

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Leinenweber, Harry D.	2. Court or Organization U.S.D.C. (ILLINOIS NORTHERN)	3. Date of Report 09/18/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT COURT JUDGE (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Suite #1946 219 S. Dearborn Street Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Life Member	National Conference of Commissioners on Uniform State Laws
2. Member, Board of Directors	Good Shepherd Manor, Momence, Illinois
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2013	Illinois General Assembly Retirement	\$15,939.84
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	Dreyfus Florida Muni Money Market Fund - Director Fees
2. 2013	Deutsche Bank - Consulting Fees
3. 2013	Dreyfus NJ Muni Bond Fund - Director Fees
4. 2013	Dreyfus NY Tax Exempt Bond Fund - Director Fees
5. 2013	Dreyfus Global Investing - Director Fees
6. 2013	Dreyfus Strategic World Investment Fund - Director Fees
7. 2013	Dreyfus Strategic World Investment Fund - Director Fees
8. 2013	Dreyfus Strategic World Investment Fund - Director Fees
9. 2013	Dreyfus Florida Intermediate Muni Bond Fund - Director Fees
10. 2013	Dreyfus Strategic World Investment Fund - Director Fees
11. 2013	Dreyfus Strategic World Investment Fund - Director Fees
12. 2013	Dreyfus Strategic World Investment Fund - Director Fees
13. 2013	Dreyfus Investor GNMA Fund - Director Fees
14. 2013	Dreyfus 100% U.S. Treasury Short-Term Fund - Director Fee
15. 2013	Dreyfus 100% U.S. Treasury Intermediate Term Fund - Director Fees

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16. 2013	Dreyfus 100% U.S. Treasury Money Market Fund - Director Fees
17. 2013	Office of Personnel Management - Congressional Retirement
18. 2013	Dreyfus Strategic Growth fund - Director Fees
19. 2013	Neiman Marcus - Residuals from Previous Directorship *See Section VIII for Additional Comments.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ **NONE** *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.					
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Ryder Systems, Inc. Stock Option Exercise		None			Buy	11/14/13	N		*See Notes in Section VIII
2. Ryder Systems, Inc. Stock Option Exercise		None			Sold	11/14/13	M	A	*See Notes in Section VIII
3. Ryder Systems	D	Dividend			Sold	01/03/13	K	A	
4. Ryder Systems	D	Dividend			Sold	01/08/13	J	A	
5. Ryder Systems	D	Dividend			Sold	01/24/13	K	A	
6. Ryder Systems	D	Dividend			Sold	01/24/13	K	A	
7. J.P. Morgan Chase checking account	C	Interest	K	T					*See Notes in Section VIII
8. J.P. Morgan Chase checking account	C	Interest	J	T					*See Notes in Section VIII
9. J.P. Morgan Chase savings account	C	Interest	J	T					*See Notes in Section VIII
10. AT&T Common Stock	E	Dividend			Sold	04/02/13	O	E	
11. AT&T Common Stock	E	Dividend			Sold	04/15/13	K	C	
12. Procter & Gamble Common Stock Option		None	N	W					
13. Insignia Bank		None	K	W					
14. Real Estate, Chicago, IL		None	M	T					*See Notes in Section VIII
15. Constellation Energy Group		None	K	T					*See Notes in Section VIII
16. Dreyfus Premier China Fund	A	Dividend	L	T					
17. JPMorgan High Yield Bond Fund	C	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Pimco Total Retirement Fund	B	Interest	M	T	Buy	04/18/13	M		
19. Pimco Total Retirement Fund	B	Interest	M	T	Buy	07/16/13	K		
20. Pimco Total Retirement Fund	B	Interest			Sold	12/06/13	J	A	
21. Pimco Foreign Bond Unhedged Fund	B	Interest			Sold	12/06/13	M	C	
22. Vanguard 500 Index Fund	D	Dividend			Sold	04/16/13	N	A	
23. Vanguard 500 Index Fund	D	Dividend			Sold	04/16/13	N	A	
24. Vanguard Intermediate Investment Fund	B	Interest	L	T					
25. Thornburg International Value Fund	A	Dividend			Sold	04/16/13	M	C	
26. Thornburg International Value Fund	A	Dividend			Sold	08/16/13	M	D	
27. Cohen & Sterrs Realty	B	Dividend	M	T					
28. Astin Mid Cap	A	Dividend			Sold	04/16/13	M	C	
29. DFA Emerg. Market	B	Dividend	M	T	Buy	04/16/13	M		
30. DFA Intl. Small Co.	B	Dividend	M	T					
31. Fidelity Floating Rate	A	Interest	L	T					
32. Pimco Com. Real Ret.	D	Interest	O	T					
33. Diamond Hill	A	Dividend	K	T	Buy	04/16/13	K		
34. UBS AG Jersey	C	Interest	M	T	Buy	04/16/13	M		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Harbor International	B	Dividend	K	T	Buy	04/15/13	K		
36. Harbor International	B	Dividend			Sold	08/16/13	K	A	
37. Royce PA	B	Dividend			Sold	04/16/13	K	A	
38. EM International Property	B	Dividend	L	T	Buy	08/16/13	J		
39. Goldman Sachs	B	Dividend	M	T	Buy	04/16/13	M		
40. I Shares Russell	C	Dividend	M	T	Buy	04/16/13	M		
41. I Shares Russell	B	Dividend	M	T	Buy	12/06/13	M		
42. Nuveen International	C	Dividend	M	T	Buy	08/15/13	M		
43. Cullen High Yield	C	Dividend	J	T	Buy	04/15/13	J		
44. Cullen High Yield	C	Dividend			Sold	08/15/13	J	A	
45. Touchstone	D	Dividend	K	T	Buy	04/15/13	K		
46. Touchstone	D	Dividend			Sold	08/15/13	K	A	
47. Nuveen International	D	Dividend	M	T	Buy	11/26/13	L		
48. Nuveen International	D	Dividend	M	T	Buy	12/06/13	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

III. - B. Spouse's Non-Investment Income

19. [REDACTED] was a former director of the parent company of Neiman Marcus. [REDACTED] receives a discount for retail purchases.

VII. - Investment and Trusts.

1. Ryder Systems Stock Option exercised.

2. Ryder System Stock Option shares immediately sold after exercise.

6. This J.P. Morgan Chase account is a personal checking account. Deposits and withdrawals are made throughout the year.

7. This J.P. Morgan Chase account is a personal checking account. Deposits and withdrawals are made throughout the year.

8. This J.P. Morgan Chase account is a personal savings account. Deposits and withdrawals are made throughout the year.

13. Real estate sold on four-year contract.

14. Constellation has been taken over by Exelon Corporation. [REDACTED] has retired as a director and receive stock-based compensation after retirement.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Harry D. Leinenweber**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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