)	12/17/15	J		
437.					Sold (part)	12/21/15	J		
438.					Sold (part)	12/22/15	J		
439.					Sold (part)	12/23/15	N		
440.					Sold (part)	12/28/15	J		
441.					Sold (part)	12/30/15	K		
442. Local Motors Series A pfd closely held		None	J	W					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. Van Eck Intl Invest Gold A mutual fd		None			Sold	05/29/15	P1		See Part VIII
444. Hephaestion II LP priv eq	G	Distribution	P1	U	Buy (add'l)	04/02/15	K		
445. Hephaestion Retail LP priv eq	E	Distribution	O	U					
446. Penta Asia Domestic Partners LP	G	Distribution	M	U					
447. PSC Luxembourg Hldgs LLC		None	J	U					
448. Trust # 22	G	Distribution	P2	W					
449. - Int in MECM Assoc II LLC closely held					Distributed (part)	06/30/15	P1		
450. - Hephaestion LP									
451. - Hephaestion Co-invest I LP					Buy (add'l)	03/30/15	J		
452.					Buy (add'l)	07/07/15	J		
453.					Buy (add'l)	09/28/15	J		
454.					Buy (add'l)	12/23/15	J		
455. - Porus Invt Hldg Co Ltd LP									
456. - Xander Master Fund LLC									
457. - Federated US Treas Cash reserve money mrkt mutual fd					Buy (add'l)	07/10/15	J		
458.					Buy (add'l)	07/29/15	J		
459.					Buy (add'l)	12/21/15	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460.					Buy (add'l)	12/28/15	M		
461.					Sold (part)	03/30/15	J		
462.					Sold (part)	04/14/15	L		
463.					Sold (part)	07/07/15	J		
464.					Sold (part)	09/28/15	J		
465.					Sold (part)	12/23/15	J		
466. - Ptolemy Finance LLC (private eq) (x)					Open	11/21/15	P1		See Part VIII
467. Trust # 23	G	Int./Div.	P1	T					
468. - Federated US Treas Cash Rsv mutual fund					Buy (add'l)	04/10/15	L		
469.					Buy (add'l)	05/28/15	K		
470.					Buy (add'l)	08/20/15	K		
471.					Sold (part)	04/13/15	M		
472.					Sold (part)	06/04/15	K		
473.					Sold (part)	09/04/15	K		
474. - Int in MECM Investments LLC (closely held)									
475. Trust # 24	F	Int./Div.	P1	T					
476. - Federated US Treas Cash Rsv mutual fund					Buy (add'l)	04/10/15	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477.					Buy (add'l)	05/28/15	K		
478.					Buy (add'l)	08/20/15	K		
479.					Sold (part)	04/13/15	M		
480.					Sold (part)	06/04/15	K		
481.					Sold (part)	09/04/15	K		
482. - Int in MECM Investments LLC (closely held)									
483. Trust # 25	F	Int./Div.	P1	T					
484. - Federated US Treas Cash Rsv mutual fund					Buy (add'l)	04/10/15	L		
485.					Buy (add'l)	05/28/15	K		
486.					Buy (add'l)	08/20/15	K		
487.					Sold (part)	04/13/15	M		
488.					Sold (part)	06/04/15	K		
489.					Sold (part)	09/04/15	K		
490. - Int in MECM Investments LLC (closely held)									
491. Trust # 26	G	Int./Div.	P1	T					
492. - Federated US Treas Cash Rsv mutual fund					Buy (add'l)	04/10/15	L		
493.					Buy (add'l)	05/28/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494.					Buy (add'l)	08/20/15	K		
495.					Sold (part)	04/13/15	M		
496.					Sold (part)	06/04/15	K		
497.					Sold (part)	09/04/15	K		
498. - Int in MECM Investments LLC (closely held)									
499. Liveprocess Crop Ser A pfd stock		None	J	T					
500. Wavemark Inc Ser B pfd stock	D	Distribution							See Part VIII
501. Meridia Capital LP	G	Distribution	K	U					See Part VIII
502. Meta4 Group Ltd LP		None	J	U					
503. Elliott Associates, LP		None	P2	U					
504. Hony Capital Fund 2008, LP	G	Distribution	P1	U	Buy (add'l)	04/01/15	J		
505.					Buy (add'l)	07/02/15	J		
506.					Buy (add'l)	10/09/15	J		
507. Line Rock Partners V, LP combined	E	Distribution	O	U	Buy (add'l)	03/27/15	J		
508.					Buy (add'l)	06/05/15	J		
509.					Buy (add'l)	06/25/15	J		
510.					Buy (add'l)	10/08/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511.					Buy (add'l)	11/25/15	J		
512.					Buy (add'l)	12/28/15	J		
513. Lone Star Fund VI (US), LP	G	Distribution	O	U					
514. Lone Star Europe Holdings (US), LP	F	Distribution	N	U					
515. Lone Star Real Estate Fund (US), LP	G	Distribution	M	U					
516. Middle East North Africa Opportunities Fd, LP	B	Distribution	K	W					
517. MECM Associates LLC closely held	A	Dividend	P3	W					See Part VIII
518. - Abrams Capital Partners II LP		None	P2	W					
519. - Baupost Value Partners LP II		None	P2	U	Redeemed (part)	12/31/15	P1		See Part VIII
520. - Brookside Cap Partners Fd LP	G	Distribution	N	W					
521. - Kensico Associates LP		None	P2	U					
522. - Federated US Treas Cash Rsv cash eq mutual fd	A	Dividend	P1	T	Buy (add'l)	01/26/15	L		
523.					Buy (add'l)	03/27/15	K		
524.					Buy (add'l)	04/22/15	J		
525.					Buy (add'l)	07/22/15	L		
526.					Buy (add'l)	10/23/15	L		
527.					Buy (add'l)	12/31/15	P1		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528.					Sold (part)	04/29/15	N		
529.					Sold (part)	09/10/15	L		
530. - PAR Investment Partners LP (private equity)		None	P2	W					
531. - Marathon Global Fd International Equity F(private equity)	E	Distribution	P2	W					
532. - Kabouter International Opportunities Fd II LLC (private equity)		None	P1	U					
533. Berkeley Cty SC Municipal bd	D	Interest	M	T					
534. Hawaii St Municipal Bds	D	Interest	M	T					
535. Chatham Cty GA Municipal Bds	C	Interest	M	T					
536. MA St College Bds Municipal Bds	D	Interest	M	T					
537. Trust # 27	E	Int./Div.	O	T					
538. - Int in MECM Investments LLC (closely held)									
539. - Federated US Treas Cash Rsv money mrkt (mutual fd)					Buy (add'l)	03/24/15	J		
540.					Buy (add'l)	04/10/15	J		
541.					Buy (add'l)	05/27/15	J		
542.					Buy (add'l)	06/29/15	J		
543.					Buy (add'l)	08/20/15	J		
544.					Buy (add'l)	09/24/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545.					Buy (add'l)	09/28/15	J		
546.					Buy (add'l)	12/24/15	J		
547.					Sold (part)	01/06/15	J		
548.					Sold (part)	01/08/15	J		
549.					Sold (part)	04/06/15	J		
550.					Sold (part)	05/08/15	J		
551.					Sold (part)	06/03/15	J		
552.					Sold (part)	06/16/15	J		
553.					Sold (part)	06/29/15	J		
554.					Sold (part)	07/07/15	J		
555.					Sold (part)	08/10/15	K		
556.					Sold (part)	09/09/15	J		
557.					Sold (part)	10/07/15	J		
558.					Sold (part)	12/02/15	K		
559. - Vanguard Dividend Appre, mutual fd (VDAIX)					Distributed	04/14/15	M		See Part VIII
560. - Vanguard Dvd Apprec Ind-adm (VDADX) (X)					Open	04/14/15	M		See Part VIII
561. - Vanguard Emerging Markets, mutual fd (VEIEX)					Distributed	04/14/15	L		See Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

