

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Herrera, Judith C.	2. Court or Organization U.S. District Court, NM	3. Date of Report 05/06/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address United States District Court 333 Lomas Blvd., NW #710 Albuquerque, NM 87102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Zions Bank	Rental Property #1	N
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America Account	A	Interest	K	T					
2. Rental Property #1 Santa Fe, NM Purch 03/30/94	G	Rent	P1	Q					
3. [REDACTED] Ptnrship #1 (now an LLC) Santa Fe, NM	A	Interest	P1	W	Sold (part)	05/07/14	L	E	Jump Ball, LLC
4. [REDACTED] Ptnrship#1 (see #3 above)		None	P1	W	Sold (part)	12/26/14	L	F	Jump Ball, LLC
5. Bank of America Profit Sharing Plan	A	Interest	J	T					
6. Ameriprise Threadneedle Profit Sharing Plan		None	K	T					
7. Ameriprise Financial RVS Large Cap Equity Fund		None	L	T					
8. NW Mutual Life Ins 8337512		None	K	T					
9. NW Mutual Life Ins 8644443		None	L	T					
10. NW Mutual Life Ins 9618706		None	L	T					
11. NW Mutual Life Ins 11879434		None	L	T					
12. Fidelity Select Telco		None	J	T					
13.									
14. NW Mutual Variable Extra Ordinary Life [REDACTED] 9618683		None	K	T					
15. NW Mutual Variable Extra Ordinary Life [REDACTED] 18286		None	K	T					
16. NW Mutual Variable Extra Ordinary Life [REDACTED] 11876354		None	J	T					
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. RYDEX Biotechnology Fund	A	Dividend	J	T	Buy	11/17/14	J		
19. SPDR S&P Biotech ETF	A	Dividend	J	T	Buy	11/17/14	J		
20. Profunds Biotechnology Inv Cl	A	Dividend	K	T	Buy	11/17/14	K		
21. Gilead Sciences Inc		None	J	T	Buy	11/24/14	J		
22.					Buy (add'l)	12/23/14	J		
23. General Dynamics Corp		None	J	T	Buy	11/25/14	J		
24. Apple Inc		None	J	T	Buy	11/25/14	J		
25.			J	T	Buy (add'l)	12/01/14	J		
26.					Buy (add'l)	12/08/14	J		
27. Tessera Technologies		None	J	T	Buy	11/25/14	J		
28. PowerShares Dynamic Pharmaceuticals EFT	A	Dividend	J	T	Buy	11/25/14	J		
29. Sanmina Corporation		None	J	T	Buy	11/25/14	J		
30. EGSHARES ETF India	A	Dividend	J	T	Buy	11/26/14	J		
31. Alcoa Inc		None	J	T	Buy	11/28/14	J		
32. Hewlett-Packard Company		None	J	T	Buy	11/28/14	J		
33. Lifepoint Hospitals Inc		None	J	T	Buy	11/28/14	J		
34. Molina Healthcare Inc		None	J	T	Buy	11/28/14	J		

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35. Vanguard REIT	A	Dividend	J	T	Buy	11/28/14	J		
36. Omnivision Technologies		None	J	T	Buy	12/01/14	J		
37.			J	T	Buy (add'l)	12/02/14	J		
38. Skyworks Solutions Inc		None	J	T	Buy	11/25/14	J		
39.			J	T	Buy (add'l)	12/09/14	J		
40. Tesoro Corporation		None	J	T	Buy	12/01/14	J		
41.					Buy (add'l)	12/11/14	J		
42.					Buy (add'l)	12/18/14	J		
43. Aetna Inc		None	J	T	Buy	12/15/14	J		
44. Nephrogenex Inc		None	J	T	Buy	12/31/14	J		
45. Avago Technologies		None	J	T	Buy	12/30/14	J		
46. Health Net Inc		None	J	T	Buy	11/28/14	J		
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69.									
70.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 2 The appraisal was made in February, 2009

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Judith C. Herrera**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544