

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Harrison, Marian F.	2. Court or Organization U.S. Bankruptcy Court-TN-M	3. Date of Report 05/09/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States Bankruptcy Court 232 Customs House-701 Broadway Nashville, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member Board of Directors	National Conference of Bankruptcy Judges
2.	Member Finance Committee	National Conference of Bankruptcy Judges
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. NCBJ	03/19/2012 - 03/20/2012	Miami, FL	Mid-Year Meeting	Transportation, lodging, food
2. NCBJ	10/23/2012 - 10/29/2012	San Diego, CA	Annual Meeting	Transportation, lodging, food
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Northwestern Mutual	Insurance Policy Loan	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Coca Cola Stock	A	Dividend	J	T					
2. UBS Bank USA Deposit Acct.		None	J	T					
3. John Hancock Policy		None	J	T					
4. Northwestern Mutual Policy - Whole Life	B	Dividend	L	T					
5. Savings Account- U.S. Community Credit Union	A	Interest	J	T					
6. SunTrust Checking Account #1		None	J	T					
7. SunTrust Checking Account #2		None	J	T					
8. UBS Bank USA Dep. Acct. - IRA	A	Interest	J	T					
9. Henderson Global Invest. Int'l Opport. Fund A - IRA	A	Dividend	L	T	Buy (add'l)	08/20/12	J		
10. Fidelity Adv. Strateg. Inc. Fund Class A - IRA	B	Dividend	K	T	Sold (part)	08/21/12	J	A	
11. UBS Pace Money Mkt. Fund Class P - IRA	A	Dividend	J	T					
12. Oppenheimer Int'l Bond Fd. Class A - IRA	B	Dividend	K	T					
13. Davis New York Venture Fd. Class A - IRA	A	Dividend	K	T	Sold (part)	08/21/12	J	A	
14. Apple, Inc. - IRA	A	Dividend	L	T	Sold (part)	09/24/12	K	E	
15.					Buy (add'l)	11/15/12	J		
16. Touchstone Mid Cap Grwth Fund Class A IRA	A	Dividend	K	T	Sold (part)	08/21/12	J		
17. Google, Inc. - IRA		None	K	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Johnson & Johnson - IRA	A	Dividend	J	T					
19. NY Times Co. - IRA		None	J	T					
20. Mainstay Funds Hi Yld Corp. Bond A - IRA	A	Dividend	J	T	Sold (part)	08/21/12	J	A	
21. UBS Select Prime Inv Fund - IRA	A	Dividend	K	T					
22. Alger Spectra Fund Class A - IRA	A	Dividend	K	T	Sold (part)	08/21/12	J	A	
23. Parnassus Fund - IRA	B	Dividend	K	T	Sold (part)	08/21/12	J		
24. Royce Special Equity Fund - IRA	B	Dividend	K	T	Sold (part)	08/21/12	J		
25. Virtus Prem Alpha Sector Fund - IRA	A	Dividend	K	T					
26. Blackrock Global Alloc Fund A - IRA	A	Dividend	L	T	Buy (add'l)	08/20/12	J		
27. BHP Billiton LTD Spon Adr - IRA	A	Dividend	J	T					
28. Siemens A.G. Spon Adr - IRA	A	Dividend	K	T					

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part I, Positions, page 1, Line 1 - "Trustee (Insurance Trust) - Trust No. 1" has been deleted. Trust was liquidated at the end of 2011.
2. Part VI, Liabilities, page 3, Line 2 - "American Express - Credit Card - None" has been deleted. No balance owed at the end of 2011/2012.
3. Part VII, Investments and Trusts, page 4, Line 3 - "John Hancock #1 (Trust No. 1)" has been deleted. Trust was liquidated at the end of 2011.
4. Part VII, Investments and Trusts, page 4, Line 3 - "#2" deleted from description in Column A. See No. 3 above.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Marian F. Harrison**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544