562. - Vanguard Emerg Mkts Stock Indx-adm (VEMAX) mutual fd (x)					Open	04/14/15	L		See Part VIII
563. Trust # 28	D	Int./Div.	P1	T					
564. - Int in MECM Investments LLC (closely held)									
565. - Federated US Treas Cash Rsv money mrkt (mutual fd)					Buy (add'l)	03/24/15	J		
566.					Buy (add'l)	04/10/15	J		
567.					Buy (add'l)	05/27/15	J		
568.					Buy (add'l)	06/29/15	J		
569.					Buy (add'l)	08/20/15	J		
570.					Buy (add'l)	09/24/15	J		
571.					Buy (add'l)	09/28/15	J		
572.					Buy (add'l)	12/24/15	J		
573.					Sold (part)	06/03/15	J		
574.					Sold (part)	09/09/15	J		
575.					Sold (part)	10/07/15	J		
576. - Vanguard Dividend Appre, mutual fd (VDAIX)					Distributed	04/14/15	M		See Part VIII
577. - Vanguard Dvd Apprec Ind-adm (VDADX) (x)					Open	04/14/15	M		See Part VIII
578. - Vanguard Emerging Markets, mutual fd (VEIEX)					Distributed	04/14/15	L		See Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. - Vanguard Emerg Mkts Stock Indx-adm (VEMAX) mutual fd (x)					Open	04/14/15	L		See Part VIII
580. CX Partners Fd Limited (private eq)	E	Distribution	P1	W	Buy (add'l)	06/25/15	J		
581.					Buy (add'l)	07/06/15	K		
582.					Buy (add'l)	08/21/15	K		
583.					Buy (add'l)	09/25/15	K		
584. Hephaestion III LP	G	Distribution	P1	U	Buy (add'l)	04/01/15	K		
585. Orion European Real Estate Fd III CV (priv eq)	G	Distribution	N	W	Buy (add'l)	02/12/15	K		
586. RBC (Royal Bank of Canada), bank accounts	E	Interest	P1	T					
587. RBC Dominion Securities Inc									See Part VIII
588. - National Bank of Canada GIC (annual due 3/10/2020) (x)	B	Interest			Buy	03/10/15	L		
589.					Sold	09/04/15	L		
590. - Province of Ontario Notes (due 9/8/15)	C	Interest			Matured	09/08/15	M		
591. - Alberta Cap Fin Auth Bonds	C	Interest			Matured	06/15/15	M	A	
592. - Financement Quebec Bonds (due 12/1/2016)	D	Interest			Sold	09/09/15	M	B	
593. - Homequity Bank GIC (annual due 2/17/15)	A	Interest			Matured	02/17/15	L		
594. - Ville de Montreal Bds	B	Interest			Sold	09/09/15	L	A	
595. - Pacific & Western GIC (annual due 2/28/2015)	A	Interest			Matured	03/02/15	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. - Equitable Trust GIC (annual due 8/9/2017)	C	Interest			Sold	09/04/15	L		
597. - Home Trust Company GIC (annual due 8/9/2017)	C	Interest			Sold	09/08/15	L		
598. - Manulife Trust GIC (annual due 8/9/2017)	C	Interest			Sold	09/04/15	L		
599. - Royal Trust Corp GIC (annual due 12/5/2016)	B	Interest			Sold	09/04/15	L		
600. - Canadian Tire Bank GIC (annual due 10/4/17) (x)	C	Interest			Sold	09/04/15	L		
601. - Manulife Bank CSA GIC (annual due 10/4/16) (x)	C	Interest			Sold	09/04/15	L		
602. - Canada Houseing Trust Notes (due 6/15/2019) (x)	B	Interest			Sold	09/09/15	M		
603. - Municipal Fin Auth British Columbia Notes (due 6/2/2019) (x)	B	Interest			Sold	09/09/15	M		
604. - Province of Alberta deb notes (due 6/15/2018) (x)	D	Interest			Sold	09/09/15	N		
605. - B2B Bank GIC (annual due 3/10/2020) (x)	B	Interest			Buy	03/10/15	L		
606.					Sold	09/04/15	L		
607. - Laurentian Bank GIC (annual due 3/11/2019) (x)	A	Interest			Buy	03/10/15	K		
608.					Sold	09/04/15	K		
609. - Province of Alberta due 6/1/2020 (x)	A	Interest			Buy	06/22/15	L		
610.					Sold	09/09/15	L		
611. - Canada Housing Trust No 1 Mtg Bd Ser 56 due 12/15/2018 (x)	A	Interest			Buy	06/22/15	L		
612.					Sold	09/09/15	L	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. Gold boullion		None	P1	T					
614. MECM Investments LLC (closely held)	G	Int./Div.	P3	W					
615. - Berkeley Cty SC Municipal Bds									
616. - Easley SC Municipal Bds					Matured	12/01/15	M		
617. - Maine St Municipal Bds									
618. - Int in MECM Associates II LLC (closely held)									See Part VIII
619. - Chatham Cty GA Municipal Bds									
620. - MA St College Bldg Auth Municipal Bds					Matured	05/01/15	M		
621. - Gold Bullion									
622. - Federated US Treas Cash Rsv money markt (mutual fd)					Buy (add'l)	01/05/15	M		
623.					Buy (add'l)	01/21/15	J		
624.					Buy (add'l)	01/26/15	K		
625.					Buy (add'l)	01/30/15	J		
626.					Buy (add'l)	02/06/15	J		
627.					Buy (add'l)	02/12/15	K		
628.					Buy (add'l)	02/13/15	K		
629.					Buy (add'l)	02/17/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630.					Buy (add'l)	02/18/15	K		
631.					Buy (add'l)	02/19/15	J		
632.					Buy (add'l)	02/24/15	K		
633.					Buy (add'l)	02/27/15	J		
634.					Buy (add'l)	03/02/15	J		
635.					Buy (add'l)	03/16/15	K		
636.					Buy (add'l)	03/19/15	K		
637.					Buy (add'l)	03/26/15	K		
638.					Buy (add'l)	03/27/15	L		
639.					Buy (add'l)	03/30/15	J		
640.					Buy (add'l)	04/06/15	J		
641.					Buy (add'l)	04/08/15	K		
642.					Buy (add'l)	04/10/15	J		
643.					Buy (add'l)	04/13/15	K		
644.					Buy (add'l)	04/14/15	L		
645.					Buy (add'l)	04/28/15	K		
646.					Buy (add'l)	04/30/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647.					Buy (add'l)	05/07/15	J		
648.					Buy (add'l)	05/13/15	J		
649.					Buy (add'l)	05/15/15	K		
650.					Buy (add'l)	05/18/15	J		
651.					Buy (add'l)	05/19/15	K		
652.					Buy (add'l)	05/21/15	P1		
653.					Buy (add'l)	05/26/15	J		
654.					Buy (add'l)	05/29/15	L		
655.					Buy (add'l)	06/18/15	K		
656.					Buy (add'l)	06/19/15	K		
657.					Buy (add'l)	06/29/15	K		
658.					Buy (add'l)	06/30/15	K		
659.					Buy (add'l)	07/07/15	L		
660.					Buy (add'l)	07/10/15	K		
661.					Buy (add'l)	07/15/15	O		
662.					Buy (add'l)	07/16/15	J		
663.					Buy (add'l)	07/17/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

