

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Marvel, L. Paige	2. Court or Organization United States Tax Court	3. Date of Report 08/13/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address UNITED STATES TAX COURT 400 SECOND STREET, N.W. WASHINGTON, D.C. 20217		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	Partnership #1
2.	Custodian	529 Acct. #4
3.	Trustee	REH Irrevocable Trust
4.	Trustee	LHH Revocable Trust
5.	Adjunct Professor	Georgetown University Law School - Graduate Tax Program

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2017	Georgetown University Law Center, Compensation for Teaching as adjunct faculty member	\$6,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	Maryland State Retirement Agency distribution (457 Plan)
2. 2017	National Financial Services (Fidelity Investments) distribution
3. 2017	Fidelity IRA distribution
4. 2017	RBC IRA distribution

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. ABA Tax Section	01/19/2017 - 01/22/2017	Orlando, FL	Speak at and attend ABA Tax Section Midyear Meeting	Registration, Meal and Reception Tickets (Travel, Lodging & remaining Food reimbursed by the Court)
2. TP Minds International Conference	03/07/2017 - 03/9/2017	London, England	Speak at and attend tax conference	Travel, Lodging, Food
3. ABA Tax Section	05/11/2017 - 05/13/2017	Washington, DC	Speak at and attend ABA Tax Section May Meeting	Registration, Meal and Reception Tickets (remaining Food reimbursed by the Court)
4. New York University (NYU)	06/14/2017 - 06/16/2017	New York City, NY	Speak at NYU Tax Controversy Forum	Travel, Lodging, Food

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5.	ABA Tax Section	09/14/2017 - 09/17/2017	Austin, TX	Speak at and attend ABA Tax Section Fall Meeting	Registration, Meal and Reception Tickets (Travel, Lodging & remaining Food reimbursed by the Court)
6.	California Bar Tax Section	10/01/2017 - 11/04/2017	San Diego, CA	Speak at and attend Annual Tax Conference	Travel, Lodging, Food
7.	ABA Tax Section	12/06/2017 - 12/09/2017	Las Vegas, NV	Speak at and attend the 7th Annual National Institute on Tax Controversy	Travel, Lodging, Food

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Washington University in St. Louis	Tuition Payment Plan Loan	K
2.	U.S. Dept. of Education	Student Loans	J
3.	Washington University in St. Louis	Student Loans	J
4.	Emory University	Student Loans	J
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. 33% pship. interest, Margaret's Landing Partnership		None	K	W					
2. USAA Subscribers Savings Account	B	Distribution	J	T					
3. USAA Fed. Savings Bank Accts. #1	A	Interest	J	T					
4. USAA Fed. Savings Bank Accts. #2	A	Interest	J	T					
5. M&T Bank accts. #1	A	Interest	J	T					
6. M&T Bank accts. #2	A	Interest	J	T					
7. RBC Brokerage Acct. (H):									
8. -Shares, Adient PLC	A	Dividend	J	T					
9. -Shares, AT&T Inc.	A	Dividend	J	T					
10. -Common, Bristol Myers Squibb Co.	A	Dividend	K	T					
11. -Common, Centerpoint Energy Inc.	A	Dividend	J	T					
12. -Common, Exxon Mobil Corp	C	Dividend	L	T					
13. -Common, Fresenius Med Care Aktiengesellschaft ADR	A	Dividend	K	T					
14. -Common, General Electric Co.	A	Dividend	J	T	Sold (part)	12/06/17	J	A	
15. -Shares, Johnson Controls Intl. PLC	A	Dividend	J	T					
16. -Shares, Mallinckrodt PLC		None			Sold	12/06/17	J		
17. -Common, Medtronic PLC	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Shares, Pentair PLC	A	Dividend	J	T					
19. -Common, Pfizer Inc.	A	Dividend	J	T					
20. -Shares, PNC Financial Services Group, Inc.	A	Dividend	J	T					
21. -Shares, TE Connectivity Ltd.	A	Dividend	K	T					
22. -Shares, UDR Inc.	B	Dividend	K	T					
23. -Shares, Verizon Communications	A	Dividend	J	T					
24. -Shares, U.S. Govt. Money Mkt. Fund RBC Reserve Class	A	Dividend	J	T	Sold (part)	01/18/17	J		
25.					Sold (part)	01/24/17	J		
26.					Sold (part)	02/23/17	J		
27.					Sold (part)	04/27/17	J		
28.					Sold (part)	06/30/17	J		
29.					Sold (part)	07/21/17	J		
30.					Sold (part)	08/29/17	J		
31.					Sold (part)	09/26/17	J		
32.					Sold (part)	11/29/17	J		
33.					Buy (add'l)	12/08/17	J		
34.					Sold (part)	12/12/17	J		

