

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Agee, George S.	2. Court or Organization 4th Circuit	3. Date of Report 05/04/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Fourth Circuit U.S. Court of Appeals 1100 E. Main Street Richmond, VA 23218		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Bridgewater College
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Commonwealth of Virginia-Retirement Income	\$70,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Carilion Clinic-Salary
2. 2015	RGC Resources, Inc. - Director Fees
3. 2015	Hometown Bank - Director Fees
4. 2015	Rockingham Mutual Insurance Co. - Director Fees

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Kent Greenawalt	Food/lodging for wedding event	\$3,500.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AMNB	A	Dividend	J	T					
2. SUWI, LLC	D	Distribution	L	W					
3. -Rental Property #1 Roanoke, VA					Sold	06/16/15	L	E	McLeod Foundation, Inc.
4. -Rental Property #2 Roanoke, VA									
5. Agee Mill, LLC	A	Distribution	L	W					
6. RGC Resources, Inc.	D	Dividend	N	T					
7. First Citizens Bank Account	A	Interest	J	T					
8. Hometown Bank	D	Dividend	M	T					
9. BBT	A	Dividend	J	T					
10. DWGAX	A	Dividend	J	T					
11. MSFT	A	Dividend	J	T					
12. CAIBX	B	Dividend	L	T					
13. CWGIX	A	Dividend	J	T					
14. ANCFX	B	Dividend	L	T					
15. AGTHX	B	Dividend	M	T					
16. ANWPX	A	Dividend	K	T					
17. NEWFX	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,001 - \$500,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	L ~\$50,001 - \$100,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. IGAAX	A	Dividend	K	T					
19. BNCN (formerly known as VYFC)	A	Int./Div.	K	T					
20. NYVCX	A	Dividend	J	T					
21. ACERX	A	Dividend	K	T					
22. TFXVX	A	Dividend	K	T					
23. AMECX	A	Dividend	K	T					
24. AFL	A	Dividend	J	T					
25. STLD	A	Dividend	K	T					
26. SBPLX	A	Dividend	J	T					
27. FSBCX	A	Dividend	K	T					
28. Edward Jones Cash Account	A	Interest	J	T					
29. IRA #1*	C	Dividend	M	T					
30. -IGAAX									
31. -ANWPX									
32. -CWGIX									
33. -AMRMX									
34. -ANCFX									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -CAIBX									
36. -AMECX									
37. -AMCPX									
38. -AGTHX									
39. -NEWFX									
40. Retirement Account #1 Virginia Deferred Compensation Plan	D	Dividend	N	T					
41. -VRS Stock Fund									
42. -Stable Value Fund									
43. -VRS Int'l Stock Fund									
44. -VRS Small/Mid Cap Stock Fund									
45. -Emerging Markets Stock Fund									
46. IRA #2	B	Dividend	L	T					
47. -IGAAX									
48. -ANWPX									
49. -CWGLX									
50. -AMRMX									
51. -ANCFX									

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52. -CAIBX									
53. -AMECX									
54. -AMCPX									
55. -AGTHX									
56. -NEWFX									
57. Retirement Account #2 Carilion Health System Sec 403(b) Plan	E	Dividend	O	T					
58. -Pimco Low Duration					Sold	07/15/15	J	A	
59. -JP Morgan Short Duration Bond R6									
60. -Metropolitan West Total Return Bond Plan									
61. -American Funds Euro Pacific Growth									
62. -Goldman Sachs MDCAP Val/A									
63. -Prudential Jennison Sm Company A*1									
64. -Victory Munder Mid Cap Core Gr FdY									
65. -Vanguard Instl Index I									
66. Retirement Acct #3 Carilion Health System Sec 457(b) Plan	E	Dividend	N	T					
67. -Metropolitan West Total Return Bond Plan									
68. -Black Rock Equity Dividend					Sold	07/01/15	M	B	

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69. -J Hancock Disciplined Value R6					Buy	07/01/15	M		
70. -Vanguard Instl I									
71. Retirement Acct #4 Equivest TSA 501(c)(3) Plan	B	Int./Div.	L	T					
72. -EQ Guaranteed Interest									
73. -EQ International Equity Index									
74. -AXA/AB Small Cap Growth (formerly EQ Alliance Bernstein SmCpGR)									
75. -AXA MidCap VA1 Managed Vol									
76. -EQ Black Rock Basic									
77. -Equitable Multimanager Core Bond									
78. -Equitable Charter Multi Sector Bond									
79. Retirement Acct #5 Alliance Benefit Group Capital ACCU.AC	E	Dividend	N	T					
80. -TWEAX									
81. -CAAPX									
82. -FMCAX									
83. -GABGX									
84. -WEBAX									
85. -GABAX									

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86. -PREIX									
87. -FTCIMA									
88. Life Insurance Policy #1 Natl Life Ins Co Whole Life	C	Dividend	M	T					
89. Life Insurance Policy #2 Equitable Variable Life	A	Dividend	K	T					
90. -AXA Moderate Allocation									
91. -EQ/Common Stock Index									
92. -Multimanager, Aggressive Equity									
93. Life Ins Policy #3 Lincoln Nat'l Life Ins Co Index Life	C	Dividend	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part I, Item I, Trust #1 from 2014 report terminated December 31, 2014. I was not and am not a beneficiary, have a beneficial interest in its assets or receive any compensation or benefit as a fiduciary from it.
2. Part VII, Item 83 GABGX. This item was reported as sold for the Disclosure for 2014 but was only partially sold in December, 2014.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ George S. Agee

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544