664.					Buy (add'l)	07/21/15	K		
665.					Buy (add'l)	07/31/15	L		
666.					Buy (add'l)	08/07/15	K		
667.					Buy (add'l)	08/10/15	J		
668.					Buy (add'l)	08/11/15	K		
669.					Buy (add'l)	08/12/15	J		
670.					Buy (add'l)	08/14/15	K		
671.					Buy (add'l)	08/17/15	J		
672.					Buy (add'l)	08/19/15	J		
673.					Buy (add'l)	08/27/15	K		
674.					Buy (add'l)	08/31/15	K		
675.					Buy (add'l)	09/11/15	N		
676.					Buy (add'l)	09/22/15	K		
677.					Buy (add'l)	09/30/15	J		
678.					Buy (add'l)	10/01/15	J		
679.					Buy (add'l)	10/14/15	O		
680.					Buy (add'l)	11/04/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
681.					Buy (add'l)	11/06/15	J		
682.					Buy (add'l)	11/10/15	J		
683.					Buy (add'l)	11/12/15	J		
684.					Buy (add'l)	11/13/15	K		
685.					Buy (add'l)	11/17/15	K		
686.					Buy (add'l)	11/19/15	J		
687.					Buy (add'l)	11/23/15	J		
688.					Buy (add'l)	11/25/15	J		
689.					Buy (add'l)	12/08/15	K		
690.					Buy (add'l)	12/22/15	J		
691.					Buy (add'l)	12/29/15	J		
692.					Sold (part)	01/08/15	J		
693.					Sold (part)	01/23/15	J		
694.					Sold (part)	01/26/15	J		
695.					Sold (part)	01/27/15	K		
696.					Sold (part)	02/02/15	J		
697.					Sold (part)	02/11/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = \$15,001 - \$50,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$500,001 - \$1,000,000	K = \$15,001 - \$50,000 O = \$50,001 - \$100,000 Q = \$100,001 - \$250,000	P = \$250,001 - \$500,000 R = \$500,001 - \$1,000,000 S = \$1,000,001 - \$5,000,000	T = \$5,000,001 - \$25,000,000 U = \$25,000,001 - \$50,000,000 V = \$50,000,001 - \$100,000,000	W = \$100,000,001 - \$500,000,000 X = \$500,000,001 - \$1,000,000,000 Y = \$1,000,000,001 - \$5,000,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698.					Sold (part)	02/23/15	K		
699.					Sold (part)	03/03/15	J		
700.					Sold (part)	03/04/15	K		
701.					Sold (part)	03/13/15	L		
702.					Sold (part)	03/20/15	K		
703.					Sold (part)	04/01/15	J		
704.					Sold (part)	04/07/15	J		
705.					Sold (part)	04/08/15	J		
706.					Sold (part)	04/10/15	O		
707.					Sold (part)	04/15/15	J		
708.					Sold (part)	04/22/15	K		
709.					Sold (part)	04/23/15	J		
710.					Sold (part)	05/01/15	K		
711.					Sold (part)	05/15/15	K		
712.					Sold (part)	05/22/15	P1		
713.					Sold (part)	05/26/15	K		
714.					Sold (part)	05/27/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715.					Sold (part)	05/28/15	N		
716.					Sold (part)	06/01/15	J		
717.					Sold (part)	06/15/15	L		
718.					Sold (part)	06/18/15	J		
719.					Sold (part)	06/30/15	K		
720.					Sold (part)	07/01/15	J		
721.					Sold (part)	07/08/15	K		
722.					Sold (part)	07/13/15	K		
723.					Sold (part)	07/16/15	K		
724.					Sold (part)	07/17/15	J		
725.					Sold (part)	07/20/15	K		
726.					Sold (part)	07/22/15	K		
727.					Sold (part)	07/28/15	K		
728.					Sold (part)	08/03/15	J		
729.					Sold (part)	08/10/15	L		
730.					Sold (part)	08/13/15	J		
731.					Sold (part)	08/18/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732.					Sold (part)	08/20/15	N		
733.					Sold (part)	08/28/15	J		
734.					Sold (part)	09/01/15	J		
735.					Sold (part)	09/02/15	K		
736.					Sold (part)	09/03/15	J		
737.					Sold (part)	09/04/15	K		
738.					Sold (part)	09/09/15	N		
739.					Sold (part)	09/10/15	J		
740.					Sold (part)	09/23/15	J		
741.					Sold (part)	09/24/15	O		
742.					Sold (part)	10/05/15	K		
743.					Sold (part)	10/13/15	K		
744.					Sold (part)	10/20/15	K		
745.					Sold (part)	10/21/15	J		
746.					Sold (part)	10/30/15	K		
747.					Sold (part)	11/02/15	J		
748.					Sold (part)	11/09/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749.					Sold (part)	11/12/15	O		
750.					Sold (part)	11/16/15	K		
751.					Sold (part)	11/17/15	J		
752.					Sold (part)	11/19/15	J		
753.					Sold (part)	11/20/15	K		
754.					Sold (part)	11/24/15	J		
755.					Sold (part)	12/01/15	J		
756.					Sold (part)	12/14/15	K		
757.					Sold (part)	12/15/15	J		
758.					Sold (part)	12/24/15	J		
759.					Sold (part)	12/28/15	K		
760. - Buckeye Partners LP, PTP					Buy (add'l)	09/03/15	K		
761. - Williams Partners LP, PTP (f/k/a Access Midstrm Partners)					Sold (part)	02/13/15	J	C	See Part VIII
762.					Sold (part)	02/18/15	K	A	
763.					Sold (part)	02/19/15	K	A	
764. - DCP Midstream Partners LP, PTP					Sold (part)	04/02/15	K		See Part VIII
765.					Sold (part)	04/07/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
766.					Sold	12/31/15	K		
767. - Enbridge Energy Partners LP, PTP					Buy (add'l)	03/10/15	K		
768.					Sold (part)	11/05/15	J		
769.					Sold (part)	11/11/15	K		
770.					Sold (part)	12/21/15	J		
771. - Energy Transfer Equity LP, PTP					Buy (add'l)	11/04/15	K		
772.					Sold (part)	03/16/15	K	E	
773.					Sold (part)	07/07/15	K	E	
774.					Sold (part)	09/17/15	K	E	
775. - Enterprise Prods Partners LP, PTP					Spinoff (from line 816)	02/07/15	L		
776.					Buy (add'l)	05/20/15	K		
777.					Buy (add'l)	07/15/15	K		
778.					Sold (part)	09/28/15	J	B	
779.					Sold (part)	11/04/15	K	D	
780. - Magellan Midstream Partners, PTP					Buy (add'l)	08/10/15	J		
781.					Sold (part)	09/28/15	J	C	
782.					Sold (part)	11/18/15	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
783. - Markwest Energy LP, PTP					Buy (add'l)	01/25/15	K		
784.					Buy (add'l)	01/25/15	K		
785. - Plains All American Pipeline LP, PTP					Buy (add'l)	02/26/15	J		
786.					Buy (add'l)	05/21/15	K		
787.					Buy (add'l)	12/09/15	K		
788.					Buy (add'l)	12/10/15	J		
789.					Buy (add'l)	12/22/15	J		
790.					Sold (part)	03/31/15	J	C	
791.					Sold (part)	11/09/15	J		See Part VIII
792. - Regency Energy Partners LP, PTP					Sold (part)	02/12/15	K		See Part VIII
793.					Sold (part)	03/07/15	K		
794.					Sold	04/23/15	K		
795. - Spectra Energy Partners LP, PTP					Buy (add'l)	06/25/15	K		
796.					Buy (add'l)	07/02/15	K		
797. - Sunoco Logistics Partners LP, PTP					Buy (add'l)	07/01/15	J		
798.					Buy (add'l)	11/10/15	K		
799. - Targa Resources Partners LP, PTP					Sold (part)	02/27/15	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
800.					Sold (part)	11/10/15	K		See Part VIII
801. - Western Gas Partners LP, PTP					Buy (add'l)	07/01/15	J		
802.					Buy (add'l)	07/17/15	K		
803. - Hawaii St bds, municipal bds									
804. - Loudoun Cty VA Bds, municipal bds					Sold (part)	01/23/15	M	D	
805.					Sold	01/26/15	M	D	
806. - MA St Dev Fin Agcy Bds, municipal bds									
807. - WI St Trans Rev Bds, municipal bds									
808. - Charleston Cty SC municipal bds									
809. - Energy Northwest WA Elec Rev municipal bds									
810. - Frederick Cty MD municipal bds									
811. - MFB Northern Funds mun money mkt (cash equiv)					Buy (add'l)	12/31/15	L		See Part VIII
812. - Vanguard Energy Fd-Adm (mutual fd)									
813. - Lone Star Real Estate Fd II (U.S.), LP (priv equity)					Buy (add'l)	06/18/15	J		
814. - Lone Star Fund VII (U.S.), LP (priv equity)									
815. - PS Opportunities Onshore LP (priv equity)									
816. - Oiltanking Partners LP (PTP)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
817. - Tesoro Logistics LP (PTP)					Buy (add'l)	09/30/15	J		
818. - MPLX LP (PTP)					Sold (part)	07/13/15	K	D	
819.					Buy (add'l)	11/04/15	J		
820.					Spinoff (from line 783)	12/04/15	M		
821. - Western Gas Equity Partners LP (PTP)									
822. - EQT Midstream Partners, LP (PTP)					Buy (add'l)	01/21/15	J		
823.					Buy (add'l)	09/01/15	J		
824.					Buy (add'l)	11/10/15	J		
825. - Williams Cs Inc common (WMB)					Buy (add'l)	04/10/15	J		
826.					Buy (add'l)	04/17/15	K		
827.					Buy (add'l)	09/28/15	J		
828.					Buy (add'l)	11/04/15	J		
829.					Sold (part)	06/24/15	K	D	
830. - PA Econ Dev Fing Auth municipal bds									
831. - Falcon Edge Global, LP (private equity)					Redeemed (part)	07/14/15	O		See Part VIII
832.					Redeemed (part)	10/13/15	O		See Part VIII
833. - University Utah University Revs (municipal Bds)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
834. - MA St Sch Bldg Auth (municipal bds)									
835. - Aberdeen Emerg Mkts Inst (ABEMX) mutual fd					Sold	05/20/15	P1		See Part VIII
836. - Frontegra MFG Global Equity Fd Instl (FMGEX) mutual fd (x)					Buy (add'l)	12/19/15	K		
837. - Enlink Midstream Partners LP (ENLK) PTP (f/k/a Crosstek Energy LP)					Sold	02/05/15	K		See Part VIII
838. - Genesis Energy LP (GEL) (PTP)									
839. - Oneok Inc, com (OKE)					Buy (add'l)	12/21/15	K		
840.					Sold (part)	06/15/15	K		See Part VIII
841.					Sold (part)	08/04/15	J		See Part VIII
842. - Phillips 66 Partners LP (PSXP) (PTP)					Buy (add'l)	02/18/15	K		
843.					Buy (add'l)	07/13/15	J		
844.					Buy (add'l)	08/25/15	J		
845.					Buy (add'l)	10/07/15	K		
846. - Plains GP Holdings LP (PAGP) (PTP)					Buy (add'l)	04/01/15	J		
847.					Sold (part)	07/16/15	K	C	
848.					Sold (part)	08/05/15	J		See Part VIII
849.					Sold (part)	08/06/15	J		See Part VIII
850. - Spectra Energy Corp (SE)					Sold (part)	02/06/15	K	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
851.					Sold	05/26/15	L	C	
852. - Valero Energy Partners LP (VLP) (PTP)					Buy (add'l)	11/19/15	J		
853. - Charlotte, NC municipal bds					Matured	07/01/15	M		
854. - Connecticut St municipal bds					Sold	04/01/15	M		See Part VIII
855. - Illinois St Toll Hwy Auth municipal bds					Sold	11/10/15	M		See Part VIII
856. - Morehead St Univ KY municipal bds									
857. - Palm Beach Cnty FL Sch Brd municipal bds					Sold	02/19/15	M	A	
858. - Pennsylvania St municipal bds					Buy (add'l)	02/04/15	M		
859.					Sold (part)	05/22/15	M		See Part VIII
860. - Stratford CT municipal bds									
861. - TX Transn Commn St Hwy Fd municipal bds									
862. - Western Mich Univ Rev municipal bds									
863. - Will Cnty ILL Comnty Sch Dist municipal bds									
864. - DL Partners Opportunities Fd LP (private eq)									
865. - Antero Midstream Partners LP (AM) (PTP)					Buy (add'l)	03/10/15	K		
866. - Dominion Midstream Partners LP (DM) (PTP)									
867. - Shell Midstream Partners LP (SHLX) (PTP)					Buy (add'l)	07/14/15	J		