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35.					Sold (part)	12/26/17	J		
36.					Sold (part)	12/27/17	J		
37. Fidelity Taxable Account (H)									
38. -T Rowe Price Growth Stock Fund	D	Distribution	L	T	Buy (add'l)	12/14/17	J		
39. -T Rowe Price State Tax Free Inc. MD	A	Dividend	J	T					
40. -Fidelity Government Money Market Fund	A	Dividend	J	T					
41. Fidelity Brokerage Rollover IRA (H):									
42. -Fidelity 500 Index Premium Class (formerly Spartan)	C	Distribution	L	T					
43. -Fidelity Strategic Real Return	A	Distribution	J	T					
44. -Fidelity Contrafund	D	Distribution	M	T	Sold (part)	04/26/17	J	D	
45.					Sold (part)	12/01/17	J	D	
46. -Fidelity Equity Income	D	Distribution	L	T	Sold (part)	05/25/17	J	A	
47. -Fidelity New Millenium	B	Distribution	K	T					
48. -Fidelity Low Priced Stock	D	Distribution	M	T	Sold (part)	02/17/17	J	D	
49.					Sold (part)	04/26/17	J	D	
50. -Fidelity Select Biotechnology	B	Distribution	L	T					
51. -Fairholme Fund	A	Distribution	K	T	Sold (part)	09/13/17	J		

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52. -Oakmark Select	A	Distribution	K	T					
53. -Permanent Portfolio		None			Sold	05/25/17	J	A	
54. -T Rowe Price Health Sciences	D	Distribution	L	T					
55. -T Rowe Price Cap Appreciation	D	Distribution	M	T					
56. -T Rowe Price Equity Income	D	Distribution	M	T	Sold (part)	05/25/17	J	D	
57. -T Rowe Price Equity Index 500 Fund	B	Distribution	L	T					
58. -T Rowe Price Intl Discovery Fund	C	Distribution	L	T	Sold (part)	08/18/17	J	C	
59. -T Rowe Price New Asia	C	Distribution	K	T					
60. -T Rowe Price Emerging Markets Stock	A	Distribution	L	T					
61. -T Rowe Price New Horizons FD Inc		None	K	T					
62. -T Rowe Price Science & Technology	B	Distribution	J	T					
63. -T Rowe Price Small Cap Value Fund	C	Distribution	L	T					
64. -T Rowe Price Mid Cap Value	B	Distribution	K	T					
65. -Wasatch Ultra Growth	B	Distribution	K	T					
66. -Wasatch International Growth	A	Distribution	K	T					
67. -Fidelity New Markets Income	B	Distribution	K	T					
68. -Fidelity GNMA Fund	A	Distribution	K	T					

