

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Breyer, Charles R.	2. Court or Organization N.D. of California	3. Date of Report 05/15/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address US District Court 450 Golden Gate Ave, 17th Fl San Francisco, Ca. 94102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	Berkeley Law UC Boalt Hall Alumni Association
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	UC Hasting College of the Law	\$5,814.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Salary - City Arts & Lectures, Inc
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Pepperdine University	03/16/11 - 03/17/11	Malibu, California	Professional Association	Meals, travel and hotel
2. Duke University	06/17/10	Napa, California	Educational Activities (not judicial)	Meals, travel and hotel
3. Chemonics Int'l	12/03/11 - 12/07/11	Kiev, Ukraine	Educational Activities (Non-FJC)	Meals, travel and hotel
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	C. Packer & E J Roberts Trusts	Mortgage - Fresno Industrial Park (Pt VII, Item 9)	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. WFB Money Market Fund	A	Dividend	J	T					was Overland Express
2. Fidelity Muni Money Market	A	Dividend	J	T					was Piper Jaffrey
3. Wells Fargo Bank, Larkspur, California	A	Interest	J	T					
4. Wells Fargo Bank, San Francisco, California	A	Interest	J	T					
5. Pacific Gas & Electric	B	Dividend	K	T					
6. Nike, common stock (Y)									
7. First Nigara Group	A	Dividend	J	T					
8. U.S. Bancorp	A	Dividend	L	T					
9. Vanguard Intermediate Term	A	Dividend	J	T					
10. Vacation Cabin, Norden, California		None	J	W					
11. Fresno Industrial Park (Formerly Rental)		None	N	W					Still own, but no income
12. IRA #1 (Items 13 - 38)									
13. Berkshire Hathaway Cl "B"		None	L	T					
14. Columbia Acorn	C	Dividend	L	T					
15. Westport Select Cap Fund		None			Sold	01/03/11	L	A	
16. Harbor Int'l Fund		None			Sold	01/03/11	L	A	
17. Third Avenue Int'l Fund	B	Dividend	L	T	Sold (part)	12/01/11	L	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Blackrock Inflation Pro Bond Port	D	Dividend	N	T					
19. Global Macro Fund	B	Dividend	K	T					
20. Permanent Portfolio Fund	A	Dividend	L	T					
21. IShares MSCI EAFE Index	B	Dividend	K	T	Sold (part)	12/05/11	K	A	
22. IShares Barclays Treasury Inflation	D	Dividend	N	T	Sold (part)	02/18/11	N	D	
23. IShares TR MSCI Emerging Markets Index	A	Dividend	K	T					
24. IShares IBOXX 5 Investor	D	Dividend	M	T					
25. IShares S&P North American Natural	A	Dividend	L	T					
26. IShares Tr Russell Midcap Index	B	Dividend	L	T					
27. IShares Tr Russell 1000 Value Index	A	Dividend			Sold	12/05/11	M	E	
28. IShares Tr Russell 1000 Growth Index	D	Dividend	M	T					
29. IShares Tr Russell 2000 Index	A	Dividend	N	T	Buy (add'l)	12/06/11	L		Name change was 1000 Index
30. Pimco Total Return	D	Dividend	M	T					
31. Templeton Global Bond	D	Dividend	M	T					
32. Fidelity Cash Reserves	A	Dividend	N	T					
33. Stadion Managed Portfolio		None			Buy	02/22/11	L		
34. See item 36 above		None			Sold	11/21/11	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Fidelity Floating Rate High Income	C	Dividend	M	T	Buy	02/22/11	M		
36. Fidelity High Income	D	Dividend	L	T	Buy	02/22/11	L		
37. Princeton Futures Strategy		None	K	T	Buy	11/22/11	K		
38. Vanguard Appreciation Index FD Viper	B	Dividend	N	T	Buy	12/06/11	M		
39. Wells Fargo Bank, San Francisco, California	A	Interest			Merged (with line 4)	06/30/11	J		
40. Wells Fargo Bank, San Francisco, California (Fresno Rental)	A	Interest			Merged (with line 4)	06/30/11	J		
41. IRA #2 (42 - 51)									
42. iShares Barclays US Aggregate Bond	B	Dividend	N	T					
43. iShares Russell 1000 Growth		None	J	T	Buy	12/06/11	J		
44. Primecap Odyssey Growth	A	Dividend	J	T					
45. Global Macro Fund	A	Dividend	L	T					
46. Permanent Portfolio Fund	A	Dividend	L	T					
47. Select American Shares	A	Dividend	J	T					
48. Schroder Muni Bond	A	Interest			Sold	04/06/11	K	A	
49. Schroder Short Term Bond	A	Interest			Sold	01/28/11	K	A	
50. Westport Select Funds		None			Sold	02/01/11	J	A	
51. Fidelity Money Fund	A	Dividend	J	T					was Dreyfus Treasury

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52. UC Hastings Retirement Plan (no control)		None	J	W					See Note 2 in Part VIII

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - Notes

1. All dividends (both regular and capital gain) were reinvested for the mutual funds shown on Part VII which results in a minor increase in the number of shares held,
even though no specific buy of additional shares is shown.
2. UC Hastings retirement will provide either a monthly payment or lump sum payment upon retirement (no longer teaching there). Value is estimated (no current documents received regarding value) but has been a member for a couple of years, but his total contribution (all years) has been less than \$3,000.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Charles R. Breyer**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544