

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Murphy, Diana E.	2. Court or Organization U.S.Court of Appeals (8th Cir)	3. Date of Report 05/05/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Courthouse 300 S. Fourth Street, 11E Minneapolis, MN 55415		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Life Trustee	University of Minnesota Foundation
2.	Trustee	University of St. Thomas
3.	Director	Hill Museum and Manuscript Library
4.	Director	Spencer Williams Foundation
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. (H)									
2. ALEXANDRIA LAKE AREA SAN	C	Interest	M	T					
3. ALEXANDRIA MN INDPT SCH	C	Interest	M	T					
4. APPLE VLY MN SER D G/O	C	Interest	L	T					
5. ANOKA MN PUB FACS SER A	C	Interest	L	T					
6. BLOOMINGTON MN INDPT SCH		None			Redeemed	02/02/15	L	A	
7. BROOKLYN PARK MN	C	Interest	L	T					
8. BROOKLYN CENTER MN INDPT	C	Interest	L	T					
9. CHAMPLIN MN CROSSOVER	B	Interest			Redeemed	12/29/15	L	A	
10. EDEN PRAIRIE MN 4.5%	C	Interest			Redeemed	01/21/15	L	A	
11. EDINA MN UTIL SER B	C	Interest			Redeemed	02/02/15	L	A	
12. WASHINGTON CNTY MN SER A	C	Interest	L	T					
13. US TREASURY, [REDACTED]	E	Interest	P1	T					
14. US TREASURY, [REDACTED]		None	P2	T					
15. US TREASURY, [REDACTED]		None	P1	T					
16. US TREASURY, [REDACTED]		None			Redeemed	07/23/15	N	A	
17. FRIDLEY MN INDPT SCH DST	C	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. GOLDEN VALLEY MN IMPT SER, [REDACTED]		None			Redeemed	02/02/15	L	A	
19. GOLDEN VALLEY MN IMPT SER C, [REDACTED]		None			Redeemed	02/02/15	L	A	
20. GOLDEN VALLEY MN IMPT SER C, [REDACTED]		None			Redeemed	02/02/15	L	A	
21. HENNEPIN CNTY MN REGL RR, [REDACTED]	D	Interest	M	T					
22. HENNEPIN CNTY MN SER B, [REDACTED]	C	Interest	M	T					
23. HENNEPIN CNTY MN SER A, [REDACTED]	C	Interest	M	T					
24. HOPKINS MN INDPT SCH, [REDACTED]	C	Interest	M	T					
25. HOPKINS MN SER A G/O, [REDACTED]	C	Interest	L	T					
26. MINNESOTA ST UNREFUNDED, [REDACTED]	D	Interest	M	T					
27. MINNESOTA ST PREREFUNDED [REDACTED]	A	Interest	J	T					
28. MISSOURI ST HWYS, [REDACTED]	C	Interest	M	T					
29. MOUNDS VIEW MN ISD# [REDACTED]	C	Interest	L	T					
30. MOUND MN UTIL SER B G/O, [REDACTED]	C	Interest	L	T					
31. MOUND MN RFDG TAX, [REDACTED]	C	Interest	L	T					
32. NORTH ST PAUL MN 4%, [REDACTED]		None			Redeemed	02/21/15	L	A	
33. NORTH ST PAUL MAPLEWOOD, [REDACTED]	C	Interest	L	T					
34. MINNEAPOLIS MN RFDG LIBR, [REDACTED]	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. MINNESOTA ST UNREFUNDED, [REDACTED]		None			Redeemed	10/01/15	K	A	
36. MINNESOTA ST PREREFUNDED, [REDACTED]		None			Redeemed	10/01/15	L	A	
37. OMAHA NE VAR PURP SER A, [REDACTED] [REDACTED]	D	Interest	M	T					
38. ORONO MN RFDG SER A G/O, [REDACTED] [REDACTED]	C	Interest	L	T					
39. OLMSTED CNTY MN RESOURCE, [REDACTED]		None			Redeemed	02/02/15	L	A	
40. OLMSTED CNTY MN CAP IMPT, [REDACTED]	C	Interest	L	T					
41. OMAHA NE RFDG SER B G/O, [REDACTED] [REDACTED]	C	Interest	L	T					
42. OLMSTED CNTY MN CAP IMPT, [REDACTED]	C	Interest	L	T					
43. SAUK CENTER MN INDPT SCH DI, [REDACTED]		None			Redeemed	02/02/15	L	A	
44. ST PAUL MN INDPT SCH, [REDACTED]	C	Interest	L	T					
45. ST PAUL MN INDPT SCH, [REDACTED]	C	Interest	L	T					
46. ST PAUL MN INDPT SCH, [REDACTED]	C	Interest	L	T					
47. STAPLES MOTLEY MN INDPT, [REDACTED] [REDACTED]		None			Redeemed	02/02/15	L	A	
48. RAMSEY CNTY MN CAP IMPT, [REDACTED] [REDACTED]	C	Interest	L	T					
49. RAMSEY CNTY MN CAP IMPT, [REDACTED] [REDACTED]	C	Interest	L	T					
50. SOUTH WA WATERSHED DIST, [REDACTED] [REDACTED]	C	Interest	L	T					
51. ROCHESTER MN WSTWTRA-SRA, [REDACTED]	C	Interest	L	T					

