

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FREUDENTHAL, NANCY D.	2. Court or Organization DISTRICT COURT - WYOMING	3. Date of Report 03/11/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address OFFICE OF JUDGE FREUDENTHAL 2120 CAPITOL AVE. CHEYENNE, WY 82001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	TRUSTEE	TRUST #1
2.	BOARD MEMBER	WYOMING PROFESSIONAL ASSISTANCE PROGRAM
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2003	STATE OF WYOMING RETIREMENT PLAN WITH FORMER EMPLOYER, NO CONTROL, AGREEMENT SIGNED IN 2003
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	STATE OF WYOMING RETIREMENT DISTRIBUTION	\$42,107.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	STATE OF WYOMING - RETIREMENT DISTRIBUTION
2. 2018	SELF-EMPLOYED ATTORNEY
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MERIDIAN TRUST FCU (CASH ACCOUNTS)	A	Interest	K	T					
2. MASS MUTUAL FIXED WHOLE LIFE PAID-UP AT AGE 65 INSURANCE	C	Dividend	M	T					
3. VOYA/SECURITY LIFE OF DENVER LIFE INSURANCE POLICY	B	Interest	L	T					
4. AMERIPRISE NON-QUALIFIED CASH RESERVE CERTIFICATE	A	Interest	M	T					
5. BROKERAGE ACCOUNT #1 (H)									
6. AMERIPRISE INSURED MONEY MARKET (AIMMA)	A	Interest	J	T					
7. AMERICAN CENTURY MID CAP VALUE INVESTOR CL (ACMVX)	C	Dividend	K	T					
8. BLACKROCK STRATEGIC INCOME OPPTYS INSTL CL (BSIIX)	A	Dividend	K	T					
9. MULTI MANAGER VALUE STRATEGIES CL Z (CZMVX)	C	Dividend	L	T	Buy (add'l)	02/15/18	J		
10.					Sold (part)	08/15/18	J	A	
11. MULTI MANAGER TOTAL RETURN BOND STRATEGIES CL Z (CTRZX)	D	Dividend	N	T	Buy (add'l)	02/15/18	J		
12.					Buy (add'l)	05/18/18	J		
13.					Sold (part)	08/15/18	J		
14.					Sold (part)	11/15/18	J		
15. MULTIMANAGER SMALL CAP EQUITY STRATEGIES CL Z (CZMSX)	C	Dividend	K	T	Sold (part)	05/18/18	J	A	
16.					Buy (add'l)	08/15/18	J		
17.					Buy (add'l)	11/15/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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18. MULTI MANAGER GROWTH STRATEGIES CL Z (CZMGX)	D	Dividend	K	T	Sold (part)	02/15/18	J	B	
19.					Buy (add'l)	05/18/18	J		
20.					Sold (part)	08/15/18	J	A	
21.					Sold (part)	11/15/18	J	A	
22. MULTI MANAGER INTL EQ STRAT INSTL (CMIEX)	A	Dividend	K	T	Buy	05/18/18	K		
23.					Buy (add'l)	08/15/18	J		
24.					Buy (add'l)	11/15/18	J		
25. MULTI MANAGER DIRECTIONAL ALTERNATIVE STRATEGIES CL Z (CDAZX)		None			Sold	02/15/18	K	B	
26. DELAWARE US GROWTH INSTL CL (DEUIX)	C	Dividend	K	T					
27. MFS INTL VALUE CL I (MINIX)	A	Dividend	K	T	Sold (part)	05/18/18	J	B	
28. MFS VALUE CL I (MEIIX)	A	Dividend	K	T	Sold (part)	11/15/18	J	A	
29. NUVEEN SYMPHONY FOLATING RATE INCOME CL I (NFRIX)	A	Dividend	K	T					
30. OPPENHEIMER INTL GROWTH CL Y (OIGYX)		None			Sold	05/18/18	K	C	
31. PGIM JENN MID CAP GR Z (FORMERLY PRUD JENN MID CAP GR Z) (PEGZX)	D	Dividend	K	T	Buy (add'l)	11/15/18	J		
32. WELLS FARGO EMERGING MARKETS EQUITY INSTL CL (EMGNX)	A	Dividend	K	T	Sold (part)	02/15/18	J	B	
33.					Buy (add'l)	08/15/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

