

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fulton,, Thomas H.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 08/02/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Courthouse 601 West Broadway, Suite 528 Louisville, KY 40202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AMERIPRISE FINANCIAL									
2. Variable Annuity									
3. First Eagle Global CL A	A	Dividend	J	T					
4. Hilliard Lyons Trust Account									
5. Abbott Laboratories	A	Dividend			Sold	04/06/16	J		
6. Abbvie Inc	A	Dividend	K	T					
7. Berkshire Hathaway		None	K	T					
8. Cisco	A	Dividend	J	T					
9. Disney Walt	A	Dividend	K	T					
10. Exxon Mobile	A	Dividend	K	T					
11. Pepsico	A	Dividend	K	T					
12. Proctor & Gamble	A	Dividend	K	T					
13. Walgreens Co.	A	Dividend	K	T					
14. Goldmans Sach Financial Square Federal Fund	A	Int./Div.	J	T					
15. Johnson & Johnson Co.	A	Dividend	K	T					
16. TJX Companies	A	Dividend	K	T					
17. Harley Davidson Inc.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Microsoft Corp	A	Dividend	K	T					
19. Home Depot	A	Dividend	K	T					
20. Chevron Corp	A	Dividend	K	T					
21. CVS Caremark Corp	A	Dividend	K	T					
22. US Bancorp Del Com	A	Dividend	J	T					
23. Ishare TR S&P 400 Value	A	Dividend	J	T					
24. VanGuard Index Small Cap ETF	A	Dividend	J	T					
25. VanGuard FTSE Emering Mrkt ETF	A	Dividend	J	T					
26. Southern Co.	A	Dividend	J	T					
27. JP Morgan Chase	A	Dividend	K	T					
28. Expediors Intl	A	Dividend	J	T					
29. International Business Machs Corp	A	Dividend	J	T					
30. Artisan International	A	Dividend	K	T					
31. Dodge & Cox International Stock	A	Dividend	K	T					
32. Pfizer, Inc.	A	Dividend	J	T					
33. Apple, Inc.	A	Dividend	J	T					
34. Fastenal Co Com	A	Dividend	J	T					

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35. Northern Tr Corp Com	A	Dividend	J	T					
36. Wells Fargo & Co. New Com	A	Dividend	K	T	Buy	04/06/16	J		
37. HILLIARD LYONS BROKERAGE ACCT #1									
38. Hilliard Lyons Govt. Fund	A	Dividend	J	T	Sold (part)	01/15/16	J	A	
39. Bar Harbor Bankshares	A	Dividend	J	T					
40. Connecticut WTR Svc, Inc	A	Dividend	J	T					
41. Cisco Systems, Inc.	A	Dividend	K	T					
42. Walt Disney Stock	A	Dividend	L	T					
43. Home Depot Stock	A	Dividend	K	T					
44. International Paper	A	Dividend	K	T					
45. Kimberly-Clark Corp Stock	A	Dividend	K	T					
46. Microsoft	A	Dividend	K	T					
47. Pfizer, Inc	A	Dividend	J	T					
48. Colgate-Palmolive Co.	A	Dividend	K	T					
49. Staples, Inc.	A	Dividend	J	T					
50. Comcast Corp Class A	A	Dividend	K	T					
51. Corning, Inc.	A	Dividend	J	T					

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52. Janus Cap Group	A	Dividend	J	T					
53. Motorcar parts of America	A	Dividend	K	T					
54. Summer Infant	A	Dividend	J	T					
55. O Reily Automotive, Inc.	A	Dividend	J	T					
56. Zoetis, Inc.	A	Dividend	J	T					
57. Core Molding Tech Inc	A	Dividend	J	T					
58. Hallyard Health Inc	A	Dividend	J	T					
59. Supreme Industries Inc.	A	Dividend	J	T					
60. Veritiv Corp	A	Dividend	J	T					
61. Hennessy Advisors Inc	A	Dividend	J	T	Buy	01/05/16	J		
62. Napco Security	A	Distribution	J	T	Buy	10/27/16	J		
63. HILLIARD LYONS BROKERAGE ACCT #2									
64. Walmart	A	Dividend	J	T					
65. American Legacy III Variable Annuity	A	Dividend	L	T					
66. Hilliard Lyons Govt Fund	A	Dividend	J	T					
67. Dow Chemical	A	Dividend	J	T					
68. QualComm, Inc.	A	Dividend	K	T					

