

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davis, Diane	2. Court or Organization Utica, NY	3. Date of Report 01/02/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 220 U.S. Courthouse 10 Broad Street Utica, New York 13501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Trustee	Trust #1
2.	Co-Trustee	Trust #2
3.	Co-Trustee	Trust #3
4.	Co-Trustee	Trust #4
5.	Co-Executor	mother's estate

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Troy Web Consulting LLC - salary
2. 2016	self-employed elder caregiver
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Central NY and Capital District Bankruptcy Bar Assns.	11/11/2016-11/12/2016	Cooperstown, NY	Bar Associations Annual Bankruptcy Conference	mileage, meals, hotel
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1									
2. -Fidelity Advisors Small Cap Fund Class A	A	Int./Div.	J	T					
3. -Henderson Global Investors Intl Opport Fund A	A	Int./Div.	J	T					
4. -Mainstay Large Cap Growth Fund Class A	A	Int./Div.	J	T					
5. -MFS Value Fund Class A	A	Int./Div.	J	T					
6. -FT Templeton Global Bond A	A	Int./Div.	J	T					
7. -PIMCO Total Return Fund Class A	A	Int./Div.	J	T					
8. -USB Bank USA Dep Acct	A	Int./Div.	J	T					
9. -Virtus Multi-Sector Short Term Bond Fund Class A	A	Int./Div.	J	T	Sold (part)	10/31/16	J	A	
10. -Manning & Napier FD, Inc. World Opportunities	A	Int./Div.			Sold	01/21/16	J	A	
11. -USB PACE Large Company Growth Equity Investment Fund Class	A	Int./Div.	J	T	Sold (part)	11/21/16	J	A	
12. -UBS PACE Intl Emerging Mkts Equity Investment Fund Class P	A	Int./Div.	J	T	Buy (add'l)	11/21/16	J		
13. -UBS PACE Large Company Value Equity Investment Fund Class P	A	Int./Div.	J	T	Sold (part)	11/21/16	J	A	
14. -UBS PACE International Equity Investment Fund Class P	A	Int./Div.	J	T					
15. -UBS PACE Small/Medium Co Value Equity Investment Fund	A	Int./Div.	J	T					
16. -UBS Government Sec Fixed Income Investment Fund	A	Int./Div.	J	T					
17. -UBS Strategic Fixed Income Investment Fund Long	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -UBS High Yield Investments Class P	A	Int./Div.	J	T					
19. -CSRSX	A	Int./Div.	J	T					
20. -GTEYX	A	Int./Div.	J	T					
21. -FMI Large Cap Fund	A	Int./Div.	J	T	Sold (part)	10/31/16	J	B	
22. -Riverpark/Wedgewood Retail Funds	A	Int./Div.	J	T	Sold (part)	01/29/16	J	A	
23.					Sold (part)	10/31/16	J	A	
24. -DDMSX	A	Int./Div.			Sold	10/14/16	J	A	
25. -UBS PACE Small/Medium Co Growth Equity Inv Class P	A	Int./Div.	J	T					
26. -UBS PACE International Fixed Investment Class P	A	Int./Div.	J	T					
27. -UBS PACE Alternative Strategies Investments Class P	A	Int./Div.	J	T					
28. -SPY	A	Int./Div.	K	T	Sold (part)	04/01/16	J	B	
29.					Sold (part)	04/25/16	J	B	
30.					Sold (part)	10/31/16	J	B	
31. -JVMIX	A	Int./Div.	J	T	Sold (part)	10/31/16	J	B	
32. -BND	A	Int./Div.	J	T	Sold (part)	10/31/16	J	A	
33.					Sold (part)	11/08/16	J	A	
