

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Collyer, Rosemary M.	2. Court or Organization District Court - Washington DC	3. Date of Report 08/11/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Dist. Ct. Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address E Barrett Prettyman Courthouse 333 Constitution Avenue, NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Trustee	Trust #2
3.	Trustee	Trust #3
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Collyer, Rosemary M.

Date of Report

08/11/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Collyer, Rosemary M.

Date of Report

08/11/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Collyer, Rosemary M.

Date of Report

08/11/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT #1 (H)									
2. - BRKA - Common Class A		None	M	T					
3. - BRKB - Common Class B		None	M	T	Donated (part)				
4.					Donated (part)				
5. - Schwab Cash Equivalent Accounts	A	Int./Div.	K	T					
6. - Anne Arundel County, MD Muni Bonds	A	Interest			Redeemed	03/01/16	K	A	
7. - Baltimore, MD Proj Muni Bonds	B	Interest			Redeemed	07/01/16	K	A	
8. - T Rowe Price Maryland Tax Free Bond Fund (MDXBX)	C	Dividend	M	T					
9. - NSRGY - ETF		None	L	T	Buy	11/22/16	L		
10. - RHHBY - ETF		None	K	T	Buy	12/28/16	K		
11. - TSLA - Put Option Jun 16 180.00		None			Sell Short	02/05/16	J		
12.		None			Expired	06/17/16	J	D	
13. IRA #1 (H)									
14. - Schwab Cash Equivalent Accounts	A	Int./Div.	M	T					
15. - UST Inflation Index Notes 3.625% TIPS	C	Interest	M	T					
16. - IWF - ETF	B	Dividend	L	T	Sold (part)	12/15/16	K	E	
17. - Weitz Short-Intermediate Income Fund (WSHXX)	A	Dividend			Sold	03/16/16	M	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Collyer, Rosemary M.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - PEP - Common	B	Dividend	L	T					
19. - KMI - Common	A	Dividend	K	T					
20. - WPC - Common	C	Dividend	K	T					
21. - WPC - Call Option Jan17 70.00		None	J	T	Sell Short	07/21/16	J		
22. - VHT - ETF	C	Dividend	M	T	Buy (add'l)	02/12/16	K		
23.					Buy (add'l)	03/17/16	L		
24. - XOM - Common	A	Dividend			Sold	04/15/16	L	A	
25. - PFE - Common	B	Dividend	L	T					
26. - DWM - ETF	B	Dividend	K	T					
27. - TD - Common	B	Dividend	L	T					
28. - O - Common		None	L	T	Buy	12/15/16	K		
29. IRA #2 (H)									
30. - Schwab Cash Equivalent Accounts	A	Int./Div.	L	T					
31. - NAVB - Common		None			Sold	12/05/16	J	A	
32. - TSLA - Common									
33. - DoubleLine Total Return Bond Fund (DLTNX)	B	Dividend	L	T	Sold (part)	07/21/16	K	A	
34. - HSBC - ADR	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - NJR - Common	A	Dividend			Sold	02/12/16	K	C	
36. - SJI - Common	A	Dividend			Sold	05/12/16	K	C	
37. - TCW Total Return Bond Fund (TGMNX)	B	Dividend	L	T	Buy	07/22/16	M		
38. IRA #4 (H)									
39. - Schwab Cash Equivalent Accounts	A	Int./Div.	L	T					
40. - RDS/B - ADR	C	Dividend	L	T					
41. - EMD - Closed End Fund	C	Dividend	L	T					See Part VIII (d)
42. - JMHLY - ADR	A	Dividend	L	T					
43. - PG - Common	B	Dividend	L	T					
44. - SLB - Common	B	Dividend	L	T					
45. - GAS - Common	A	Dividend			Sold	02/08/16	L	E	
46. - CII - Closed End Fund	C	Dividend	L	T					
47. - GOOGL - Common		None	L	T	Buy	12/01/16	L		
48. IRA #5 (H)									
49. - Schwab Cash Equivalent Accounts	A	Int./Div.	M	T					
50. - GE - Common	C	Dividend	L	T					
51. - TYG - Closed End Fund	C	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Collyer, Rosemary M.