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
868.					Buy (add'l)	09/30/15	J		
869.					Buy (add'l)	11/12/15	J		
870. - E Cherry Creek Vy Wtr & San Dist CO municipal bds (x)					Buy	07/22/15	M		
871.					Sold	11/02/15	M	D	
872. - Forsyth Cnty GA municipal bds (x)					Buy	04/17/15	M		
873. - Franklin IND Cmnty-Sch Bldg municipal bds (x)					Buy	09/25/15	M		
874. - Maryland St municipal bds (x)					Buy	12/01/15	M		
875. - Massachusetts St Cons Ln municipal bds (x)					Buy	06/11/15	M		
876. - Minnesota St Higher Ed Auth municipal bds (x)					Buy	09/03/15	M		
877.					Sold	12/04/15	M	C	
878. - Pasadena TX municipal bds (x)					Buy	12/18/15	N		
879. - Scottsdale AZ municipal bds (x)					Buy	02/20/15	M		
880.					Sold	08/12/15	M	A	
881. - Waseca MN Indpt Sch Dist municipal bds (x)					Buy	12/11/15	M		
882. - Edgbaston Asian Equity Trust (private eq) (x)					Buy	05/22/15	P1		
883.					Buy (add'l)	09/24/15	P1		
884. - Columbia Pipeline Partners LP (CPPL) (PTP) (x)					Buy	02/06/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
885.					Buy (add'l)	07/23/15	J		
886. - Columbia Pipeline Group Inc (CPGX) (x)					Buy	08/13/15	K		
887.					Sold	10/08/15	K		See Part VIII
888. - Enlink Midstream LLC (ENLC) (PTP) (x)					Buy	04/28/15	K		
889.					Buy (add'l)	07/08/15	K		
890. - EQT GP Holding LP (EQGP) (PTP) (x)					Buy	05/12/15	K		
891. - Oneok Partners LP (OKS) (PTP) (x)					Buy	06/10/15	L		
892.					Buy (add'l)	10/15/15	K		
893.					Buy (add'l)	12/22/15	J		
894. - Targa Resources (TRGP) (PTP) (x)					Buy (add'l)	02/27/15	L		
895.					Buy (add'l)	03/17/15	L		
896.					Buy (add'l)	04/07/15	K		
897.					Buy (add'l)	08/31/15	J		
898. MECM Associates II LLC (closely held)	C	Int./Div.	P2	W					
899. - Baupost Value Partners LP II					Redeemed (part)	12/23/15	P1		
900. - Elliott Associates LP									
901. - HighVista I LP									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
902. - Penta Asia Long Short Fd Ltd LP					Redeemed (part)	02/23/15	J		
903.					Redeemed (part)	03/01/15	J		
904.					Redeemed (part)	07/01/15	J		
905.					Redeemed (part)	08/01/15	J		
906. - X Investment Holdings co Ltd LP									
907. - Federated US Teas Cash Rsv money market (mutual fd)					Buy (add'l)	02/02/15	J		
908.					Buy (add'l)	03/17/15	J		
909.					Buy (add'l)	03/24/15	J		
910.					Buy (add'l)	06/29/15	J		
911.					Buy (add'l)	07/16/15	J		
912.					Buy (add'l)	08/18/15	J		
913.					Buy (add'l)	09/24/15	J		
914.					Buy (add'l)	12/24/15	J		
915.					Buy (add'l)	12/31/15	P1		
916.					Sold (part)	04/14/15	O		
917.					Sold (part)	10/05/15	J		
918. - Vanguard Dividend Apprec (mutual fd) (VDAIX)					Distributed	04/14/15	M		See Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