34. ISHARES MSCI EAFE VALUE ETF (EFV)		None			Sold	05/18/18	K	B	
35. ISHARES CORE MSCI EMERG MKTS ETF (IEMG)	A	Dividend	J	T	Buy	02/15/18	J		
36.					Buy (add'l)	08/15/18	J		
37. BROKERAGE ACCOUNT #2 (H)									
38. AMERIPRISE INSURED MONEY MARKET (CASH)	A	Interest	J	T					
39. COLUMBIA DIVIDEND OPPTY CL A (INUTX)	C	Dividend	K	T					
40. COLUMBIA LARGE CAP VAL A (FORMERLY COLUMBIA DIVERS EQ INC A) (INDZX)	B	Dividend	K	T					
41. RIVERSOURCE LIFE RIVERSOURCE RAVA 5 ADVANTAGE VARIABLE ANNUITY #1 (H)									
42. RIVERSOURCE COLUMBIA VP-MANAGED VOLATILITY MODERATE GROWTH FUND CL 2		None	M	T					
43. RIVERSOURCE LIFE RIVERSOURCE RAVA 5 ADVANTAGE VARIABLE ANNUITY #2 (H)									
44. RIVERSOURCE COLUMBIA VP-MANAGED VOLATILITY MODERATE GROWTH FUND CL 2		None	N	T					
45. RIVERSOURCE VP-MODERATE PORTFOLIO CLASS 2		None	N	T					
46. RIVERSOURCE LIFE RIVERSOURCE RAVA 5 ADVANTAGE VARIABLE ANNUITY #3 (H)									
47. RIVERSOURCE VP-MODERATE PORTFOLIO CLASS 2		None	M	T					
48. RIVERSOURCE LIFE RETIREMENT ADVISOR ADV VARIABLE ANN #1 (H)									

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49. RIVERSOURCE VP MODERATE C12		None	J	T					
50. IRA #1 (H)									
51. DREYFUS GOVT CASH MGMT FDS INSTL SHS 289 (Y)									
52. COLUMBIA ADAPTIVE RISK ALLOC CL Z (CRAZX)	B	Dividend	K	T					
53. COLUMBIA ALTERNATIVE BETA CL Z (CLAZX)		None	J	T					
54. ISHARES MSCI PACIFIC EX JAPAN ETF (EPP) (Y)									
55. ISHARES TIPS BOND ETF (TIP)	A	Dividend	J	T	Sold (part)	02/01/18	J		
56.					Buy (add'l)	07/02/18	J		
57. ISHARES IBOXX SINVESTMENT GRADE CORP BOND ETF (LQD)	A	Dividend	J	T	Buy (add'l)	08/01/18	J		
58. ISHARES 20+YR TREAS BD ETF (TLT)	A	Dividend	J	T	Buy	02/01/18	J		
59.					Sold (part)	03/12/18	J		
60.					Sold (part)	06/01/18	J		
61.					Buy (add'l)	07/02/18	J		
62.					Buy (add'l)	08/01/18	J		
63.					Sold (part)	10/01/18	J		
64. ISHARES 7-10YR TREASURY BOND ETF (IEF)	A	Dividend	J	T	Buy (add'l)	02/01/18	J		
65.					Buy (add'l)	03/12/18	J		

