

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Owen, Priscilla R.	2. Court or Organization Fifth Circuit Court of Appeals	3. Date of Report 05/17/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 903 San Jacinto Blvd., Rm 434 Austin, Texas 78701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Texas Hearing and Service Dogs
2.	Advisory Board Member	Federalist Society, Austin Chapter
3.	Advisory Board Member	Federalist Society, Houston Chapter
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2011	State of Texas Employee Retirement System, Judicial Retirement Plan System II; age 65, 50% of state salary paid TX Supreme Court justice or 40% at 60
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Owen, Priscilla R.

Date of Report

05/17/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	United States Department of Agriculture - Direct and Counter Cyclical Program	\$200.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Owen, Priscilla R.

Date of Report

05/17/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Owen, Priscilla R.

Date of Report

05/17/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Federated Prime Obligation #10	A	Dividend	J	T					
2. T Rowe Price Mid-Cap Growth Fund		None	J	T					
3. Vanguard Index Funds 500 Index Fund Signal Shs (fmrly Vangua	A	Dividend	J	T					
4. ■ interest, surface, ■ Matagorda County, TX	B	Rent	L	W					
5. ■ mineral interest, ■ acres, Matagorda County, TX	B	Rent	J	W					
6. ■ surface interest in ■ acres, Matagorda County, TX	A	Rent	K	W					
7. mineral interest ■ acres, Matagorda County TX (Brigham)		None	J	W					
8. ■ land, Matagorda County, TX	A	Rent	J	W					
9. royalty or mineral interests in Matagorda, Brazoria, Cts.,TX		None	J	W					
10. cash, Community Bank & Trust	A	Interest	J	T					
11. cash, Chase checking	A	Interest	J	T					
12. cash, Chase money market	A	Interest	J	T					
13. Federated Prime Obligation #10 (IRA)	A	Dividend	M	T					
14. Vanguard Index Funds 500 Index Signal Shs (IRA)(fmrly Vangua	B	Dividend	K	T	Sold (part)	12/21/11	K		
15. Dodge & Cox International (IRA)	B	Dividend	L	T					
16. Federal Home LN MTG Corp MTN NOTE 5.0% 1/30/14 (IRA)	A	Interest	J	T					
17. FHLMC StrNt 3% 9/14/18	A	Interest			Buy	3/14/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Owen, Priscilla R.

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Redeemed	9/14/11	K		
19. FNMA StrNt 2% 9/9/16	A	Interest			Buy	3/9/11	K		
20.					Redeemed	9/9/11	K		
21. Vanguard Short Term U.S. Treasury Portfolio	A	Dividend			Sold	5/16/11	K		
22. Vanguard Short Term Govt Bond Fund	A	Dividend			Sold (part)	2/9/11	J		
23.					Sold	4/6/11	J		
24. FNMA StrNt 1.5% 7/28/15	A	Interest			Redeemed	7/28/11	K		
25. FNMA StrNt 2% 12/30/20	A	Interest			Redeemed	6/30/11	K		
26. Vanguard GNMA Fund Investor Shares	A	Dividend	K	T	Buy (add'l)	9/14/11	K		
27. Vanguard Intermediate Term Inv Grade Fund Inv Sh	A	Dividend			Sold	5/16/11	J	A	
28. Vanguard Short Term Investment Grade Bond Fund	A	Dividend			Sold (part)	03/11/11	J	A	
29.					Sold	5/16/11	J	A	
30. Vanguard Intermediate Term Tax-Exempt Fund		None			Sold	2/9/11	J		
31. Vanguard Equity Income Fund Invs Shs		None	K	T	Buy	12/21/11	K		
32. FNMA StrNt 1% 2/16/16	A	Interest			Buy	2/16/11	K		
33.					Redeemed	8/16/11	K		
34. FNMA StrNt 1.5% 2/16/21	A	Interest			Buy	2/16/11	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

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Owen, Priscilla R.

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35.					Redeemed	8/16/11	K		
36. FNMA StrNt 2% 1/26/18	A	Interest			Buy	2/24/11	K		
37.					Redeemed	7/26/11	K	A	
38. FNMA StrNt 3% 9/14/18	A	Interest			Buy	3/14/11	K		
39.					Redeemed	9/14/11	K		
40. FNMA StrNt 2% 5/23/23	A	Interest			Buy	5/23/11	K		
41.					Redeemed	11/23/11	K		
42. FHLMC 2.25% 12/30/16	A	Interest			Buy	6/30/11	K		
43.					Redeemed	12/30/11	K		
44. FNMA StrNt 2% 8/17/21		None	L	T	Buy	8/17/11	K		
45. FNMA StrNt 1% 8/24/21		None	K	T	Buy	8/24/11	K		
46. Dodge & Cox Income Fund	A	Dividend	K	T	Buy	9/14/11	K		
47. FNMA StrNt 1% 9/28/26		None	K	T	Buy	9/28/11	K		
48. FNMA StrNt 2% 9/30/26		None	K	T	Buy	9/30/11	J		
49. FNMA StrNt 1.25% 11/30/26		None	K	T	Buy	11/30/11	K		
50. National Covenant Properties -- CD	A	Interest	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Owen, Priscilla R.

Date of Report

05/17/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Owen, Priscilla R.

Date of Report

05/17/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Priscilla R. Owen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544