

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kessler, Gladys	2. Court or Organization U.S. District Court	3. Date of Report 06/05/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. District Court 333 Constitution Avenue, N.W. Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board Member	Our Place, D.C. -- a 501(c)(3) organization
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2011	I am a member of the D.C. Judges' Retirement Fund and eligible for all benefits.
2. 2011	As a Senior Judge, I now receive a pension rather than a salary.
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	D.C. Government - Pension	\$125,775.48
2. 2011	Judicial Retirees & Survivors Admin Office of the US Courts - Pension	\$169,265.94
3. 2011	Vanguard 500 Index Contributory IRA	\$10,000.00
4. 2011	Vanguard Mid-Cap Contributory IRA	\$10,000.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Prudential Insurance Company - Pension
2. 2011	Wells Fargo Bank NA - IRA
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ADP - common stock	B	Dividend	K	T					
2. Tyco - common stock	A	Dividend	J	T					
3. MMM - common stock	B	Dividend	L	T					
4. GE - common stock	A	Dividend	K	T					
5. Stanley Black & Decker - common stock	A	Dividend	K	T					
6. Vanguard High Yield Tax Exempt - mutual fund	E	Interest	O	T					
7. Vanguard Tax Exempt Money Market Fund	A	Interest	L	T					
8. Sun Trust - Checking Account		None	J	T					
9. Wells Fargo Advantage Fund IRA - mutual fund		None	K	W					
10. Vanguard 500 Index Fund	D	Dividend	N	T					
11. Vanguard Dividend Growth Fund	C	Dividend	M	T					
12. Janus Twenty Fund		None			Sold	02/16/11	K	B	
13. Banc of America - Nuveen Dividend Advantage Muni Bond Fund	B	Int./Div.	K	T					
14. Apache Corp - common stock	B	Dividend	M	T	Sold (part)	03/09/11	M	F	
15. General Electric - common stock	B	Dividend	L	T					
16. Quality Systems - common stock	C	Dividend	M	T	Sold (part)	07/07/11	L	F	
17. General Dynamics - common stock	B	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Banc of America - Eaton Vance Municipal Bonds	B	Int./Div.	K	T					
19. Vanguard Health Care Fund	C	Dividend	M	T					
20. Allstate - common stock	B	Dividend	L	T					
21. RPM International - common stock	D	Dividend	M	T					
22. Banc of America - 3M Company	A	Dividend	J	T					
23. Banc of America - General Electric	A	Dividend	J	T					
24. Banc of America - Eaton Vance Worldwide Health	C	Dividend	K	T					
25. Wells Fargo Advantage Opportunity Fund		None	L	W					
26. Vanguard Mid-Cap Index Fund Admiral Shares	C	Dividend	M	T					
27. PNC Bank - Checking Account		None	L	T					
28. Pentair, Inc - common stock	B	Dividend	L	T					
29. Broadridge Financial Solutions, Inc.	A	Dividend	J	T					
30. Vanguard Growth and Income Fund -	A	Dividend	K	T					
31. Matthews China Fund -		None			Sold	09/12/11	K	A	
32. Matthews India Fund -		None			Sold	09/12/11	K	A	
33. Covidien Ltd - common stock	A	Dividend			Sold	03/29/11	J	C	
34. Vanguard IRA 500 Index Fund Admiral Shares	C	Dividend	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Vanguard IRA Mid-Cap Index Fund Admiral Shares	C	Dividend	N	T					
36. Sandy Spring Bancorp -	A	Dividend	K	T					
37. Vanguard Long-Term Tax-Exempt Adm -	D	Interest	N	T					
38. Exxon Mobil Corp - common stock	A	Dividend	K	T					
39. Bristol Myers Squibb - common stock	B	Dividend	K	T					
40. US Govt Money Market Fund -	A	Dividend	K	T					
41. FirstEnergy Corp -	C	Dividend	L	T	Buy	06/01/11	L		
42. Nextera Energy Inc -	B	Dividend	L	T	Buy	06/15/11	L		
43. Telefonica SA Sponsored ADR -	B	Dividend	K	T	Buy	11/16/11	K		
44. RBC Bank Deposit Program -	A	Interest	J	T	Buy	01/01/11	J		
45. Wal-Mart Stores, Inc -	A	Dividend	L	T	Buy	09/06/11	L		
46. TE Connectivity	A	Dividend	J	T	Spinoff (from line 2)	03/18/11	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Additional notes on Part VII: lines 13, 18, 22, 23, 24 are all holdings in the same brokerage account.

Additional notes on Part VII : [REDACTED] suffers from dementia. His records are in great disarray. I am submitting figures which are only estimates, although they do represent my honest judgment of the approximate answers to the various questions to which this footnote applies. On lines 9 and 25 I have estimated the value of his investments.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gladys Kessler**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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