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69. -Fidelity Total Bond	B	Distribution	L	T	Buy (add'l)	09/14/17	J		
70. -Fidelity Government Income	A	Distribution	J	T					
71. -Loomis Sayles Bond Retail	B	Distribution	K	T					
72. -Pimco Real Return Class D	A	Distribution	J	T					
73. -T Rowe Price High Yield Bond	B	Distribution	K	T					
74. -T Rowe Price GNMA	A	Distribution			Sold	09/13/17	J	A	
75. -T Rowe Price Emerging Markets Bond Fund	B	Distribution	K	T					
76. -T Rowe Price US Treas Intermediate	A	Distribution	K	T					
77. -Vanguard Inflation Protected Secs Inv	A	Dividend	K	T					
78. -First Trust Chindia ETF	A	Dividend	J	T					
79. -iShares MSCI Singapore Capped ETF	A	Dividend	J	T					
80. -Powershares QQQ TR Unit SER 1	A	Dividend	J	T	Buy	08/01/17	J		
81. -Wisdomtree TR Emerging MKTS High	A	Dividend	J	T					
82. -Common, Anavex Life Sciences Corp		None	J	T	Buy	04/14/17	J		
83.					Buy (add'l)	08/28/17	J		
84. -JPMorgan Chase & Co Alerian MLP Index	A	Interest			Sold	08/22/17	J		
85. -Common, Novocure LTD		None			Sold	02/13/17	J		