34. -AQMNX	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -JHAIX	A	Int./Div.	J	T	Sold (part)	10/31/16	J	A	
36. -MFLDX	A	Int./Div.			Sold	05/24/16	J	A	
37. -UBS PACE Interim Fixed Income	A	Int./Div.	J	T					
38. -OOSYX	A	Int./Div.	J	T	Sold (part)	10/31/16	J	A	
39. -BGCIX	A	Int./Div.	J	T	Sold (part)	10/31/16	J	A	
40. -RLSIX	A	Int./Div.	J	T	Sold (part)	10/31/16	J	A	
41. -ICEUX	A	Int./Div.	J	T					
42. -NMPAX	A	Int./Div.	J	T					
43. -VTI	A	Int./Div.	J	V	Buy (add'l)	06/28/16	J		
44.					Sold (part)	10/31/16	J	A	
45. -BMDIX	A	Int./Div.	J	T	Sold (part)	10/31/16	J	A	
46. -CWSIX	A	Int./Div.	J	T	Sold (part)	10/31/16	J	A	
47. -IEF	A	Int./Div.	J	T	Sold (part)	10/31/16	J	A	
48. -CLSIX	A	Int./Div.	J	T	Sold (part)	10/31/16	J	A	
49. -BGLSX	A	Int./Div.	J	T	Sold (part)	10/31/16	J	A	
50. -BHYIX	A	Int./Div.	J	T					
51. -Delaware Diversified Income I	A	Int./Div.	J	T					

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52. -EWJ	A	Int./Div.			Sold	04/01/16	J	A	
53. -EZU	A	Dividend			Sold (part)	01/21/16	J	A	
54.					Sold	06/28/16	J	A	
55. -EFA	A	Int./Div.	K	T	Buy (add'l)	01/21/16	J		
56.					Buy (add'l)	10/31/16	J		
57. -ACWX	A	Int./Div.			Sold	01/21/16	J	A	
58. -HEDJ	A	Int./Div.			Sold	01/12/16	J	A	
59. -LQD	A	Int./Div.	J	T	Buy (add'l)	04/01/16	J		
60.					Sold (part)	10/21/16	J	A	
61.					Sold (part)	10/31/16	J	A	
62. -LSIIX	A	Int./Div.	J	T	Sold (part)	10/31/16	J	A	
63. -PONPX	A	Int./Div.	J	T	Sold (part)	10/31/16	J	A	
64. -LFMIX	A	Int./Div.	J	T	Buy	10/14/16	J		
65. -PCGTX	A	Int./Div.	J	T	Buy	11/21/16	J		
66. -IJR	A	Int./Div.	J	T	Buy	10/31/16	J		
67. -VO	A	Int./Div.	J	T	Buy	10/31/16	J		
68.					Sold (part)	11/29/16	J	A	

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69. -IEFA (X)	A	Int./Div.	J	T	Sold (part)	10/31/16	J	A	
70. -IEMG	A	Int./Div.	J	T	Buy	08/02/16	J		
71.					Buy (add'l)	10/31/16	J		
72. -VTV	A	Int./Div.	J	T	Buy	10/31/16	J		
73. -VUG	A	Int./Div.	J	T	Buy	10/31/16	J		
74. -MBB	A	Int./Div.	J	T	Buy	01/21/16	J		
75.					Buy (add'l)	01/29/16	J		
76.					Buy (add'l)	10/31/16	J		
77. -EMB	A	Int./Div.	J	T	Buy	10/31/16	J		
78. -SHY	A	Int./Div.	J	T	Buy	10/31/16	J		
79. -CRED	A	Int./Div.	J	T	Buy	10/31/16	J		
80.					Sold (part)	11/18/16	J	A	
81. -IEI	A	Int./Div.	J	T	Buy	10/31/16	J		
82. -GOVT	A	Int./Div.	J	T	Buy	10/31/16	J		
83.					Buy (add'l)	11/18/16	J		
84.					Buy (add'l)	11/29/16	J		
85. -MINT	A	Int./Div.	J	T	Buy	10/31/16	J		

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86. -SCHP	A	Int./Div.	J	T	Buy	10/31/16	J		
87. -JNK	A	Int./Div.	J	T	Buy	10/31/16	J		
88. -BWV	A	Int./Div.	J	T	Buy	10/31/16	J		
89.					Buy (add'l)	11/08/16	J		
90. -EMKIX	A	Int./Div.	J	T	Buy	10/20/16	J		
91. -EMLC	A	Int./Div.	J	T	Buy	10/31/16	J		
92. -MLAIX (X)	A	Int./Div.			Sold	10/13/16	J	A	
93. Brokerage Account #2									
94. NYS Retirement Plan - no control ()		None	N	T					
95. Trustco	A	Interest	J	T					
96. Keybank	A	Int./Div.	M	T					
97. SEFCU	A	Interest	K	T					