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66.					Sold (part)	06/01/18	J		
67.					Buy (add'l)	07/02/18	J		
68.					Buy (add'l)	08/01/18	J		
69.					Sold (part)	10/01/18	J		
70. ISHARES 1-3 YR TREASURY BOND ETF (SHY)	A	Dividend	J	T	Buy (add'l)	02/01/18	J		
71.					Buy (add'l)	06/01/18	J		
72.					Buy (add'l)	08/01/18	J		
73.					Sold (part)	10/01/18	J		
74. ISHARES MSCI EAFE ETF (EFA)	A	Dividend	J	T	Sold (part)	02/01/18	J	A	
75.					Buy (add'l)	06/01/18	J		
76.					Sold (part)	07/02/18	J		
77.					Sold (part)	08/01/18	J		
78.					Buy (add'l)	10/01/18	J		
79. ISHARES RUSSELL 2000 ETF (IWM)	A	Dividend	J	T	Buy	11/01/18	J		
80. ISHARES JPMORGAN USD EMERGING MARKETS BOND ETF (EMB)	A	Dividend	J	T	Sold (part)	02/01/18	J		
81.					Sold	08/01/18	J		
82.					Buy	10/01/18	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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83. ISHARES IBOXX \$ HIGH YIELD CORP BOND ETF (HYG)	A	Dividend	J	T	Sold (part)	02/01/18	J		
84.					Sold	08/01/18	J		
85.					Buy	10/01/18	J		
86.					Sold (part)	11/01/18	J		
87. ISHARES 3-7 YR TREAS BOND ETF (IEI)	A	Dividend	J	T	Buy	02/01/18	J		
88.					Sold (part)	10/01/18	J		
89. SPDR S&P 500 ETF (SPY)	A	Dividend	J	T	Sold (part)	02/01/18	J	A	
90.					Buy (add'l)	06/01/18	J		
91.					Sold (part)	07/02/18	J		
92.					Sold (part)	08/01/18	J		
93.					Sold (part)	12/31/18	J		
94. VANGUARD FTSE EMERGING MARKETS ETF (VWO)	A	Dividend	J	T	Sold (part)	02/01/18	J	A	
95.					Buy (add'l)	02/20/18	J		
96.					Buy (add'l)	12/03/18	J		
97. VANGUARD MORTGAGE BACKED SECURITIES ETF (VMBS)	A	Dividend	J	T					
98. VANGUARD REIT INDEX ETF (VNQ)	A	Dividend	J	T	Sold	08/01/18	J		
99.					Buy	10/01/18	J		

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100. BARCLAYS BANK PLC IPATH BLOOMBERG COMMODITY INDEX TOT RETURN (DJP) (Y)									
101. IRA #2 (H)									
102. COLUMBIA CAP ALLOC MODERATE AGGRESSIVE CL A (NBIA) (Y)									
103. IRA #3 (H)									
104. DREYFUS GOVT CASH MGMT FDS INSTL SHS 289	A	Interest	K	T					
105. INVESCO BALANCED RISK COMM STRAT Y (BRCYX)		None	J	T	Buy	01/29/18	K		
106. COLUMBIA CORPORATE INCOME CL Z (SRINX)	B	Dividend	L	T	Buy (add'l)	01/29/18	J		
107.					Buy (add'l)	02/26/18	J		
108.					Sold (part)	07/16/18	J		
109.					Sold (part)	12/17/18	J		
110. COLUMBIA SELECT LARGE CAP GROWTH CL Z (UMLGX)		None	K	T	Sold (part)	01/29/18	J	A	
111.					Sold (part)	02/26/18	J	A	
112.					Sold	04/26/18	J		
113.					Buy	12/17/18	K		
114. DEUTSCHE X TRACKERS MSCI JAPAN HEDGED EQUITY ETF (DBJP)		None			Sold	01/29/18	K	B	
115. JOHN HANCOCK CLASSIC VALUE CL I (JCPIX)	B	Dividend	K	T	Sold (part)	01/29/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

FREUDENTHAL, NANCY D.