919. - Vanguard Dvd Apprec Ind-adm (VDADX) mutual fd (x)					Open	04/14/15	M		See Part VIII
920. First Republic Bank, Bank account	A	Interest	L	T					
921. Perry Partners, LP (private equity)	B	Dividend	K	U					
922. Local Motors Inc Ser B pfd, closely held stock		None	M	T					
923. Local Motors Inc Ser C pfd, closely held stock		None	N	T	Buy (add'l)	01/20/15	K		
924. Adorne Inc-seed pfd (fka WebcamGen Inc Ser A pfd) clisly held		None	K	T					
925. Highland Capital Partners VIII, LP	E	Distribution	M	U					
926. New Jersey St bds, municipal bds	D	Interest	N	T					
927. Charleston Cty SC municipal bds	D	Interest	M	T					
928. Energy Northwest WA municipal bds	D	Interest	M	T					
929. MA St Sch Bldg municipal bds	D	Interest	M	T					
930. Medina Cty OH municipal bds	D	Interest	N	T					
931. Oregon St municipal bds	D	Interest	N	T					
932. Vanguard Dividend Appre (mutual fd) (VDAIX)	D	Dividend			Distributed	04/14/15	P1		
933. Vanguard Dvd Apprec Ind-adm (VDADX) mutual fd (a)	D	Dividend			Open	04/14/15	P1		
934.					Sold	06/25/15	P1	G	
935. Vanguard Energy Fd-Adm (mutual fd)	E	Dividend	P1	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
SARIS, PATTI B.	05/13/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
936. Artemis Real Est Parturs Fd I LP	G	Distribution	O	W	Buy (add'l)	02/11/15	M		
937.					Buy (add'l)	06/18/15	L		
938.					Buy (add'l)	06/29/15	M		
939.					Buy (add'l)	09/15/15	M		
940.					Buy (add'l)	09/25/15	M		
941.					Buy (add'l)	10/20/15	L		
942.					Buy (add'l)	10/30/15	L		
943. Prosperitas Real Est Partners III LP (private equity)	D	Distribution	L	W					
944. Prosperitas Real Est Partners IIIA LP (private equity)	D	Distribution	L	W					
945. Prosperitas Real Est Partners IIIB LP (private equity)	D	Distribution	L	W					
946. PS Opportunities Onshore LP (priv equity)		None	P1	U					
947. Liveprocess Corp Ser B pfd		None	J	W					
948. USHI Incorporated ord shs (closely held stock)		None	J	W					
949. UBS Bank USA deb account (cash equiv-IRA asset)	A	Interest			Sold	11/04/15	M		
950. Trust # 29									
951. - Plymouth Rock Co Inc OC C1 A com stock (closely held)	E	Dividend	P1	T					
952. - Federated US Treas Cash Rsv money mkt	A	Dividend	K	T	Buy (add'l)	05/28/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
953.					Buy (add'l)	07/16/15	J		
954.					Buy (add'l)	08/10/15	L		
955.					Buy (add'l)	09/10/15	L		
956.					Buy (add'l)	09/23/15	K		
957.					Buy (add'l)	12/22/15	K		
958.					Buy (add'l)	12/24/15	K		
959.					Sold (part)	01/05/15	J		
960.					Sold (part)	02/02/15	J		
961.					Sold (part)	02/23/15	K		
962.					Sold (part)	03/02/15	J		
963.					Sold (part)	04/01/15	J		
964.					Sold (part)	04/07/15	J		
965.					Sold (part)	04/29/15	M		
966.					Sold (part)	05/01/15	J		
967.					Sold (part)	05/28/15	K		
968.					Sold (part)	06/01/15	J		
969.					Sold (part)	07/01/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
970.					Sold (part)	07/13/15	J		
971.					Sold (part)	08/03/15	J		
972.					Sold (part)	09/01/15	J		
973.					Sold (part)	09/02/15	K		
974.					Sold (part)	09/17/15	M		
975.					Sold (part)	10/01/15	J		
976.					Sold (part)	11/02/15	J		
977.					Sold (part)	11/20/15	K		
978.					Sold (part)	12/01/15	J		
979.					Sold (part)	12/30/15	K		
980. - Hephæstion IV LP (private equity)	C	Distribution	P1	U	Buy (add'l)	04/07/15	J		
981.					Buy (add'l)	07/13/15	K		
982.					Buy (add'l)	09/17/15	M		
983.					Buy (add'l)	12/30/15	K		
984. - MECM Assoc LLC (closely held)		None							See Part VIII
985. - Independent Franchise Partners US Eq Fd (IFPUX) mutual fd	G	Dividend	P1	T	Buy (add'l)	01/25/15	K		
986.					Buy (add'l)	12/20/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
987. Trust # 30									
988. - Ptolemy Finance LLC (private equity)		None			Distributed	06/30/15	P1		See Part VIII
989. - Federated US Treas Cash Rsv money mkt cash equiv		None	J	T	Sold (part)	11/12/15	J		
990. - Int in MECM Assoc II LLC (closely held) (x)		None			Open	06/30/15	P1		See Part VIII
991. Trust # 31	D	Int./Div.	P1	W					
992. - Vanguard Dividend Appre (mutual fd) (VDAIX)					Distributed	04/14/15	M		See Part VIII
993. - Vanguard Dvd Apprec Ind-adm (VDADX) mutual fd (x)					Open	04/14/15	M		See Part VIII
994. - Vanguard Emerging Markets (mutual fd) (VEIEX)					Distributed	04/14/15	L		See Part VIII
995. - Vanguard Emerg Mkts Stock Indx-adm (VEMAX) mutual fd (x)					Open	04/14/15	L		See Part VIII
996. - Int in MECM Investments LLC (closely held)									
997. - Federated US Treas Cash Rsv money mkt cash eq					Buy (add'l)	03/24/15	J		
998.					Buy (add'l)	04/10/15	J		
999.					Buy (add'l)	05/27/15	J		
1000.					Buy (add'l)	06/29/15	J		
1001.					Buy (add'l)	08/20/15	J		
1002.					Buy (add'l)	09/28/15	J		
1003.					Buy (add'l)	12/24/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1004.					Sold (part)	01/15/15	J		
1005.					Sold (part)	02/17/15	J		
1006.					Sold (part)	03/09/15	J		
1007.					Sold (part)	03/16/15	J		
1008.					Sold (part)	04/15/15	J		
1009.					Sold (part)	05/15/15	J		
1010.					Sold (part)	06/03/15	J		
1011.					Sold (part)	06/08/15	J		
1012.					Sold (part)	06/15/15	J		
1013.					Sold (part)	06/30/15	J		
1014.					Sold (part)	07/10/15	J		
1015.					Sold (part)	07/15/15	J		
1016.					Sold (part)	08/07/15	J		
1017.					Sold (part)	08/17/15	J		
1018.					Sold (part)	09/09/15	J		
1019.					Sold (part)	09/11/15	J		
1020.					Sold (part)	09/15/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1021.					Sold (part)	10/15/15	J		
1022.					Sold (part)	10/28/15	J		
1023.					Sold (part)	11/16/15	J		
1024.					Sold (part)	12/15/15	J		
1025. Hephaestion IV LP (private equity)	D	Distribution	P1	U	Buy (add'l)	04/07/15	K		
1026.					Buy (add'l)	07/13/15	L		
1027.					Buy (add'l)	09/17/15	M		
1028.					Buy (add'l)	12/30/15	L		
1029. Learn Our History LLC (private equity)		None	L	W					
1030. Patagonia Sur, LLC (private equity)		None	M	U					
1031. Tekne Partners, LP (private equity)		None	P1	U					
1032. Lime Rock Partners VI LP (private equity)	D	Distribution	P1	U	Buy (add'l)	01/29/15	K		
1033.					Buy (add'l)	03/27/15	J		
1034.					Buy (add'l)	05/01/15	K		
1035.					Buy (add'l)	06/15/15	J		
1036.					Buy (add'l)	06/26/15	J		
1037.					Buy (add'l)	09/03/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1038.					Buy (add'l)	09/25/15	K		
1039. Metropolitan St Louis, MO municipal fd	D	Interest	M	T					
1040. PA Exon Dev Auth municipal bds	B	Interest	M	T					
1041. Glidewell Ltd Ser A Pfd stock		None	J	T					
1042. Glidewell Ltd conv note (closely held)		None			Distributed	06/12/15	J		See Part VIII
1043. HST Solar Farms Inc Conv Note (closely held)		None	K	T					
1044. Local Motors Inc Conv Note (closely held)		None	J	T					See Part VIII
1045. Trust # 32	B	Dividend	P1	T					
1046. - Int in MECM Investments LLC (closely held)									
1047. - Vanguard Dividend Appre (VDAIX) mutual fd					Distributed	04/14/15	M		See Part VIII
1048. - Vanguard Dvd Apprec Ind-adm (VDADX) mutual fd (x)					Open	04/14/15	M		See Part VIII
1049. - Vanguard Emerging Markets (VEIEX) mutual fd					Distributed	04/14/15	L		See Part VIII
1050. - Vanguard Emerg Mkts Stock Indx-adm (VEMAX mutual fund (x)					Open	04/15/15	L		See Part VIII
1051. - Federated US Treas Cash Rsv, cash eq mutual fd					Buy (add'l)	03/24/15	J		
1052.					Buy (add'l)	04/10/15	J		
1053.					Buy (add'l)	05/27/15	J		
1054.					Buy (add'l)	06/29/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1055.					Buy (add'l)	08/20/15	J		
1056.					Buy (add'l)	09/24/15	J		
1057.					Buy (add'l)	09/28/15	J		
1058.					Buy (add'l)	12/24/15	J		
1059.					Sold (part)	01/15/15	J		
1060.					Sold (part)	01/26/15	J		
1061.					Sold (part)	04/08/15	J		
1062.					Sold (part)	04/15/15	J		
1063.					Sold (part)	04/16/15	J		
1064.					Sold (part)	06/03/15	J		
1065.					Sold (part)	06/16/15	J		
1066.					Sold (part)	06/23/15	J		
1067.					Sold (part)	07/28/15	J		
1068.					Sold (part)	07/29/15	J		
1069.					Sold (part)	09/09/15	J		
1070.					Sold (part)	10/15/15	J		
1071.					Sold (part)	11/25/15	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1072. University Utah University Revs municipal bds	C	Interest	M	T					
1073. Acacia Conservation Fund LP (private equity)		None	P1	W					
1074. Caravel Fund (Onshore) LP (private equity)		None	P1	W					
1075. Compass DRR Mexico F1-2 Limited (private equity)		None	N	W					
1076. Frontegra MFG Global Equity Fd Inst (FMGEX) mutual fd	E	Dividend	P1	T	Buy (add'l)	12/18/15	K		
1077. Independent Franchise Partners US Equity (IFPUX) mutual fd	G	Dividend	P1	T	Sold (part)	08/10/15	O	G	
1078.					Buy (add'l)	12/18/15	L		
1079. Innovation works Development Fd II, LP (private equity)		None	O	U	Buy (add'l)	02/26/15	L		
1080.					Buy (add'l)	07/13/15	L		
1081. Kensixo Associates LP (private equity)		None	P1	U					
1082. Lone Star Fund VIII (US) LP (private equity)	G	Distribution	P1	U	Buy (add'l)	01/21/15	M		
1083.					Buy (add'l)	02/05/15	K		
1084.					Buy (add'l)	04/01/15	M		
1085.					Buy (add'l)	09/09/15	K		
1086.					Buy (add'l)	11/12/15	K		
1087. Marathon Global Fd International Eq (private equity)	B	Distribution	P1	U	Sold (part)	01/21/15	J		See Part VIII
1088.					Sold (part)	02/18/15	J		See Part VIII

