

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Johnston, Iain D.	2. Court or Organization Northern District of Illinois, Western Division	3. Date of Report 05/13/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (Full Time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. District Court 327 South Church Street Room 6200 Rockford, IL 61101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Rockford University
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Johnston Greene LLC Agreement	\$90,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Reading in Motion Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Illinois State Bar Association	6/16/2017	Fontana, Wisconsin	Attend Committee Meeting	Travel
2. Illinois State Bar Association	12/09/2017	Chicago, Illinois	Attend Committee Meeting	Travel
3. Duke University	5/15/2017	Durham, North Carolina	Attend EDRM Meeting	Travel
4. ABA/Aspen Institute	7/21/2017	Evanston, Illinois	7th Circuit Justice and Society Weekend Program	Travel
5. Duke University	9/06/2017	Arlington, Virginia	Attend EDRM Meeting	Travel

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Disney Common Stock	A	Dividend	K	T					
2. College Illinois 529 Prepaid Tuition Plan (See Part 8)		None	K	T					
3. American Funds UGTM									
4. -Income Fund of America-A	A	Dividend	K	T					
5. -Income Fund of America -B	A	Dividend	J	T					
6. Chase Accounts	A	Interest	O	T					
7. Alpine Bank	A	Interest	O	T					
8. US Bonds Series I	A	Interest	M	T					
9. Great Western Retirement 401k									
10. -American Funds Capital World G/I R3	A	Dividend			Sold	08/04/17	J		
11. -American Funds EuroPacific GR R3	A	Dividend			Sold	08/04/17	J		
12. -Janus Overseas Fun S	A	Dividend			Sold	08/04/17	J		
13. -Lazard Emerging Markets Open	A	Dividend			Sold	08/04/17	J		
14. -Oakmark International II	A	Dividend			Sold	08/04/17	J		
15. -Invesco Energy Inv	A	Dividend			Sold	08/04/17	J		
16. -Ivy Science & Technology Y	A	Dividend			Sold	08/04/17	J		
17. -Oppenheimer Gold & Special Minerals A	A	Dividend			Sold	08/04/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Fidelity Advisor Small Cap T	A	Dividend			Sold	08/04/17	J		
19. -Great-West S&P Small Cap 600 Index Fund I	A	Dividend			Sold	08/04/17	J		
20. -Invesco Small Cap Value A	A	Dividend			Sold	08/04/17	J		
21. -Great West T Row Price MidCap Gr. Fund I	A	Dividend			Sold	08/04/17	J		
22. -Lord Abbett Value Opportunities A	A	Dividend			Sold	08/04/17	J		
23. -Perkins Mid Cap Value S	A	Dividend			Sold	08/04/17	J		
24. -Alger Capital Appreciation Instil I	A	Dividend			Sold	08/04/17	J		
25. -American Funds Fundamental Invs R3	A	Dividend			Sold	08/04/17	J		
26. -Dryfus Statagic Value A	A	Dividend			Sold	08/04/17	J		
27. -great-West S&P Index Fund I	A	Dividend			Sold	08/04/17	J		
28. -Invesco Growth &Income A	A	Dividend			Sold	08/04/17	J		
29. -Janus Forty S	A	Dividend			Sold	08/04/17	J		
30. -Franklin High Income R	A	Dividend			Sold	08/04/17	J		
31. -Oppenheimer Internal Bond Fund A	A	Dividend			Sold	08/04/17	J		
32. -PACE Government Secs Fixed Income Y	A	Dividend			Sold	08/04/17	J		
33. -PIMCO Total Return Admin	A	Dividend			Sold	08/04/17	J		
34. -Thompson Bond Fund	A	Dividend			Sold	08/04/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Key Guaranteed Portfolio Fund	A	Dividend			Sold	08/04/17	J		
36. Heritage Credit Union	A	Interest	N	T	Open	12/22/17	N		
37. Vanguard Inst'l Target Retirement 2030	B	Dividend	N	T	Buy	08/04/17	N		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The College Illinois is a pre-paid college tuition program. I purchased 8 semesters for [REDACTED]. There is no investment component to this program

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Iain D. Johnston**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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