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52. SHAKOPEE MN CAP IMPT, [REDACTED]		None			Redeemed	02/03/15	L	A	
53. ST CLOUD MN PARKING PROJ, [REDACTED]	C	Interest	L	T					
54. ST MICHAEL MN INDPT SCH, [REDACTED]	B	Interest	L	T					
55. ST PAUL MN CAP IMPT STR, [REDACTED] (H)	C	Interest	L	T					
56. SPRING LK PARK MN INDPT, [REDACTED]	C	Interest	L	T					
57. TEXAS ST UNREFUNDED BAL, [REDACTED]	D	Interest	M	T					
58. TEXAS ST PREREFUNDED, [REDACTED]	A	Interest	J	T					
59. WACONIA MNWTR SER B, [REDACTED]	B	Interest	L	T					
60. WASHINGTON CNTY MN SER A, [REDACTED]	C	Interest	L	T					
61. WASHINGTON 4.25%, [REDACTED]		None			Redeemed	02/02/15	L	A	
62. WAYZATA MN ISD [REDACTED], [REDACTED]		None			Redeemed	02/02/15	L	A	
63. WEST FARGO ND PUB SCH, [REDACTED]	D	Interest	M	T					
64. (H)									
65. [REDACTED] BONDS (H)									
66. ANOKA MN PUB FACS SER A, [REDACTED]	C	Interest	L	T					
67. BLOOMINGTON MN INDPT SCH, [REDACTED]		None			Redeemed	02/02/15	L	A	
68. CRYSTAL MN IMPT SER A, [REDACTED]	C	Interest	L	T					

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69. US TREASURY, [REDACTED]		None	P1	T					
70. US TREASURY, [REDACTED]		None	P2	T					
71. US TREASURY, [REDACTED]		None			Redeemed	07/23/15	N	A	
72. EDEN PRAIRIE MN 4.5%		None			Redeemed	01/02/15	L	A	
73. GOLDEN VALLEY MN IMPT SER, [REDACTED]		None			Redeemed	02/02/15	L	A	
74. HENNEPIN CNTY MN REGL RR, [REDACTED]	D	Interest	M	T					
75. HOPKINS MN INDPT SCH, [REDACTED]	B	Interest	L	T					
76. MINNESOTA ST UNREFUNDED, [REDACTED]	D	Interest	M	T					
77. MINNESOTA ST PREREFUNDED [REDACTED]	A	Interest	J	T					
78. MINNESOTA ST SER 2009 D, [REDACTED]	C	Interest	L	T					
79. MOUNDS VIEW MN ISD # [REDACTED] - [REDACTED]	D	Interest	M	T					
80. MOUND MN RFDG TAX, [REDACTED]	C	Interest	L	T					
81. MINNEAPOLIS MN RFDG LIBR, [REDACTED]	B	Interest	L	T					
82. OMAHA NE VAR PURP SER A, [REDACTED]	D	Interest	M	T					
83. ORONO MN RFDG SER A G/O, [REDACTED]	C	Interest	L	T					
84. ST PAUL MN INDPT SCH, [REDACTED]	C	Interest	L	T					
85. SPRING LK PARK MN INDPT	C	Interest	L	T					

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86. TEXAS ST UNREFUNDED BAL. [REDACTED] - [REDACTED]	D	Interest	M	T					
87. TEXAS ST PREREFUNDED, [REDACTED]	A	Interest	J	T					
88. WEST FARGO ND PUB SCH, [REDACTED] - [REDACTED]	D	Interest	M	T					
89. Wells Fargo NTL Tax Free MM Fund, Mpls, MN	A	Interest	P1	T					
90. Wells Fargo Ntl Tax Free MM Fund, Mpls, MN	A	Interest			Closed	11/19/15	M		
91. Checking Acct, Wells Fargo Bk, Mpls, MN	A	Interest	J	T					
92. Trust, Wells Fargo Bk (mun bds & cash eq)	G	Interest	P2	T					
93. IRA Robt W Baird & Co., Milw, WI	E	Interest			Closed	12/28/15	M		
94. Wells Fargo, IRA [REDACTED]	A	Interest	M	T	Open	12/28/15	M		
95. US Treasury [REDACTED]		None	O	T	Buy	07/21/15	O		
96. Royaltan MN [REDACTED]	D	Interest	M	T	Buy	05/20/15	M		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Diana E. Murphy

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544