

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2014**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Adelman, Lynn S.	2. Court or Organization Eastern District of Wisconsin	3. Date of Report 5/13/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 517 E. Wisconsin Ave., Rm. 364 Milwaukee, WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	State of Wisconsin - Pension/Retirement	\$16,101.72
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Pace Law School	2/22/2014-2/23/2014	New York, New York	Moot Court Judge	travel expenses, lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Exxon Mobil Corporation XOM	A	Dividend	K	T					
2. Nike Stock NKE	A	Dividend	L	T					
3. Pfizer PFE	B	Dividend	L	T					
4. Royal Dutch Shell A Adrf RDSA	A	Dividend	J	T					
5. Rental Property - Milwaukee, Wisconsin	E	Rent	N	S					
6. Citizen's Bank of Mukwonago	A	Interest	L	T					
7. Citizen's Bank of Mukwonago	A	Interest	L	T					
8. Mutual Savings Bank	A	Interest	K	T					
9. Citizen's Bank of Mukwonago		None	J	T					
10. Business - plant nursery		None	J	W					
11. Wells Fargo Mun. Fund WMFAX	A	Interest			Sold	05/20/14	L	D	
12. Puerto Rico 5.0% 74514LRG4	A	Interest			Sold	05/20/14	J	A	
13. Eaton Vance Tax MGD EATVX		None			Donated				
14. Eaton Vance Mut. FDTR ETTGX		None			Sold	05/20/14	N	G	
15. Spdr S&P Midcap 400 Etf MDY	B	Dividend	M	T					
16. Energizer Holding Inc. ENR	A	Dividend	J	T					
17. Alliance Bernstein Disc Value Fund ABASX	A	Dividend			Sold	05/20/14	L	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Fidelity New Insight FD Class A FNIAX	A	Dividend			Sold	05/20/14	M	E	
19. Mutual Discovery Sep IRA		None			Sold	05/20/14	K	D	
20. Henderson European Focus HFEBX		None			Sold	05/20/14	K	D	
21. Montana State Brd Hs'g [REDACTED]		None			Sold	05/20/14	J	A	
22. Orange County FL Hs'g Fin. Auth. [REDACTED]	A	Interest			Sold	05/20/14	J		
23. San Patricio TX Mun. Water Dist. [REDACTED]	A	Interest			Sold	05/20/14	L	B	
24. NY State Twy Auth. Hwy [REDACTED]	A	Interest			Redeemed	04/01/14	K		
25. Puerto Rico Elec Power Auth. [REDACTED]	B	Interest			Sold	05/20/14	L		
26. Peoria AZ Impt Dist. [REDACTED]	B	Interest			Sold	05/20/14	L	C	
27. Bay County FL Sch. Bd. [REDACTED]	B	Interest			Sold	05/20/14	L	C	
28. FL Hs'g Fin. Corp. [REDACTED]	A	Interest			Redeemed (part)	04/10/14	J		
29.					Sold	05/20/14	K	A	
30. Pimco Total Return PTTAX	A	Dividend			Sold	05/20/14	K	A	
31. RBC Money Market (Y)									
32. RBC Money Market Prime TRMXX	A	Dividend			Sold	05/20/14	P1		
33. Gilead Sciences GILD		None	K	T	Redeemed (part)	02/19/14	J		
34. W.P. Carey Inc. WPC	B	Dividend	K	T					

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35. Genessee Cty MI [REDACTED]	A	Interest			Redeemed	05/01/14	K		
36. Pima Cty AZ CO BDS [REDACTED]	A	Interest			Sold	05/20/14	L	B	
37. Puerto Rico Pub Bldg Auth [REDACTED]	A	Interest			Sold	05/20/14	K		
38. Linn Energy (LINE)	A	Dividend			Sold	05/20/14	K		
39. AB Global Bond Fund (ANAGX)	A	Dividend			Sold	05/20/14	J	A	
40. Harris County [REDACTED]	C	Interest			Sold	10/01/14	K		
41. California ST Go Ref Bds Series [REDACTED]		None			Redeemed	10/21/14	K		
42. Illinois St Toll Hwy [REDACTED]	C	Interest	M	T					
43. University Tex [REDACTED]	C	Interest	L	T					
44. University Tex System [REDACTED]	A	Interest	J	T					
45. University Tex System [REDACTED]	B	Interest	K	T					
46. State of Minnesota [REDACTED]	B	Interest	K	T					
47. State of Washington [REDACTED]	A	Interest	K	T					
48. Aldine Ids [REDACTED]	B	Interest	K	T					
49. State of Florida [REDACTED]	B	Interest	K	T					
50. Denver City & Co [REDACTED]	A	Interest			Sold	05/16/14	J		
51. Wisconsin S [REDACTED]	B	Interest	K	T					