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69. Oracle Corp	A	Dividend	K	T					
70. Pfizer, Inc	A	Dividend	J	T					
71. Janus Cap Group	A	Dividend	J	T					
72. Landuer Inc.	A	Dividend	J	T					
73. Motorcar Parts of America	A	Dividend	K	T					
74. Stifel Financial Corp	A	Dividend	J	T					
75. Summer Infant Inc.	A	Dividend	J	T					
76. Zoetis, Inc.	A	Dividend	J	T					
77. Hingham Institue for Svg	A	Dividend	J	T					
78. Core Molding Tech, Inc	A	Dividend	J	T					
79. Supreme Industries, Inc.	A	Dividend	J	T	Sold (part)	10/03/16	J		
80. Hennessy Advisors inc	A	Dividend	J	T	Buy	02/22/16	J		
81. Napco Security	A	Dividend	J	T	Buy	10/19/16	J		
82. Hilliard Lyons Retirement Acct.									
83. Washington Mutual Fund	A	Dividend	J	T					
84. Wells Fargo (formerly Wachovia Securities) Brokerage Acct #1									
85. Powershares Water Resources	A	Dividend	J	T					

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86. Wachovia Bank Deposit Sweep Option	A	Dividend	J	T					
87. Advisors Asset MGR-Emerging Mkts	A	Dividend	K	T					
88. Sanofi ADR	A	Dividend	J	T					
89. First Trust Dow Target 15 term	C	Dividend	M	T					
90. Guggenheim Dow Jones Value Div	A	Dividend	K	T	Sold (part)	05/02/16	J		
91. Caterpillar, Inc.		None			Buy	01/29/16	J		
92.					Sold	04/06/16	J		
93. International Paper		None			Buy	01/29/16	J		
94.					Sold	05/09/16	J		
95. WELLS FARGO (FORMELRY WACHOVIA SECURITES) ACCT #3									
96. Bank Deposit Sweep	A	Interest	K	T					
97. Monmouth Real Estate Reit	A	Dividend	K	T					
98. UMH Properties, Inc.	A	Dividend	K	T	Sold (part)	10/14/16	J	A	
99. Alpine Global Dynamic	A	Dividend	J	T					
100. Medtronic, Inc.	A	Dividend	K	T					
101. Merk & Co. Inc.	A	Dividend	K	T					
102. Exxon Mobile	A	Dividend	J	T					

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103. BP Plc Spons ADR	A	Dividend	J	T	Sold (part)	10/14/16	J	B	
104. HESS Corporation		None			Sold	10/14/16	J	A	
105. First Trust Dow Target DVD 3q 15		None			Redeemed	10/13/16	K	B	
106. International Paper Co.	A	Dividend			Buy	01/29/16	J		
107.					Sold	05/09/16	J		
108. First Trust Dow Target	A	Interest	K	T	Buy	10/10/16	K		
109. WELLS FARGO (FORMERLY WACHOVIA SECURITIES) BROKERAGE ACCT #4									
110. Gilat Satellite Networks	A	Dividend	J	T					
111. Headwaters, Inc.	A	Dividend	J	T					
112. Merk & Co. Inc.		None			Sold	11/07/16	K	D	
113. Pfizer Incorporated	B	Dividend	K	T					
114. Tetra Tech, Inc.	A	Dividend	K	T					
115. Verizon Communications	B	Dividend	K	T					
116. Tekla Healthcare Investors	A	Dividend	J	T					
117. Tekla Life Sciences	B	Dividend	J	T					
118. IRA Bank Deposit Sweep	A	Interest	L	T	Buy (add'l)	10/14/16	K		