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86. -Common, Seaspac Corp.	A	Dividend			Sold	02/13/17	J		
87. -Shares, Berkshire Hathaway Inc. CL B		None	K	T					
88. -Shares, Dell Technologies Inc. Com CL V		None			Sold	08/22/17	J	A	
89. -Shares, Goldman Sachs Group Inc.	A	Dividend	K	T					
90. -Common, Intrexon Corp.		None	J	T					
91. -Shares, Federal Realty Invst Tr. Sh. Ben. Int.	A	Dividend			Sold	07/18/17	J	D	
92. -Shares, Kimco Realty Corp.	A	Dividend			Sold	02/22/17	J	A	
93. -Fidelity Cash Reserves	A	Dividend	L	T	Sold (part)	01/04/17	J		
94.					Buy (add'l)	02/13/17	J		
95.					Buy (add'l)	02/17/17	J		
96.					Sold (part)	02/22/17	J		
97.					Sold (part)	03/21/17	J		
98.					Sold (part)	04/17/17	J		
99.					Sold (part)	04/19/17	J		
100.					Buy (add'l)	04/26/17	J		
101.					Buy (add'l)	05/25/17	K		
102.					Sold (part)	06/13/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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103.					Buy (add'l)	07/18/17	J		
104.					Sold (part)	07/20/17	K		
105.					Buy (add'l)	08/18/17	J		
106.					Buy (add'l)	08/22/17	J		
107.					Buy (add'l)	09/13/17	J		
108.					Sold (part)	09/14/17	J		
109.					Sold (part)	10/20/17	J		
110.					Buy (add'l)	12/01/17	J		
111. Fidelity Traditional IRA Acct. (H):									
112. -Shares, CNOOC Limited ADS EACH REP 100 ORD HKDO 02	A	Dividend			Sold	07/19/17	J	B	
113. -Common, Berkshire Hathaway		None	L	T					
114. -Shares, Seaspan Corporation	A	Dividend	J	T					
115. -Common, Intrexon Corp.		None	J	T					
116. -Dreyfus Opportunist Midcap Value CL A Fund	B	Distribution	K	T	Sold (part)	10/3/17	J	C	
117. -Teton Westwood Mighty Mites FD	B	Distribution	K	T					
118. -Fidelity Cash Reserves	A	Dividend	J	T	Redeemed (part)	11/20/17	J		
119.					Redeemed (part)	09/21/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	10/31/17	J		
121.					Buy (add'l)	07/19/17	J		
122. RBC IRA Acct. (H):									
123. -Ishares Tr Nasdaq Biotech Index Fund	A	Dividend	J	T					
124. -Powershares QQQ Trust Unit Ser. 1	A	Dividend	J	T					
125. Vanguard Rollover IRA (H):									
126. -Shares, Convertible Securities	A	Dividend	K	T	Sold (part)	06/05/17	K	C	
127. -Shares, Dividend Growth Fund	D	Distribution	M	T	Buy (add'l)	06/22/17	J		
128. -Shares, Wellesley Income Fund	B	Distribution	L	T					
129. -Shares, Vanguard Information Technology ETF	A	Dividend	K	T	Buy	06/08/17	K		
130. -Vanguard Federal Money Market Fund (X)	A	Dividend	J	T					
131. RBC Joint Brokerage Acct. (H):									
132. -Common, Apple, Inc.	A	Dividend	K	T					
133. -Common, Walt Disney Co.	A	Dividend	K	T					
134. -Common, Tesla Motors Inc.		None	J	T	Buy (add'l)	05/12/17	J		
135. -US Govt. Money Market Fund RBC Reserve Class	A	Dividend	J	T					
136. USAA Investment Acct. (H) (transferred to Fidelity in Dec. 2017):									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -USAA Value Fund	A	Distribution	J	T	Redeemed (part)	04/10/17	J	A	
138.					Redeemed (part)	06/21/17	J	A	
139. -USAA Nasdaq 100 Index Retail	A	Distribution	J	T	Buy	04/10/17	J		
140. -USAA Science & Technology	A	Distribution	J	T	Buy	06/21/17	J		
141. RBC IRA/A Acct. (H) (rollover to Fidelity in Dec. 2017):									
142. -Shares, Advent Claymore Conv Sec & Income Fund	B	Dividend			Closed	12/01/17	K		
143. -Shares, Alps ETF Tr Alerian MLP	A	Dividend			Closed	12/01/17	J		
144. -Common, Amgen Inc.	A	Dividend			Closed	12/01/17	K		
145. -Shares, BCE Inc. New	B	Dividend			Closed	12/01/17	K		
146. -Shares, Blackrock Global Energy and Resources Trust	A	Dividend			Closed	12/01/17	J		
147. -ETF Managers Trust Purefunds ISE Cyber Sec (Factorshares renamed)	A	Dividend			Closed	12/01/17	K		
148. -Note, Citigroup Inc. 6.875% SER K DEP SHS REPSTG 1/1000TH INT	B	Int./Div.			Closed	12/01/17	K		
149. -Shares, Doubleline Opportunistic Credit Fund	B	Int./Div.			Sold	11/13/17	K		
150. -Shares, First Trust Preferred Securities & Income ETF	B	Dividend			Closed	12/01/17	K		
151. -Shares, Eaton Vance Tax Advantaged Dividend Income Fund	B	Dividend			Closed	12/01/17	K		
152. -Common, General Electric Co.	A	Dividend			Closed	12/01/17	J		
153. -Shares, IShares International Select Dividend ETF	B	Dividend			Closed	12/01/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Note, Lowes Cos. Inc., Due 9/15/17, 6.1%	A	Interest			Redeemed	09/15/17	J		