98. Citizens	A	Interest	J	T					
99. Berkshire	A	Interest	K	T					
100. Brokerage Account #3									
101. Morgan Stanley Bank N.A.	A	Interest	M	T					
102. -IWR	A	Int./Div.	J	T	Buy (add'l)	08/16/16	J		

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103. -IJH	A	Int./Div.	J	T	Buy (add'l)	08/16/16	J		
104. -IJR	A	Int./Div.	J	T	Buy (add'l)	08/16/16	J		
105. -IWM	A	Int./Div.	J	T					
106. -FNX	A	Int./Div.	J	T					
107. -FYX	A	Int./Div.	J	T					
108. -EWJ	A	Int./Div.	J	T					
109. -DIA	A	Int./Div.	J	T	Buy (add'l)	01/29/16	J		
110.					Buy (add'l)	08/16/16	J		
111. -FEZ	A	Int./Div.	J	T					
112. -SPY	A	Int./Div.	J	T	Buy (add'l)	08/16/16	J		
113. -VGK	A	Int./Div.	J	T	Buy (add'l)	08/16/16	J		
114. -VPL	A	Int./Div.	J	T	Buy (add'l)	08/16/16	J		
115. -EWS (X)	A	Int./Div.	J	T					
116. -EEM (X)	A	Int./Div.	J	T					
117. -EWY (X)	A	Int./Div.	J	T					
118. Trust #1									
119. [REDACTED] Davis -buyout of 50% int in decendent's ownership of warehouse	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. -Bank Deposit Program Citibank	A	Int./Div.	J	T					
121. -XOM	A	Int./Div.	J	T	Sold (part)	12/05/16	J	B	
122. -MS Liquid Asset Fund	A	Int./Div.	O	T					
123. -EEM	A	Int./Div.	J	T					
124. -VZ	A	Dividend	J	T	Sold (part)	12/05/16	J	B	
125. -JNJ	A	Dividend	J	T					
126. -IBM	A	Dividend	K	T					
127. -MSFT	A	Dividend	J	T					
128. -MRK	A	Dividend	J	T	Sold (part)	12/05/16	J	B	
129. -HD	A	Dividend	J	T					
130. -INTC	A	Dividend	J	T					
131. -UTX	A	Dividend	J	T					
132. -AXP	A	Dividend	J	T					
133. -BA	A	Dividend	K	T					
134. -T	A	Dividend	J	T	Sold (part)	12/05/16	J	B	
135. -PFE	A	Dividend	J	T					
136. -JPM	A	Dividend	J	T	Sold (part)	12/05/16	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -PG	A	Dividend	J	T	Sold (part)	12/05/16	J	B	
138. -WMT	A	Dividend	J	T					
139. -DD	A	Dividend			Sold	01/19/16	K	A	
140. -GE	A	Dividend	J	T	Sold (part)	12/05/16	J	A	
141. -DIS	A	Dividend	J	T					
142. -KO	A	Dividend	J	T					
143. -CSCO	A	Dividend	J	T	Buy (add'l)	01/19/16	J		
144.					Sold (part)	12/20/16	J	A	
145. -WFC	A	Dividend	J	T					
146. -E.I. Du Pont De Nemours	A	Interest			Matured	12/15/16	J	A	
147. -CAT	A	Dividend	J	T					
148. -F	A	Dividend	J	T					
149. -USB	A	Dividend	J	T					
150. -UNH	A	Dividend			Sold	03/09/16	J	D	
151. -Sector Rotation Account	A	Int./Div.	L	T					
152. Apple Inc Corporate Bond	A	Interest			Sold	12/19/16	J	A	
153. Verizon Communications Corporate Bond	A	Interest			Sold	12/19/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -DIA	A	Int./Div.	J	T					
155. -FEZ	A	Int./Div.	J	T	Sold (part)	12/19/16	J	A	
156. -MDY	A	Int./Div.			Sold	12/19/16	J	A	
157. -SPY	A	Int./Div.	J	T	Sold (part)	12/19/16	J	A	
158. -VGK	A	Int./Div.	J	T					
159. -VPL	A	Int./Div.	J	T	Sold (part)	01/29/16	J	A	
160. -EWJ	A	Int./Div.	J	T					
161. -MCD	A	Dividend			Sold	01/19/16	J	C	
162. -NKE	A	Dividend			Sold	09/28/16	J	C	
163. Occidental Petroleum Corp corporate bond	A	Interest			Sold	12/19/16	J	A	