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119. Frontier Communications, Corp	A	Dividend	J	T					
120. Chevron	A	Dividend	K	T	Sold (part)	10/14/16	J	C	
121.					Buy	11/07/16	J		
122. Apple, Inc.	A	Dividend	K	T					
123. Proctor & Gamble Co.		None			Sold	11/07/16	K	D	
124. John Hancock Regional		None			Buy (add'l)	04/13/16	K		
125.					Sold	10/14/16	K	C	
126. First Trust Financials Select Port	A	Int./Div.	K	T					
127. Catepillar, Inc	A	Dividend	K	T	Sold (part)	10/14/16	K	C	
128. Coca-Cola Company	A	Dividend	K	T	Sold (part)	10/14/16	K	D	
129. Exxon Mobile Corp	A	Dividend	K	T	Sold (part)	10/14/16	J	C	
130. International Business Machine Corp	A	Dividend	K	T					
131. Wal-Mart Stores Inc.		None			Sold	10/14/16	L	D	
132. First Trust Dow Target Dvd 3q 15		None			Redeemed	10/13/16	L	D	
133. International Paper Co.		None			Buy	01/25/16	K		
134.					Sold	03/22/16	K	D	
135. Mcdonald's	B	Dividend	K	T	Buy	07/27/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. First Trust Dow Target DVD 4q	C	Interest	L	T	Buy	10/10/16	L		
137. John Hancock Money Market	A	Interest	K	T	Buy	10/14/16	K		
138. Cisco Systems, Inc.	A	Dividend	J	T	Buy	11/07/16	J		
139. General Electric Co.	B	Dividend	K	T	Buy	11/07/16	K		
140. WELLS FARGO (FORMERLY WACHOVIA SECURITIES) BROKERAGE ACCT #5									
141. Bank Deposit Sweep	A	Dividend	L	T					
142. Monmouth Real Estate	B	Dividend	K	T					
143. Transocean, LTD	A	Dividend	J	T	Sold (part)	12/30/16	J	A	
144. UMH Properties, Inc.	A	Dividend	K	T					
145. WPX Energy, Inc.	A	Dividend	J	T					
146. General Electric	A	Dividend	K	T					
147. Vodafone Group	A	Dividend	J	T					
148. Verizon Communications	A	Dividend	J	T					
149. First Trust Dvrsfd EQ strgc		None			Redeemed	01/21/16	L	C	
150. First Trust Energy Select Portfolio		None			Redeemed	09/01/16	K	B	
151. First Trust Interest Rate Hedge Port	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
152. First Trust Homebuilders Recovery Ser 12 cash	A	Dividend	L	T					
153. Guggenheim Value Dividend Focus Portfolio Ser 12		None			Redeemed	12/14/16	K	B	
154. First Trust Cptal Strength Series 31 Rein	B	Dividend	L	T					
155. First Trust Dow Target 10 Nov 15		None			Redeemed	12/14/16	K	B	
156. First Trust DiversityEquity Strat	B	Dividend	M	T	Buy	01/21/16	L		
157. Caterpillar, Inc		None			Buy	01/27/16	K		
158.					Sold	03/22/16	K	C	
159. International Paper Co.		None			Buy	01/27/16	K		
160.					Sold	05/09/16	K	B	
161. First Trust Energy Select Portfolio	A	Dividend	K	T	Buy	08/30/16	K		
162. First Trust Dow Target 10 Dec 16	B	Dividend	K	T	Buy	12/12/16	K		
163. Guggenheim	B	Dividend	K	T	Buy	12/12/16	K		
164. PRO CASH PLUS #1									
165. Federated Capital Reserves	A	Dividend	J	T					
166. Target Corp	B	Dividend	K	T					
167. MFS Municipal Income Fund	A	Dividend	K	T					
168. Vanguard Whitehall FDS High Divident	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
169. Wisdom Tree TR Div. Ex. Financials	B	Dividend	K	T					
170. Altria Group Inc Com	B	Dividend	K	T					
171. AT&T Inc.	A	Dividend	K	T					
172. Firstenergy Corp	A	Dividend	J	T					
173. Procter & Gamble Co.	A	Dividend	K	T					
174. Verizon Communications	B	Dividend	K	T					
175. Tax Exempt Bond Fund of America Class A	B	Dividend	L	T					
176. Bristol Myers Squibb	A	Dividend	K	T					
177. American Short Term Tax-Exempt Bond Fund	A	Dividend	L	T					
178. PNC Finl Svcs Group Inc Ser Q Non Cum	A	Dividend	J	T					
179. Exelon Corp Com	A	Dividend	K	T	Buy	02/09/16	K		
180. First TR Low Duration Opportunities ETF	A	Dividend	J	T	Buy	10/11/16	J		
181. PRO CASH #2									
182. Ventas, Inc.	B	Dividend	K	T					
183. Comcast Corp	A	Dividend	K	T					
184. Exxon Mobile Corp	A	Dividend	K	T					
185. General Electric Co.	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
186. Seadrill limited Shs.		None	J	T					
187. 3d Sys Corp		None	J	T					
188. Westport Innovations, Inc.		None	J	T					
189. American Short Term Tax Exempt Bond Fund	A	Dividend			Sold	04/12/16	L		
190. Ishares TR MSCI EAFE	A	Dividend			Sold	01/12/16	J		
191. Pershing Govt. Acct	A	Interest	J	T					
192. The Income Fund of America Class A	C	Dividend			Sold	08/04/16	L		
193. Fireye Inc Com	A	Dividend	J	T					
194. Care Cap PPTYS Inc Com	A	Dividend			Sold	06/22/16	J		
195. US Government Securities Fund Class A	C	Interest	M	T	Buy	04/12/16	L		
196.					Sold (part)	08/04/16	L		
197. FT Unit 5590SR LN & LTD	B	Dividend	K	T					
198. Dineequity Inc.	A	Dividend	J	T	Buy	06/22/16	J		
199.									
200.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas H. Fulton,**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544