155. -Shares, MFS Value Fund A	A	Distribution			Closed	12/01/17	L		
156. -Common, Microsoft Corp.	A	Dividend			Closed	12/01/17	K		
157. -Shares, Novartis A G ADR	A	Dividend			Closed	12/01/17	K		
158. -Common, Pepsico Incorporated	A	Dividend			Closed	12/01/17	K		
159. -Common, Sysco Corporation	A	Dividend			Closed	12/01/17	K		
160. -Common, Under Armour Inc. CL A		None			Closed	12/01/17	J		
161. -Common, Under Armour Inc. CL C		None			Closed	12/01/17	J		
162. -Shares, Vanguard Intl. Eq. Index FDS Emerg. Markets Fund	A	Dividend			Closed	12/01/17	K		
163. -Shares, Vanguard Sector Index FDS - Vanguard Industrials ETF	A	Dividend			Closed	12/01/17	K		
164. -Shares, Vanguard Sect.Index FDS Information Technology ETF	A	Dividend			Closed	12/01/17	L		
165. -Common, Verizon Communications	A	Dividend			Closed	12/01/17	K		
166. -Shares Victory Portfolios Munder Growth Opportunities FD C		None			Closed	12/01/17	J		
167. -Common, Welltower Inc. (formerly Health Care REIT Inc.)	B	Dividend			Closed	12/01/17	K		
168. -Shares, US Govt. Money Market Fund (RBC)	A	Interest			Buy (add'l)	09/18/17	K		
169.					Buy (add'l)	11/15/17	K		
170.					Closed	11/30/17	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. RBC IRA/B Acct. (H) (rollover to Fidelity in Dec. 2017)									
172. -Common, AT&T Inc.	B	Dividend			Closed	12/01/17	K		
173. -Common, Bristol Meyers Squibb Co.	A	Dividend			Closed	12/01/17	K		
174. -Shares, Cohen & Steers Infrastructure Fund Inc.	C	Dividend			Closed	12/01/17	K		
175. -Common, Eli Lilly & Co.	A	Dividend			Closed	12/01/17	K		
176. -Shares, Gabelli Dividend & Inc Trust	B	Dividend			Closed	12/01/17	K		
177. Shares, Gabelli Global Small & Mid Cap Value Tr Com	A	Dividend			Closed	12/01/17	J		
178. -Common, General Mills, Inc.	A	Dividend			Closed	12/01/17	K		
179. -Common, Gladstone Commercial Corporation	B	Dividend			Closed	12/01/17	K		
180. -Common, Johnson & Johnson	A	Dividend			Closed	12/01/17	K		
181. -Shares, Pembina Pipeline Corp.	A	Distribution			Closed	12/01/17	K		
182. -Shares, Pimco Dynamic Income Fund	C	Dividend			Closed	12/01/17	K		
183. -Common, Under Armour Inc. CL A		None			Closed	12/01/17	J		
184. -Common, Under Armour Inc. CL C		None			Closed	12/01/17	J		
185. -Shares, Vanguard World Funds- Vanguard Health Care ETF	A	Dividend			Closed	12/01/17	L		
186. -Shares, Vanguard REIT ETF	A	Dividend			Closed	12/01/17	K		
187. -Shares Victory Portfolios Munder Growth Opportunities A		None			Closed	12/01/17	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Shares, US Govt. Money Market Fund RBC Reserve Class	A	Dividend			Closed	11/30/17	L		
189. Rollover IRA - USAA FSB (H) (rollover to Fidelity in Dec. 2017):									
190. -Shares, USAA Science & Technology Retail		None			Buy	06/26/17	J		
191.					Closed	12/01/17	J		
192. -Shares, USAA Value Fund	A	Distribution			Sold (part)	06/26/17	J	A	
193.					Closed	12/01/17	J		
194. Fidelity Rollover IRA (H):									
195. -Advent Claymore Conv Secs & Income		None			Open	12/01/17	K		
196.					Sold	12/11/17	K		
197. -Alps ETF TR Alerian MLP ETF		None			Open	12/01/17	J		
198.					Sold	12/08/17	J		
199. -Eaton Vance Tax Advnt.Div. Income		None			Open	12/01/17	K		
200.					Sold	12/11/17	K	A	
201. -Gabelli GLB SML&MD Cap Val Tr	A	Dividend			Open	12/01/17	J		
202.					Sold	12/15/17	J	A	
203. -General Electric Co.		None			Open	12/08/17	J		
204.					Sold	12/08/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -iShares TR INTL Sel Div ETF		None			Open	12/01/17	K		
206.					Sold	12/08/17	K		
207. -Under Armour Inc. CL C		None			Open	12/01/17	J		
208.					Sold	12/11/17	J		
209. -Under Armour Inc. CL A		None			Open	12/01/17	J		
210.					Sold	12/11/17	J		
211. -Victory Munder Multi-Cap CL C		None			Open	12/01/17	J		
212.					Sold	12/14/17	J	D	
213. -Victory Munder Multi Cap FD CL A		None			Open	12/01/17	K		
214.					Sold	12/14/17	K	D	
215. -Welltower Inc.		None			Open	12/01/17	K		
216.					Sold	12/15/17	K	B	
217. -Fidelity OTC Port		None	L	T	Buy	12/14/17	K		
218. -Fidelity Select Med Technology & Devices	A	Distribution	J	T	Buy	12/14/17	J		
219. -MFS Value Class A	B	Distribution	L	T	Open	12/01/17	L		
220. -USAA Value Fund Retail	A	Distribution	J	T	Open	12/01/17	J		
221. -USAA Science & Technology	A	Distribution	J	T	Open	12/01/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222. -Fidelity Capital & Income	A	Dividend	L	T	Buy	12/14/17	K		