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1089.					Sold (part)	03/18/15	J	A	
1090.					Sold (part)	04/15/15	J	A	
1091.					Sold (part)	05/20/15	J	A	
1092.					Sold (part)	06/17/15	J	A	
1093.					Sold (part)	07/15/15	J	A	
1094.					Sold (part)	08/19/15	J	A	
1095.					Sold (part)	09/16/15	J		See Part VIII
1096.					Sold (part)	10/21/15	J	A	
1097.					Sold (part)	11/18/15	J	A	
1098.					Sold (part)	12/16/15	J	A	
1099. RNPF Co Invest LLC (private equity)		None	M	U	Sold (part)	01/08/15	J		
1100. RP Holdings Fund II, LLC (private equity)	G	Distribution	M	U					
1101. Velos Partners Fund I LP (private equity)		None	M	U	Buy (add'l)	02/26/15	K		
1102.					Buy (add'l)	06/30/15	J		
1103.					Buy (add'l)	09/30/15	J		
1104.					Buy (add'l)	12/14/15	J		
1105. Charlotte, NC municipal bds	A	Interest			Matured	07/01/15	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1106. Connecticut St municipal bds	A	Interest			Sold	04/01/15	M	B	
1107. Illinois St Toll Hwy municipal bds	C	Interest			Sold	11/10/15	M		See Part VIII
1108. Morehead St Univ KY municipal bds	D	Interest	M	T					
1109. New Albany Floyd Cnty IND Sch Bldg municipal bds	A	Interest			Sold	04/02/15	L	B	
1110. Pennsylvania St municipal bds	A	Interest			Sold	02/02/15	M	A	
1111. Stratford CT municipal bds	D	Interest	M	T					
1112. TX Transn Commn St Hwy Fd municipal bds	D	Interest	M	T					
1113. Artemis Real Est Partnrs Fd II LP (private eq)	G	Distribution	N	U	Buy (add'l)	03/04/15	L		
1114.					Buy (add'l)	04/13/15	J		
1115.					Buy (add'l)	06/11/15	K		
1116.					Buy (add'l)	07/24/15	L		
1117.					Buy (add'l)	08/19/15	K		
1118.					Buy (add'l)	12/29/15	M		
1119. Kildare European Partners I, LP (private eq)	G	Distribution	P1	U	Buy (add'l)	05/18/15	L		
1120.					Buy (add'l)	05/21/15	L		
1121.					Buy (add'l)	06/01/15	K		
1122.					Buy (add'l)	06/30/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1123.					Buy (add'l)	07/14/15	L		
1124.					Buy (add'l)	09/08/15	N		
1125.					Buy (add'l)	09/25/15	M		
1126. Lone Star Real Estate Fund III (US), LP (private eq)	G	Distribution	P1	U	Buy (add'l)	05/07/15	K		
1127.					Buy (add'l)	05/26/15	M		
1128.					Buy (add'l)	07/31/15	L		
1129.					Buy (add'l)	09/02/15	M		
1130.					Buy (add'l)	10/06/15	K		
1131.					Buy (add'l)	11/03/15	M		
1132.					Buy (add'l)	12/01/15	K		
1133. MP Fund I LP (private eq)		None	M	U	Buy (add'l)	12/08/15	M		
1134. Neurophage Pharms Inc Series D pfd stock (closely held)		None	K	T	Buy (add'l)	04/30/15	J		
1135. Orion European Real Estate Fd IV CV (private eq)	G	Distribution	O	U	Buy (add'l)	02/11/15	L		
1136.					Buy (add'l)	04/29/15	M		
1137. Barclays Bank, bank account (cash account)		None	K	T					
1138. Bookhost Inc Simple Agreement for Future Equity rts in pfd stock		None	K	W					
1139. Local Morots Inc Ser B-1 pfd (closely held) (X)		None	N	T	Buy	11/30/15	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
SARIS, PATTI B.	05/13/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1140. Neurophage Pharms Inc Ser E pfd (closely held) (x)		None	J	T	Buy	12/21/15	J		
1141. CourtScribes Inc Ser A prim pfd (Delaware Corp) (x)		None	K	T	Buy	05/18/15	K		
1142. Glidewell Ltd Ser B pfd Stock (x)		None	J	T	Buy	06/12/15	J		
1143. Instinct Hlth Science Seed-1 pfd (closely held) (y)			J	W					See Part VIII
1144. Instinct Hlth Science Seed-2 pfd (closely held) (y)		None	J	W					See Part VIII
1145. Blackivy LLC (private eq) (x)		None	N	W	Buy	02/06/15	N		
1146. Catlelake IV LP (private eq) (x)		None	M	U	Buy	12/09/15	N		
1147.					Distributed (part)	12/31/15	M		
1148. CL IV Inc LLC (private eq) (x)		None	M	U	Spinoff (from line 1146)	12/31/15	M		
1149. Coastal Force Invnt in Real Estate LP (private eq) (x)		None	P1	U	Buy	07/02/15	P1		
1150. Lime Rock Partners VII LP (private eq) (x)		None	N	U	Buy	12/24/15	N		
1151. Lone Star IX (US), LP (private eq) (x)	D	Distribution	N	U	Buy	07/14/15	L		
1152.					Buy (add'l)	08/19/15	K		
1153.					Buy (add'l)	08/31/15	M		
1154.					Buy (add'l)	09/25/15	J		
1155.					Buy (add'l)	11/12/15	K		
1156.					Buy (add'l)	11/30/15	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