Date of Report

03/11/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
116.					Buy (add'l)	02/26/18	J		
117.					Buy (add'l)	12/17/18	J		
118. JOHN HANCOCK US GLOBAL LEADERS GROWTH CL I (USLIX)	C	Dividend			Sold (part)	01/29/18	J	A	
119.					Sold (part)	02/26/18	J	A	
120.					Buy (add'l)	07/16/18	J		
121.					Buy (add'l)	08/27/18	J		
122.					Sold	12/17/18	K		
123. JANUS HENDERSON US MANAGED VOLATILITY CL I (JRSIX)	B	Dividend	K	T	Buy (add'l)	04/26/18	J		
124.					Buy (add'l)	07/16/18	J		
125.					Sold (part)	09/17/18	J		
126.					Buy (add'l)	12/17/18	J		
127. JOHN HANCOCK STRATEGIC INCOME OPPTY CL I (JIPIX)	A	Dividend			Sold (part)	01/29/18	J	A	
128.					Sold (part)	02/26/18	J	A	
129.					Sold	04/26/18	K		
130. BRANDYWINE GLOBAL BOND I (FORMERLY LEGG MASON BW ABS RETURN) (LROIX)	A	Dividend	J	T	Sold	02/26/18	J	A	
131.					Buy	07/16/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

FREUDENTHAL, NANCY D.

Date of Report

03/11/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
132. OPPENHEIMER GLOBAL VALUE CL Y (GLVYX)	A	Dividend	J	T	Sold (part)	07/16/18	J		
133.					Buy (add'l)	12/17/18	J		
134. PRINCIPAL GLOBAL REAL ESTATE SEC 1 (POSIX)	A	Dividend	J	T	Buy	02/26/18	J		
135. WELLS FARGO INTL VALUE INSTL CL (WFXVX)	A	Dividend	K	T	Sold (part)	01/29/18	J	B	
136.					Buy (add'l)	07/16/18	J		
137.					Buy (add'l)	12/17/18	J		
138. WESTERN ASSET CORE PLUS BOND 1 (WACPX)	A	Dividend	J	T	Buy	02/26/18	J		
139.					Buy (add'l)	04/27/18	J		
140.					Sold (part)	07/16/18	J		
141. ISHARES 20+ YR TREAS BOND ETF (TLT)	A	Dividend	J	T	Buy	02/26/18	J		
142. ISHARES 1-3YR TREASURY BOND ETF (SHY)	A	Dividend	J	T	Buy (add'l)	01/29/18	J		
143.					Sold (part)	04/26/18	J		
144. ISHARES 3-7YR TREASURY BOND ETF (IEI)	A	Dividend	K	T	Buy (add'l)	01/29/18	J		
145.					Buy (add'l)	04/26/18	J		
146.					Sold (part)	12/17/18	J		
147. ISHARES CORE MSCI EMERGING MARKETS ETF (IEMG)	A	Dividend	J	T	Buy (add'l)	01/29/18	J		
148.					Buy (add'l)	02/26/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

FREUDENTHAL, NANCY D.

Date of Report

03/11/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
149.					Buy (add'l)	04/26/18	J		
150.					Sold (part)	07/16/18	J		
151.					Buy (add'l)	12/17/18	J		
152. ISHARES MSCI JAPAN ETF NEW (EWJ)	A	Dividend	J	T	Buy	01/29/18	J		
153.					Sold (part)	12/17/18	J		
154. ISHARES CURRENCY HEDGED MSCI EUROZONE ETF (HEZU)	A	Dividend	K	T	Buy (add'l)	01/29/18	J		
155.					Sold (part)	02/26/18	J		
156. VANGUARD CONSUMER STAPLES ETF (VDC)	A	Dividend	J	T	Buy	09/17/18	J		
157. VANGUARD ENERGY ETF (VDE)	A	Dividend	J	T	Buy	04/26/18	J		
158.					Buy (add'l)	08/27/18	J		
159.					Buy (add'l)	09/27/18	J		
160.					Buy (add'l)	12/17/18	J		
161. VANGUARD SHORT TERM CORP BOND ETF (VCSH)	A	Dividend			Sold	02/26/18	K		
162. VANGUARD SMALL CAP ETF (VB)		None			Sold	01/29/18	K	B	
163. VANGUARD MORTGAGE BACKED SECURITIES ETF (VMBS)	B	Dividend	K	T	Buy (add'l)	01/29/18	J		
164.					Sold (part)	12/17/18	J		
165. VANGUARD SMALL CAP VALUE ETF (VBR)	A	Dividend			Buy	01/29/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

FREUDENTHAL, NANCY D.