223. -Fidelity Total Bond	A	Dividend	M	T	Buy	12/27/17	L		
224. -EFT Managers Tr Prime Cyber Security	A	Dividend	K	T	Open	12/01/17	K		
225. -Vanguard Sector Index FDS Health Care Vipers	A	Dividend	K	T	Open	12/01/17	K		
226. -Vanguard Sector Index FDS IndustrialVipers	A	Dividend	K	T	Open	12/01/17	K		
227. -Vanguard Sector Index FDS Info Technology Vipers	A	Dividend	L	T	Open	12/01/17	L		
228. -Vanguard Intl Equity Index Fund Emerging Markets ETF	A	Dividend	K	T	Open	12/01/17	K		
229. -First TR PFD Secs & Income ETF	A	Dividend	K	T	Open	12/01/17	K		
230. -Vanguard Index FDS REIT ETF	A	Dividend	K	T	Open	12/01/17	K		
231. -Common, Amgen, Inc.		None	K	T	Open	12/01/17	K		
232. -Common, Blackrock Energy & Res TR	A	Dividend	J	T	Open	12/01/17	J		
233. -Common, Bristol Myers Squibb		None	K	T	Open	12/01/17	K		
234. -Common, Cohen & Steers Infrastructure	A	Dividend	K	T	Open	12/01/17	K		
235. -Common, Gabelli Div&Income TR		None	K	T	Open	12/01/17	K		
236. -Common, Johnson & Johnson		None	K	T	Open	12/01/17	K		
237. -Common, Eli Lilly & Co.		None	K	T	Open	12/01/17	K		
238. -Common, Microsoft Corp.		None	K	T	Open	12/01/17	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -Common, Novartis A G Sponsored ADR		None	K	T	Open	12/01/17	K		
240. -Common, Pembina Pipeline Corp.		None	K	T	Open	12/01/17	K		
241. -Common, Pepsico Inc.		None	K	T	Open	12/01/17	K		
242. -Common, Sysco Corp.		None	K	T	Open	12/01/17	K		
243. -Preferred, Citigroup Inc. DEP 6.87500% PFD		None	K	T	Open	12/01/17	K		
244. -Common, Gladstone Coml Corp.	A	Dividend	K	T	Open	12/01/17	K		
245. -Core Account (FDIC Insured Bank Accts.)	A	Interest	M	T	Buy	12/01/17	M		
246.					Buy (add'l)	12/08/17	L		
247.					Buy	12/11/17	K		
248.					Sold (part)	12/15/17	K		
249.					Sold (part)	12/27/17	L		
250. Vanguard Investment Acct. (H):									
251. -Shares, Balanced Index Fund	A	Dividend	J	T					
252. -Shares, Prime Money Mkt. Fund	A	Dividend	J	T					
253. -Shares, Small-Cap Value Fund	A	Dividend	J	T					
254. -Shares, IShares Technology ETF	A	Dividend	J	T					
255. 1 RBC Acct. (H):									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. --Shares, Berkshire Hathaway CL B Inc.		None			Closed	06/29/17	K		
257. ████████ 2 RBC Acct. (H):									
258. --Shares, Berkshire Hathaway CL B Inc.		None			Closed	06/07/17	K		
259. 529 Acct. - Vanguard ████████ Income Fund	A	Dividend	J	T					
260. REH Irrevocable Trust (H):									
261. - Fidelity Inherited IRA (H):									
262. --Shares, Fidelity Low Priced Stock Fund	C	Dividend			Sold	11/14/17	K	E	
263. --Fidelity Cash Reserves	A	Dividend			Buy (add'l)	11/14/17	K		
264.					Redeemed	12/26/17	K		
265. --Promissory Note from Vicki A. Marvel, 6%		None	K	T					
266. --M&T Money Market Acct.	A	Interest	K	T					
267. LHH Revocable Trust (H):									
268. --Fidelity Roth IRA (H):									
269. --Shares, Fidelity Contrafund	B	Distribution	K	T					
270. --Share, Fidelity Focused Stock Fund	A	Distribution	J	T					
271. --Shares, Fidelity Select Biotechnology	A	Distribution	J	T					
272. --M&T Money Market Acct.	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000	D --\$5,001 - \$15,000 H2 --More than \$5,000,000	E --\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J --\$15,000 or less N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000	K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000 P4 --More than \$5,000,000	M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q --Appraisal U --Book Value	R --Cost (Real Estate Only) V --Other	S --Assessment W --Estimated	T --Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. --TIC Fidelity Trust Acct (H):.									
274. --Shares, Fidelity Municipal Inc.	A	Dividend			Sold	12/13/17	K	A	
275. --Shares, Fidelity Government Income	A	Dividend			Sold	12/04/17	J		
276. --Shares, Fidelity Intermediate Muni Income	A	Dividend			Sold	12/13/17	J	A	
277. --Shares, Fidelity Capital & Income	A	Dividend	J	T	Buy	12/13/17	J		
278. --Shares, Fidelity OTC Port		None	K	T	Buy	12/13/17	K		
279. --Shares, Fidelity Select Medical Equipment & System	A	Distribution	J	T	Buy	12/13/17	J		
280. --Fidelity Cash Reserves	A	Interest	J	T	Buy (add'l)	12/05/17	J		
281.					Sold (part)	12/14/17	J		
282. --Bank of Oklahoma (BOO) Checking Acct.	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