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52. - PIMCO Income Fund (POND)	C	Dividend	M	T					
53. - INTC - Common		None			Sold	01/14/16	L	B	
54. - INTC - Call Option Jan16 35.00		None			Closed	01/14/16	J	A	
55. - WMT - Common	B	Dividend			Sold (part)	09/16/16	L	C	
56.					Sold	11/15/16	J	A	
57. - AAPL - Common		None	L	T	Buy	12/29/16	L		
58. - CSCO - Common	B	Dividend	L	T	Buy	04/26/16	L		
59. - CSCO - Call Option Jan17 30.00		None	J	T	Sell Short	10/05/16	J		
60. - WFC - Common	A	Dividend	J	T	Buy	09/26/16	L		
61.					Sold (part)	12/02/16	L	D	
62. - WFC - Call Option Nov16 54.00		None			Sell Short	11/14/16	J		
63.					Expired	11/18/16	J	A	
64. - WFC - Call Option Dec16 51.00		None			Sell Short	11/22/16	J		
65.					Closed	12/02/16	J	A	
66. - WMT - Call Option Jun16 70.00		None			Sell Short	01/08/16	J		
67.					Closed	06/17/16	J	A	
68. - WMT - Call Option Sep16 70.00		None			Sell Short	06/17/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Collyer, Rosemary M.

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69.					Expired	09/16/16	J	B	
70. - GE - Call Option Oct16 30.00		None			Sell Short	06/14/16	J		
71.					Expired	10/21/16	J	C	
72. - TLT - ETF	A	Dividend			Buy	01/14/16	L		
73.					Sold	02/19/16	L	C	
74. - TGT - Common	B	Dividend			Buy	06/14/16	L		
75.					Sold	11/15/16	L	D	
76. Merrill Lynch Cash Management Account	A	Int./Div.	L	T					See Part VIII (e)
77. Citibank Checking Account	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Collyer, Rosemary M.

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08/11/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART I: - Line 1 refers to a trust that is unfunded and has no assets. Lines 2 and 3 refer to trusts established on 12/28/12. These trusts, documented in Part VII prior to 2014, are no longer shown in Part VII in accordance with revised filing instructions.

PART VII:

(a) In the description of assets the abbreviation ADR refers to American Depositary Receipts (shares of foreign companies trading on U.S. exchanges); ETF refers to Exchange Traded Funds (index funds that trade as common stocks).

(b) Put options sold short and later redeemed (i.e. exercised by owner) are shown as having zero gain because the amount received for the short sale is subtracted from the basis for the underlying security that was purchased and is therefore reflected in the gain/loss incurred when that security is ultimately sold.

(c) Lines 5, 14, 30, 39 and 49 are aggregated Schwab cash equivalent accounts containing one or more of the following: Schwab Municipal Money Fund Value Advantage; Schwab Money Fund Value Advantage, Schwab One Cash Account, Charles Schwab Bank Deposit Accounts, and/or Schwab Cash Reserves. They are aggregated here per the Filing Instructions. These account balances change frequently as a result of securities purchases or sales, cash transfers, and dividends or interest received. The income received and ending values are shown as required.

(d) Line 41: The Western Asset Emerging Assets Debt Fund (a closed end fund) was formerly traded under the symbol ESD (as shown in the 2013-2015 FDRs.) In 2016 the symbol was changed to EMD but the name remained the same. No shares were bought or sold in 2016.

(e) Line 76 refers to an aggregated cash equivalent account at Merrill Lynch that pays both dividends (for Merrill Lynch CMA Money Fund) and interest (for Merrill Lynch Bank Deposit Program). This is not a brokerage account, and no individual stocks, bonds or other assets are held.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Collyer, Rosemary M.

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08/11/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Rosemary M. Collyer**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544