164. Caterpillar Financial corporate bond	A	Interest			Sold	12/19/16	J	A	
165. Proctor & Gamble corporate bond	A	Interest			Matured	11/04/16	J	A	
166. 3M Company corporate bond	A	Interest			Matured	09/29/16	J	A	
167. Walt Disney Company corporate bond	A	Interest			Matured	08/16/16	J	A	
168. -BAC	A	Dividend	J	T					
169. Bank of Montreal Corporate Bond	A	Interest			Sold	12/19/16	J	A	
170. JP Morgan Chase & Co Corporate Bond	A	Interest			Sold	12/19/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Home Depot Inc. Corporate Bond	A	Interest			Sold	12/19/16	J	A	
172. US Bancorp Corporate Bond	A	Interest			Sold	12/19/16	J	A	
173. Microsoft Corp Corporate Bond	A	Interest			Sold	12/19/16	J	A	
174. John Deere Capital Corp Corporate Bond	A	Interest			Sold	12/19/16	J	A	
175. Wal-Mart Stores Inc Corporate Bond	A	Interest			Sold	12/19/16	J	A	
176. Ally Bk Midvale UT CD	A	Interest			Sold	12/19/16	J	A	
177. -JSOSX	A	Int./Div.			Buy (add'l)	03/23/16	J		
178.					Sold	12/19/16	K	A	
179. -AAPL	A	Dividend	K	T					
180. -MET	A	Dividend	J	T	Sold (part)	12/05/16	J	A	
181. -CALL MSFT 2/19/16		None			Closed	02/19/16	J	A	
182. -CALL NKE 4/15/16		None			Closed	04/13/16	J	B	
183. -CALL NKE 1/15/16		None			Closed	01/15/16	J	A	
184. -CALL MCD 6/17/16		None			Closed	05/23/16	J		
185. -Bank of New York Mellon	A	Interest	K	T	Open	03/23/16	K		
186. -Georgia Power Corporate Bond	A	Interest			Buy	12/02/16	J		
187.					Sold	12/19/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -TXRAX	A	Int./Div.			Buy	11/18/16	J		
189.					Sold	12/19/16	J	A	
190. -Toyota Motor Corporate Bond	A	Interest			Buy	06/16/16	J		
191.					Sold	12/19/16	J	A	
192. -BB&T Corporation Bond	A	Interest			Buy	08/24/16	J		
193.					Sold	12/19/16	J	A	
194. -American Express Corporate Bond	A	Interest			Buy	09/07/16	J		
195.					Sold	12/19/16	J	A	
196. -Call JPM 1/19/18		None	J	T	Open	12/06/16	J		
197. -Call JPM 1/20/17 (X)		None			Closed	12/06/16	J	A	
198. -Call BA 5/19/17		None	J	T	Open	12/07/16	J		
199. -Call WFC 4/21/17		None	J	T	Open	12/07/16	J		
200. -Call BA 6/16/17 (X)		None			Closed	12/07/16	J	A	
201. -Call WFC 12/16/16 (X)		None			Closed	12/07/16	J	A	
202. -Call MET 2/17/17		None	J	T	Open	12/08/16	J		
203. -Call MET 6/16/17 (X)		None			Closed	12/08/16	J	A	
204. -Call BA 5/19/17 (X)		None			Closed	12/19/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Call MSFT 6/16/17		None			Open	11/11/16	J		
206.					Closed	12/19/16	J	A	
207. -Call WFC 4/21/17		None			Open	12/07/16	J		
208.					Closed	12/19/16	J	A	
209. -Call JPM 1/19/18 (X)		None			Closed	12/19/16	J	A	
210. -Call CAT 5/19/17		None			Open	10/12/16	J		
211.					Closed	12/19/16	J	A	
212. -Call IBM 4/21/17 (X)		None			Closed	12/19/16	J	A	
213. -Call UTX 2/17/17 (X0)		None			Closed	12/19/16	J	A	
214. -Call HD 5/19/17 (X)		None			Closed	12/19/16	J	A	
215. -Call Boeing 115.000 Jan		None			Open	05/16/16	J		