2017 Report, lines 141-193 : I rolled over my two IRA accounts at RBC and my IRA account at USAA to Fidelity in December 2017, and consolidated them into a newly established Fidelity IRA Rollover account for convenience and ease of management. The effects of the rollover are reflected in a new listing for the Fidelity IRA Rollover Account at lines 194-249. The transfer of each individual asset out of the old accounts is reflected by the word "Closed" and the receipt of each individual asset by Fidelity is reflected by the word "Open". The values shown are the values on the dates that the assets were transferred from the old accounts to the new Fidelity account. No gain or loss is reported because the assets were not sold or otherwise disposed of but were simply transferred between financial institutions. Any income earned and any purchases or sales made are properly reported in the accounts where the income was earned and the purchases or sales were made.

2017 Report, lines 136-140: In December 2017, I transferred all of the the assets in my USAA investment account to a newly established Fidelity investment account. I did not separately list the assets again in the Fidelity investment account but showed the change in the header.

2017 Report, part VII: For certain investments paying both capital gains distributions and dividends, the income has been aggregated and shown as distributions to simplify reporting.

2017 Report, part VII, lines 255-258 : I show these RBC custodial accounts as closed. The assets in the custodial accounts were transferred into new accounts in [REDACTED] names and will no longer be shown on this report. [REDACTED] ; consequently [REDACTED] assets are not reportable.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ L Paige Marvel

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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