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52. North East Tx Isd [REDACTED]	C	Interest	L	T					
53. Spring Branch Ids [REDACTED]	B	Interest	K	T					
54. State of Wisconsin [REDACTED]	B	Interest	K	T	Buy	03/14/14	J		
55. Round Rock Ids [REDACTED]	A	Interest	K	T					
56. County of Pierce [REDACTED]		None			Sold	05/16/14	J		
57. State of Ohio [REDACTED]	B	Interest	K	T					
58. University Colo Regents [REDACTED]	B	Interest	K	T					
59. State of California [REDACTED]	B	Interest	K	T					
60. Richardson Ids [REDACTED]	A	Interest			Sold	05/16/14	J		
61. Keller Ids [REDACTED]	B	Interest	K	T					
62. Ctrl Puget Sound [REDACTED]	B	Interest	K	T					
63. Albuquerque Bernali [REDACTED]	A	Interest	K	T					
64. Frisco Ids [REDACTED]	B	Interest	L	T					
65. County of Cook [REDACTED]	B	Interest	K	T					
66. Grant Co Pud No [REDACTED]	B	Interest	L	T					
67. Deer Park Isd [REDACTED]	B	Interest	L	T					
68. North Carolina St Univ [REDACTED]	B	Interest	K	T					

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69. DFA Emerging Markets DFCEX	A	Dividend	L	T	Buy	06/20/14	L		
70.					Buy (add'l)	12/22/14	K		
71. DFA International Core DFIEX		None	M	T	Buy	12/22/14	N		
72. DFA Intl. Small Cap Value DISVX		None	K	T	Buy	12/22/14	K		
73. DFA Intl. Vector Equity DVFQX	C	Dividend	M	T	Buy	06/20/14	M		
74.					Buy (add'l)	06/23/14	L		
75.					Sold (part)	12/22/14	L		
76. DFA US Core Equity 2 DFTCX		None	O	T	Buy	12/22/14	O		
77. DFA US Core Equity DFQTX	D	Dividend	K	T	Buy	06/20/14	K		
78.					Buy (add'l)	06/23/14	O		
79.					Sold (part)	12/22/14	O	D	
80. DFA Global 60/40 Portfolio DGSIX	A	Dividend	J	T	Buy	06/20/14	J		
81. DFA Muni Real Return DMREX		None	M	T	Buy	12/22/14	M		
82. DFA Short Duration Real Return Fund DFAIX	C	Dividend			Buy	06/23/14	N		
83.					Sold	12/23/14	N		
84. DFA Short Term Extended DFEQX	A	Dividend			Buy	08/11/14	K		
85.					Sold	12/22/14	K		

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86. DFA Commodity Strategy DCMSX	A	Dividend	K	T	Buy	06/20/14	L		
87.					Sold (part)	08/11/14	J		
88. DFA Real Estate Securities DFREX	A	Dividend	L	T	Buy	06/20/14	L		
89.					Sold (part)	08/11/14	K	A	
90. FL ST B/E Cap Outly [REDACTED]		None	M	T	Buy	10/16/14	M		
91. PIMCO Total Return Fund PTTRX	A	Dividend			Buy	06/23/14	K		
92.					Sold	10/08/14	K	A	
93. Schwab Govt Fund SWGXX		None	K	T	Buy	05/09/14	J		
94. Vanguard Tax-Exempt Money Market VMSXX	A	Dividend	L	T	Buy	4/28/14	J		
95. Vanguard Total Int'l Bond IX VTABX		None	K	T	Buy	12/23/14	L		
96. State of California [REDACTED]		None	K	T	Open	10/21/14	K		
97. Henderson Evidean Focus HFEAX (x)		None			Sold	05/20/14	L	E	
98. Prothena Corp PRTA (x)		None			Sold	05/20/14	J	A	
99. Prime Fund Cap Reserves (x)	A	Dividend			Sold	05/20/14	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII, line 5, rental property, is located in Milwaukee County and assessed by the City of Milwaukee, Wisconsin at \$374,100 with a fair market value of \$390,400.

Section VII, line 8, Mutual Savings Bank was inadvertently listed twice on last year's report at lines 8 and 10. There is only one account.

Section VII, line 10, plant nursery [REDACTED], had no net income during the reporting period.

Section VII, lines 31, 32 are accounts with broker where dividends, proceeds of investments that matured, were redeemed or sold (as disclosed in the individual investment listings in this report) were deposited during the reporting period.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Lynn S. Adelman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544