Date of Report

03/11/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
166.					Sold (part)	08/27/18	J		
167.					Sold	09/17/18	J		
168. VANGUARD VALUE ETF (VTV)	A	Dividend	J	T	Sold	01/29/18	J	B	
169.					Buy	12/17/18	J		
170. TRUST #1 (H)									
171. STIFEL BANK PROGRAM (CASH ACCOUNT) (Y)									
172. WELLS FARGO & CO NEW DEP SHS REPSTG (WFCJ)	A	Int./Div.			Redeemed	09/17/18	K	A	
173. JEFFERIES GROUP INC SR NOTE (472319AE2)	A	Int./Div.			Sold	11/05/18	K		
174. LORD ABBETT DEVELOPING GROWTH CL A (LAGWX)		None			Sold	02/26/18	K	C	
175. BLACKROCK ENERGY & RESOURCES TRUST (BGR)	A	Dividend			Sold	11/05/18	J		
176. EATON VANCE ENHANCED EQUITY INCOME FUND (EOI)	B	Dividend			Sold	11/05/18	K		
177. EATON VANCE ENHANCED EQUITY FUND II (EOS)	A	Dividend			Sold (part)	02/26/18	J		
178.					Sold	11/05/18	J		
179. EATON VANCE TAX MANAGED GLOBAL DIVERSIFIED EQUITY INCOME FD (EXG)	A	Dividend			Sold	11/05/18	J		
180. KAYNE ANDERSON ENERGY TOTAL RETURN FUND (KYE)	A	Dividend			Sold	11/05/18	J		
181. RESIDENTIAL PROPERTY, CODY, PARK COUNTY, WYOMING		None	M	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

FREUDENTHAL, NANCY D.

Date of Report

03/11/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
182. RENTAL PROPERTY, CODY, PARK COUNTY, WYOMING	D	Rent	L	W					
183. TRUST #2 (H)									
184. STIFEL BANK PROGRAM MONEY MARKET (X)	A	Interest	J	T					
185. CARBON COUNTY WY SCHOOL DIST 1 RAWLINS BLDG BDS B/E (141028EF3)	A	Interest	J	T					
186. CASPER WY CMNTY CLLG DIST REV SER B B/E BABS TXBL (147647AY7)	A	Interest	J	T					
187. AMERICAN FUNDS, CAPITAL INCOME BUILDER CL A (CAIBX)	B	Dividend	K	T					
188. DODGE & COX STOCK (DODGX)	D	Dividend	L	T					
189. FIRST INVESTORS TAX EXEMPT INCOME CL A (FITAX)	A	Dividend	J	T					
190. FRANKLIN INCOME CL A (FKINX)	A	Dividend	J	T					
191. AMERICAN FUNDS, INCOME FUND OF AMERICA (AMECX)	B	Dividend	K	T					
192. FIRST TRUST INTERMEDIATE DURATION PFD & INCOME FUND (FPF)	A	Dividend	J	T					
193. PENNANT PARK FLOATING RATE CAP LTD (PFLT)	B	Dividend	K	T					
194. OTHER HOLDINGS (H)									
195. FID FREEDOM K 2015 (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting	Date of Report
FREUDENTHAL, NANCY D.	03/11/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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Name of Person Reporting

FREUDENTHAL, NANCY D.

Date of Report

03/11/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ NANCY D. FREUDENTHAL

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544