05/13/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1157. Longpoint Realty Partners LP CL B (private eq) (x)		None	P1	U	Buy	08/18/15	P1		
1158. Forsyth Cnty GA municipal bds (x)	B	Interest	M	T	Buy	04/17/15	M		
1159. Forsyth Cnty GA Sch Dist municipal bds (x)	C	Interest	M	T	Buy	05/20/15	M		
1160. King Cnty WA Sch Dist municipal bds (x)	C	Interest	M	T	Buy	03/12/15	M		
1161. Maryland St municipal bds (x)	A	Interest	M	T	Buy	07/02/15	M		
1162.					Buy (add'l)	12/01/15	L		
1163. Waseca MN Indpt Sch Dist municipal bds (x)		None	M	T	Buy	12/11/15	M		
1164. Franklin IND Cmnty Sch Bldg municipal bds (x)		None	L	T	Buy	09/25/15	L		
1165. Pasadena, TX municipal bds (x)		None	M	T	Buy	12/18/15	M		
1166. IRA Rollover # 2 (x)			L	T					
1167. - Federated Govt Obli Fed cash equiv (x)		None	K	T	Buy	11/12/15	M		
1168.					Sold (part)	11/17/15	K		
1169.					Sold (part)	12/18/15	K		
1170. - Vanguard Tot Wld Stk Ind-inv (VTWSX) mutual fd (x)	A	Dividend	K	T	Buy	11/17/15	K		
1171.					Buy (add'l)	12/18/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

Part I. Postions -

A. Reference is made to Trust #3 and Trust #4 of which J. Saris is a co-trustee. NOTE: All assets have been separately reported on the FDR and properly disclosed.

