

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sullivan, Patricia	2. Court or Organization Oregon District Court	3. Date of Report 11/16/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, part-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 104 SW Dorion Pendleton, Oregon 97801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors	Pioneer Relief Nursery, Inc., non-profit
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014

spouse self-employed attorney

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Lasalle Brokerage: OR college savings 529 Conservative Portfolio -	A	Interest	K	T					
2. Banker's Life Insurance - Whole life, non self-directed	A	Int./Div.	K	T					
3. Davidson IRA 1 Investment Co. of America FAICFX	A	Int./Div.			Sold	04/30/14	J		
4. LMCBA Equity Income BLDR A Sopax	A	Int./Div.			Sold	04/30/14	J		
5. Lord Abbett Smal Cap Val A LRSCX	A	Int./Div.			Sold	04/30/14	K		
6. Energy Sector SPDR XLE	A	Int./Div.			Sold	04/30/14	J		
7. Bank Insured Deposit Program	A	Int./Div.			Sold	04/30/14	K		
8. US Savings Bonds Series I Nos. M008452081-901	A	Interest	J	T					
9. Davidson IRA 2 Investment Co. of America FAICFX	A	Int./Div.			Sold	04/30/14	J		
10. LM CBA Equity Income BLDR A Sopax	A	Int./Div.			Sold	04/30/14	J		
11. Lord Abbett Small Cap Val A LRSCX	A	Int./Div.			Sold	04/30/14	K		
12. Energy Sector SPDR XLE	A	Int./Div.			Sold	04/30/14	J		
13. Bank Insured Deposit Program	A	Int./Div.			Sold	04/30/14	K		
14. Lasalle Templeton Growth Class A Mutual fund	A	Int./Div.			Sold	04/30/14	J		
15. ABA Retirement Corey Byler Rew Profit Sharing	D	Int./Div.	O	T					
16. Bank of America various accounts	A	Interest	K	T					
17. Bank of the West Checking	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Banner Bank various accounts	A	Interest	K	T					
19. Merrill Retirement Account 1 Lord Abbett Small Cap Value CIA LRSCX	A	Int./Div.	J	T	Buy	05/30/14	J		
20. Pimco Investment Grade Corporate bond CL A PBDAX	A	Int./Div.	K	T	Buy	05/30/14	K		
21. Pimco Income Fund CL A PONAX	A	Int./Div.	J	T	Buy	05/30/14	J		
22. Pimco Real Return FD CL A PRTNX	A	Int./Div.	J	T	Buy	05/30/14	J		
23. Pimco Total Return FD	A	Int./Div.	K	T	Buy	05/30/14	K		
24. American Investment Co of America FIAICFX	A	Int./Div.	J	T	Buy	05/30/14	J		
25. Clearbridge Equity Income Fund CL A SOPAX	B	Int./Div.	J	T	Buy	05/30/14	J		
26. Lord Abbett Calibrated Dividend Growth FD A LAMAX	A	Int./Div.	J	T	Buy	05/30/14	J		
27. Pimco Low Duration FD CL A PTLAX	A	Int./Div.	K	T	Buy	05/30/14	K		
28. Spector SPDR Energy XLE	C	Int./Div.	J	T	Buy	05/30/14	J		
29. Templeton Grwth FD, Inc Class A TEPLX	A	Int./Div.	J	T	Buy	05/30/14	J		
30. Bank of America NA RASP	A	Interest	J	T	Buy	05/30/14	J		
31. Merrill Retirement Account 2 Lord Abbett Short LD LAX	A	Int./Div.	K	T	Buy	05/30/14	K		
32. Clearbridge Equity SOPAX	A	Int./Div.	J	T	Buy	05/30/14	J		
33. Sector SPDR Energy XLE	A	Int./Div.	J	T	Buy	05/30/14	J		
34. Pimco Total Return FD PTTAX	A	Int./Div.	J	T	Buy	05/30/14	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Pimco Income fund CL A PONAX	A	Int./Div.	J	T	Buy	05/30/14	J		
36. Lord Abbett LRSCX	A	Int./Div.	J	T	Buy	05/30/14	J		
37. American Investment AICFX	A	Int./Div.	J	T	Buy	05/30/14	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III B. My spouse is a self-employed attorney. On previous reports I inadvertently omitted this information.

Part VII. In April and May, 2014, we closed two Davidson IRA accounts and opened two Merrill Edge Retirement accounts.

Part VII. The Scholar's Edge 529 education fund is managed by Oppenheimer Funds, Inc. and is not self-directed.

Part VII. The Column A for the 529 Fund and the Whole life insurance policies earn less than \$1,000 and were incorrectly stated in original report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Patricia Sullivan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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