

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) COFFEY, JOHN L.	2. Court or Organization 7th Circuit Court of Appeals	3. Date of Report 10/01/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address Federal Building - Courthouse Room 619 Milwaukee, WI 53202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1954, 1978	Milwaukee County Pension Plan, City of Milwaukee Pension Plan, State of Wisconsin Employee Pension Plan
2. and 1982	Explanation: Reporter is a participant in 3 government sponsored pension plans as a result of his employment as Milwaukee City Attorney, Milwaukee County
3. --	Circuit Court Judge and Wisconsin Supreme Court Justice.

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

COFFEY, JOHN L.

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10/01/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Judicial Retirees & Surv. - U.S. Courts; Milwaukee County, City of Milwaukee, State of Wisconsin Pensions	\$263,796.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Self-Employed artist-painter
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

COFFEY, JOHN L.

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10/01/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

COFFEY, JOHN L.

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10/01/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TRUST									
2. ADVISORS INNER CIRCLE SMIDCAP FUND	A	Dividend	K	T					
3. ALLIANCE BERNSTEIN INTL GROWTH		None			Sold	6/24/11	L	A	
4. AM. MUTUAL FUND CLASS F2	A	Dividend	J	T	Buy	12/21/11	J		
5. BAIRD SHORT TERM BD FUND	A	Interest	J	T					
6. BERKSHIRE HATHAWAY CL. B		None	L	T					
7. BARCLAY DJ USB COMTY ETN		None	J	T					
8. CHILTON WI SDS	B	Interest	K	T					
9. COLUMBIA FDS OPPTY FUND	A	Dividend	J	T	Buy	12/21/11	J		
10. DFA INVT DIMENSIONS SM CAP		None			Sold	12/29/11	L	A	
11. DRIEHAUS MUTUAL FDS	A	Dividend	J	T	Buy	3/10/11	J		
12. FAYETTE CNTY GA. SCHOOL DIST	B	Interest	K	T	Buy	3/1/11	K		
13. FEDERATED SERS INC.	A	Interest			Sold	3/10/11	J	A	
14. FL ST. BRD ED. PUB. ED. REF.	C	Interest	L	T					
15. FMI FDS LARGE CAP	C	Dividend	M	T					
16. FORUM FUNDS LIBERTY ST. HORIZON	A	Dividend	J	T					
17. GABELLI INVESTOR FUND	B	Dividend	K	T	Buy	5/16/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. GAINSVILLE FL UTILS REV A	B	Interest	K	T					
19. GENERAL ELECTRIC	B	Dividend	L	T					
20. HARBOR INTL FD		None			Sold	12/29/11	L	A	
21. IVY FDS ASSET STRATEGY	A	Dividend	K	T					
22. INV. MANAGER SER. TR. LIBERTY STR	A	Dividend			Sold	12/21/11	K	A	
23. ISHARES DOW JONES SEL DIV	A	Dividend	K	T	Buy	12/21/11	K		
24. ISHARES MSCI EAFE INDEX FD	A	Dividend	L	T	Buy	12/29/11	L		
25. ISHARES MSCI EAFE SMALL	A	Dividend	K	T	Buy	12/29/11	K		
26. JASPER CNTY S.C. SCHOOL DIST	A	Interest	K	T	Buy	4/1/11	K		
27. JPMORGAN ALERIAN MLP INDEX	A	Dividend	J	T	Buy	12/21/11	J		
28. JUDSON, TX, SCHRFDG	B	Interest	K	T					
29. KEELY SM CAP VALUE CLASS A		None			Sold	12/21/11	K	A	
30. LOGANSPOUT IN. HIGH SCHOOL 1ST MTG	B	Interest	K	T	Buy	2/7/11	K		
31. LOOMIS SAYLES FDS BOND FD	A	Interest	J	T	Sold (part)	12/1/11	J	A	
32. MUNDER SER TR MID CAP	A	Dividend	K	T					
33. NEENAH, WI PROM NOTES	B	Interest	K	T					
34. NEUBERGER BERMAN INC. BOND FUND	A	Interest	J	T	Buy	12/1/11	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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35. OPPENHEIMER INTL BD FD	A	Interest	K	T					
36. PIMCO DEV LOC MRKT (PAC INVT MGM COMMODITY)	A	Interest	J	T					
37. PIMCO FDS EMERGING MKTS	A	Dividend	K	T	Buy	9/1/11	K		
38. PIMCO FDS UNCONSTR. BOND FD	A	Dividend	J	T	Sold (part)	3/10/11	J	A	
39. PUERTO RICO ELEC. AUTH. REV. RR	B	Interest	L	T					
40. RICHLAND CNTY SC SCHOOL DISTRICT	B	Interest	K	T					
41. ROWE T. PRICE CORP.	A	Dividend	J	T	Sold (part)	3/10/11	J	A	
42. R.S. INV TR VALUE FD CLASS A	A	Dividend	K	T					
43. SPDR INDX FDS S&P BRIC		None			Sold	5/16/11	K	B	
44. STRATTON FUNDS SM CAP VALUE	A	Dividend	K	T					
45. 3M CO	B	Dividend			Sold	11/28/11	L	A	
46. THIRD AVE TR REAL ESTATE VALUE	A	Interest	J	T					
47. VANGUARD FIXED INC. PROT.	A	Dividend	J	T	Sold (part)	12/11/11	J	A	
48.									
49. REPORTER									
50. BAIRD FUNDS - INTER BD FD	A	Interest	K	T					
51. BLDRS EMERGING MARKETS	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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52. COSTCO WHOLESALE	A	Dividend	K	T					
53. FIDELITY NAT. INFO SERIES	A	Dividend	J	T					
54. FISERV	A	Dividend	K	T	Sold (part)	8/24/11	J	A	
55. INTEL CORP.	A	Dividend	J	T					
56. ISHARES DOW JONES ETF MEDICAL DEVICES INDEX	A	Dividend	J	T					
57. ISHARES - MSCI BRAZIL INDEX	A	Dividend	K	T					
58. ISHARES MSCI EMERGING MKTS	A	Dividend	K	T					
59. JOHNSON & JOHNSON	A	Dividend	J	T					
60. LEUTHOLD FDS. ASSET ALLOC.	A	Dividend	K	T					
61. MASS MUTUAL	B	Interest	L	T					
62. MATTHEWS CHINA FUND	A	Dividend	J	T	Sold (part)	5/20/11	J	A	
63. MITCHELL BANK HOLDING	A	Dividend	J	T					
64. NORTHWESTERN MUTUAL LIFE	B	Int./Div.	L	T					
65. OBERWEIS CHINA OPPT	A	Dividend	J	T	Sold (part)	5/20/11	J	A	
66. PARK BANK	B	Int./Div.	K	T					
67. RIVERBED TECH I		None	K	T	Sold (part)	8/24/11	J	A	
68. SPDR GOLD TR		None	K	T	Sold (part)	8/24/11	K	D	

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

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69. ST. JUDE MEDICAL	A	Dividend	J	T					
70. STERICYCLE	A	Dividend	K	T					
71. US BANK	C	Dividend	L	T					
72. TPC GROUP		None	J	T	Buy	8/25/11	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

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10/01/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

REPORTER CORRECTIONS TO 2010 DISCLOSURE REPORT

Part VII. Investments and Trusts

2010 Report failed to show purchase of one security:

40. Richland CNTY SC School District = value code K.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

COFFEY, JOHN L.

Date of Report

10/01/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **JOHN L. COFFEY**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544