

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BESOSA, FRANCISCO A.	2. Court or Organization U.S. DISTRICT COURT, PR	3. Date of Report 5/7/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ACTIVE U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. COURTHOUSE 150 CHARDON AVENUE, CH-119 SAN JUAN, PR 00918		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. PRESIDENT - TREASURER	FILIAL AMOR CORPORATION (CLOSELY HELD)
2. EXECUTOR	ESTATES #1, #2, #3 AND #4
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2012

PUERTO RICO GENERAL COURT OF JUSTICE - SUPERIOR COURT JUDGE - SALARY

2. 2012

DOCTO JURIS, INC - BAR REVIEW COURSE INSTRUCTOR - DIVIDEND INCOME AND PROFESSIONAL FEES

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	UBS INVESTMENT ACCOUNT	MARGIN LOAN	K
2.	CARIBE FEDERAL CREDIT UNION	PERSONAL LOAN	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ORIENTAL GROUP DIVERSIFIED GROWTH IRA	D	Dividend	M	T					
2. ORIENTAL GROUP DIVERSIFIED GROWTH IRA	D	Dividend	M	T					
3. FILIAL AMOR CORP-COMMON STOCK		None	L	U					SEE NOTE IN PART VIII
4. SHARES IN FAHBU, SE		None	K	U					
5. DOCTO JURIS, INC - COMMON STOCK	C	Dividend	J	T					
6. ESTATES #1, #2, AND #4 (SEE LINES 7-14)	E	Int./Div.	N						SEE NOTE IN PART VIII
7. -GNMA UNIT 093	A	Interest	K	T					
8. -GNMA UNIT					Sold	1/17/12	K	A	SEE NOTE IN PART VIII
9. -GNMA UNIT	A	Interest	K	T					
10. -GNMA UNIT	A	Interest	K	T					
11. -PR COMMONWEALTH INDL. DEC.CO. REV. RDFG	B	Interest	L	T	Sold (part)	1/3/12	K	A	SEE NOTE IN PART VIII
12. -MONEY MARKET FUND	A	Interest	M	T					
13. -COMMON STOCK IN CONAGRA FOODS INC	D	Dividend	L	T					SEE NOTE IN PART VIII
14. -COMMON STOCK IN CIBC MELLON TRUST CO	B	Dividend	K	T					SEE NOTE IN PART VIII
15. ESTATE #3 (SEE LINE 16)	B	Dividend	L						
16. -GEORGE PUTNAM FUND OF BOSTON CI	B	Dividend	L	T					
17. BROKERAGE ACCOUNT #1 (SEE LINES 18-21)	D	Int./Div.	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -GNMA UNIT					Sold	9/17/12	K	A	SEE NOTE IN PART VIII
19. -GNMA UNIT	C	Interest	K	T					
20. -GNMA UNIT	C	Interest	K	T					
21. -STOCKS OF PR AAA	A	Dividend	L	U	Sold (part)	10/15/12	L	C	
22. SCOTIABANK - CASH IN BANK	A	Interest	J	T					
23. CARIBE FEDERAL CREDIT UNION	A	Int./Div.	J	T					
24. COMMON STOCK IN METLIFE INC	A	Dividend	J	T					SEE NOTE IN PART VIII
25.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART IV

During 2012 a casualty loss occurred due to a fire in my residence. The insurance agency paid the estimated loss amount of \$300,000. All funds were used to fix the damages

PART VII

LINE 3) This is a closely held corporation in which [REDACTED] re the only shareholders. These shares are not publicly traded

LINE 6) Estates #1, #2 and #4 are invested in a Santander Securities account

LINES 8, 11 & 18) These transactions resulted in net capital losses

LINES 13 & 14) These investments were erroneously omitted in previous year reports. Value codes are estimates

LINES 24) This investment was erroneously omitted in previous year reports

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ FRANCISCO A. BESOSA

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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