Part VII. Investments and Trusts -

A. Items listed on calendar year 2014 Financial Disclosure Report (FDR) and excluded for calendar year 2015 do not meet the aggregate income, or aggregate value levels outlined in the Financial Disclosure Report Filing Instructions for Judicial Officers and Employees (said instructions referencing Sections 102(a)(3) and 102(a)(1)(B)).

B. 2015 FDR, Part VII, notations -

Item 3: T A Conference LLC - the valuation code in column C has properly been changed to J.
Item 9: Kensico Offshore Fd Ltd LP - the partial redemption of this private equity properly reports no gain or loss.
Item 48: NB Equity Income Fd Ins - there was no reportable gain on the sale.
Item 49: Oak Ridge Small Cap Growth Fd Y - there was no reportable gain on the sale.
Item 109: MFB Northern Trust Co Funds muni mm - the daily detail activity was not available at filing. The asset value in column C(1) reflect the actual 12/31/2015 value. There was no reportable change from 12/31/2014.
Item 121: NJ Dev Auth municipal bds - there was no reportable gain at maturity.
Item 158: TA Investors LP - this fund liquidated its assets and receipt of cash has been reorted in column B. There was no gain realized. The asset will be removed on 2016 FDR.
Item 159: Wavemark Inc Ser A pfd stock - Wavemark was acquired by Cardinal Health in 2013. This entry reflects the final cash distribution in column B. There was no reportable capital gain. Also see item 500.
Item 443: Van Eck Intl Invest Gold A -the full sale resulted in no reportable gain.
Item 466: Ptolemy Finance LLC - 'Open' has been used to report the receipt of this asset from Trust # 30, item 988.
Item 500: Wavemark Inc Ser B pfd stock - Wavemark was acquired by Cardinal Health in 2013. This entry reflects the final cash distribution in column B. There was no reportable capital gain. Same as item 159.
Item 501: Meridia Capital LP - the valuation code in column C has properly been changed to K.
Item 517: MECM Associates LLC - the LLC being items 518 through 532 is an asset of Trust # 29 - the LLC's assets, values and activity will continue to be separately stated here.
Item 519: Baupost Value Partners LP II - the partial redemption of this private equity properly reports no gain or loss.
Item 559: Vanguard Dividend Appre - this asset was converted to Vanguard Dvd Appree Ind-adm fund (VDADX). This was a non-taxable event. Also see items 576, 918, 992 & 1047.
Item 560: Vanguard Dvd Appre Ind-adm fund (VDADX) - see above item 559 and respective items 577, 993 & 1048.
Item 561: Vanguard Emergin Markets - this asset was converted to Vanguard Emerg Mkts Stock Indx-adm (VEMAX). This was a non-taxable event. Also see items 578, 994 & 1049.
Item 562: Vanguard Emerg Mkts Stock Indx-adm - see above item 561 and respective items 579, 995, & 1050.
Item 576: See item 559.
Item 577: See item 560.
Item 578: See item 561.
Item 579: See item 562.
Item 587: RBC Dominion Securities Inc - All assets held in this securities account were sold during 2015. Column D has properly been left blank.
Item 618: Int in MECM Associates II LLC - See FDR items 898 through 919 for assets, values and activity of the LLC.
Item 761: Williams Partners LP, PTP - this asset was formerly known as Access Midstream Partners. Access was acquired by Williams Partners LP and its name was changed to Williams Partners LP.
Item 764: DCP Midstream Partners LP - there was no reportable gain on the sale.
Item 791: Plains All American Pipeline LP - there was no reportable gain on the sale.
Item 792: Regency Energy Partners LP - there was no reportable gain on the sale.
Item 800: Targa Resources Partners LP - there was no reportable gain on the sale.
Item 811: MFB Northern Funds Mun money mkt - the daily detail activity was not available at filing. The asset value in column C(1) reflects the actual 12/31/2015 value. A buy has been reported as of 12/31/2015 to show the increase in holdings from 12/31/2014.
Item 831 & 832: Falcon Edge Global LP - the partial redemptions of this private equity properly report no gain or loss.
Item 835: Aberdeen Emerg Mkts Inst - there was no reportable gain on the sale of this asset.
Item 837: Enlink Midstream Partners LP - there was no reportable gain on the sale of this asset.
Item 840 & 841: Oneok Inc, com - there was no reportable gain on the two sales of this asset.
Item 848 & 849: Plains GP Holdings LP: There was no reportable gain on the two sales of this asset.
Item 854: Connecticut St municipal bds - there was no gain on the sale of this asset.
Item 855: Illinois St Toll Hwy Auth municipal bds - there was no gain on the sale of this asset.
Item 859: Pennsylvania St municipal bds - there was no gain on the sale.
Item 886: Columbia Pipeline Group Inc - there was no gain on the sale of this asset.
Item 899: Baupost Value Partners LP II - the partial redemption of this private equity properly reports no gain or loss.
Item 918: See item 559.
Item 919: See item 560.
Item 984: MECM Assoc LLC - see items 517 through 532 for the assets and activity of this LLC.

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Item 988: See item 466.

Item 990: Mecm Assoc II LLC - see items 898 through 919 for the assets and activity of this LLC.

Item 992: See item 559.

Item 993: See item 560.

Item 994: See item 561.

Item 995: See item 562.

Item 1042: Glidewell Ltd conv note - this item has been reported as distributed on 6/12/2015 due to the purchase of Series E pfd stock. See item 1142.

Item 1047: See item 559.

Item 1048: See item 560.

Item 1049: See item 561.

Item 1050: See item 562.

Item 1087, 1088, & 1095 properly report no gain from the sale of Marathon Global Fd International Eq as reported by the private equity.

Item 1099: RNPf Co Invest LLC - the partial sale of this private equity properly reports no gain or loss.

Item 1107: Illinois St Toll Hwy municipal bds - there was no reportable gain on the sale of this asset.

Item 1143 & 1144: Instinct Hlth Science Seed-1 pfd & Seed-2 pfd - these assets were previously excluded and now meet the filing requirements for 2015.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ PATTI B. SARIS**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544
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