

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Anderson, Stephen H.	2. Court or Organization Appeals, Tenth Circuit	3. Date of Report 4/30/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address [REDACTED] [REDACTED]		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1, Pershing LLC-Custodian (Lines 2-30)									
2. - Beal Bank, Las Vegas, NV (CD)	A	Interest			Matured	02/19/14	L		
3. - Fifth Third Bank, Cincinnati, OH (CD)	A	Interest			Matured	02/24/14	L		
4. - First Niagara Bank, NY (CD)	A	Interest			Matured	05/29/14	M		
5. - Compass Bank, Birmingham, AL (CD)	A	Interest	L	T	Buy	02/12/14	L		
6.	A	Interest			Matured	08/12/14	L		
7. - Synovus Bank, Columbus, GA	A	Interest	L	T	Buy	02/20/14	L		
8.	A	Interest			Matured	12/22/14	L		
9. - First Niagara Bank, NY (CD)	A	Interest	K	T	Buy	05/27/14	K		
10.	A	Interest			Matured	10/27/14	K		
11. - Farmers & Merchants Savings Bank (CD)	A	Interest	K	T	Buy	08/19/14	K		
12.	A	Interest			Matured	11/06/14	K		
13. - First Nat'l Bank, Waupaca, WI (CD)	A	Interest	K	T	Buy	05/29/14	K		
14.	A	Interest			Matured	11/14/14	K		
15. - Bank Hapoalim BM, New York (CD)	A	Interest	K	T	Buy	06/06/14	K		
16. - Passumpsic Savings Bank, VT (CD)	A	Interest	J	T	Buy	06/06/14	J		
17. - Newbridge Bank Greensboro (CD)	A	Interest	J	T	Buy	06/09/14	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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18. - Security Bank, Stephens, AK (CD)	A	Interest	K	T	Buy	06/10/14	K		
19. - Woodland Bank, Deep River, MN (CD)	A	Interest	J	T	Buy	06/10/14	J		
20. - Zions First Nat'l Bank (CD)	A	Interest	K	T	Buy	06/16/14	K		
21. - Ally Bank, Midvale, UT (CD)	A	Interest	K	T	Buy	07/23/14	K		
22. - Beal Bank USA, Las Vegas, NV (CD)	A	Interest	K	T	Buy	07/23/14	K		
23. - Berkshire Bank, Pittsfield, MA (CD)	A	Interest	K	T	Buy	07/23/14	K		
24. - Ally Bank, Midvale, UT (CD)	A	Interest	K	T	Buy	08/18/14	K		
25. - Bank West, San Francisco, CA (CD)	A	Interest	K	T	Buy	08/18/14	K		
26. - Farmers & Merchants Savings Bk (CD)	A	Interest	K	T	Buy	08/19/14	K		
27. - Reliant Bank, Brentwood, TN (CD)	A	Interest	J	T	Buy	08/19/14	J		
28. - Discover Bank, Greenwood, DE (CD)	A	Interest	K	T	Buy	08/20/14	K		
29. - Planters Bank, Hopkinsville, KY (CD)	A	Interest	K	T	Buy	08/20/14	K		
30. - Reserve Ins'd Dep Acct/FDIC insured bank deposits	A	Interest	M	T					
31. IRA #2, Pershing LLC-Custodian (Line 32)									
32. - MM Acct / Insured Dep. Acct.	A	Interest	J	T					
33. Zions Direct Brokerage Acct (Lines 34-46)									
34. - FDIC Ins'd MM Acct.	A	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Merrick Bank Corp, South Jordan, UT	A	Interest			Matured	02/28/14	L		
36. - Vectra Bank Colorado NA (CD)	A	Interest			Buy	05/27/14	J		
37.	A	Interest			Matured	11/25/14	J		
38. - GE Cap Bk Inc Retail (CD)	A	Interest			Buy	05/28/14	J		
39.	A	Interest			Matured	12/29/14	J		
40. - Goldman Sachs Bk USA, NY (CD)	A	Interest	J	T	Buy	05/28/14	J		
41. - Passumpsic Svgs Bk VT (CD)	A	Interest	J	T	Buy	05/29/14	J		
42. - Security Bk Stephens AR (CD)	A	Interest	J	T	Buy	06/10/14	J		
43. - Transportation Alliance Bk, Ogden, UT (CD)	A	Interest	J	T	Buy	06/10/14	J		
44. - Access Bk, Omaha, NE (CD)	A	Interest	J	T	Buy	06/10/14	J		
45. - Horizon Fin Bk, Munich, ND (CD)	A	Interest	J	T	Buy	06/11/14	J		
46. - Planters Bk, Hopkinsville, KY (CD)	A	Interest	J	T	Buy	08/20/14	J		
47. Interest-bearing checking accounts/Wells Fargo Bank	A	Interest	K	T					
48. Interest-bearing savings accts/Wells Fargo Bank	A	Interest	L	T					
49. Coin collection (including silver)		None	J	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Stephen H. Anderson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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