216.					Closed	08/18/16	J	A	
217. -Call Boeing 115.000 Jun		None			Open	04/12/16	J		
218.					Closed	05/06/16	J	A	
219. -Call Boeing 120.000 Jan		None			Open	08/08/16	J		
220.					Closed	10/24/16	J	A	
221. -Call Boeing 130.000 Jun		None			Open	10/24/16	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Closed	12/07/16	J	A	
223. -Call Boeing 130.000 May		None			Open	12/07/16	J		
224.					Closed	12/19/16	J	A	
225. -Call Caterpillar 65.000 Mar (X)		None			Closed	03/07/16	J	A	
226. -Call IBM 155.000 Jan (X)		None			Closed	11/22/16	J	A	
227. -Call JPM75.000 Jan		None	J	T	Open	12/06/16	J		
228. -Call Metlife 47.500 Feb		None	J	T	Open	12/08/16	J		
229. -Call Microsoft 52.500 Jan		None			Open	08/15/16	J		
230.					Closed	11/11/16	J	A	
231. -Call Apple 105.000 Nov (X)		None			Closed	09/15/16	J	A	
232. -Call Boeing 115.000 Apr (X)		None			Closed	04/12/16	J	A	
233. -Call UTX 97.500 Aug (X)		None			Closed	08/11/16	J	A	
234. -Call UTX 100.000 Jan (Y)		None			Open	08/11/16	J		
235. -Call AT&T 39.000 Oct (X)		None			Closed	07/05/16	J	A	
236. -Call Caterpillar 65.000 Mar (X)		None			Closed	03/07/16	J	A	
237. -Call Caterpillar 77.500 Nov (X)		None			Closed	10/12/16	J	A	
238. -Call Caterpillar 80.000 May		None			Open	10/12/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Closed	12/19/16	J	A	
240. -Call XOM 82.500 Jan		None			Open	05/10/16	J		
241.					Closed	10/27/16	J	A	
242. -Call XOM 82.5 May (X)		None			Closed	05/09/16	J	A	
243. -Call XOM 82.500 Oct (X)		None			Closed	05/10/16	J	A	
244. -Call XOM 85.000 Apr (Y)		None			Open	05/09/16	J		
245. -Call JNJ 105.000 Jan		None			Open	08/08/16	J		
246.					Closed	10/27/16	J	A	
247. -Call JNJ 110.000 Jun (Y)		None			Open	05/16/16	J		
248. -Call JPM 72.500 Jan		None			Open	08/18/16	J		
249.					Closed	12/05/16	J	A	
250. -Call JPM 72.500 Jan (X)		None			Closed	12/06/16	J	A	
251.					Closed	12/19/16	J	A	
252. -Call MCD 110.00 Jan		None			Open	11/22/16	J		
253.					Closed	12/06/16	J	A	
254. -Call MCD 110.000 Nov (X)		None			Closed	11/15/16	J	A	
255. -Call MCD 115.000 Dec (Y)		None			Open	05/23/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. -Call MCD 115.000 Jun (X)		None			Closed	12/19/16	J	A	
257. -Call MCD 115.000 Mar		None			Open	11/22/16	J		
258.					Closed	11/28/16	J	A	
259. -Call Merck 52.5 Jul (X)		None			Closed	06/09/16	J	A	
260. -Call Merck 55.000 Oct (X)		None			Closed	09/08/16	J	A	
261. -Call Metlife 42.500 Jun		None			Open	10/31/16	J		
262.					Closed	12/05/16	J	A	
263.					Closed	12/08/16	J	A	
264. -Call Metlife 47.500 Feb (Y)		None			Open	12/08/16	J		
265. -Call Microsoft 50.000 (Aug (X)		None			Closed	08/15/16	J	A	
266. -Call Microsoft 52.500 Jan		None			Open	08/15/16	J		
267.					Closed	11/11/16	J	A	
268. -Call Microsoft 52.500 Jun		None			Open	11/11/16	J		
269.					Closed	12/19/16	J	A	
270. -Call PNG 82.500 Apr (Y)		None			Open	10/17/16	J		
271. -Call Verizon 47.000 Mar (X)		None			Closed	03/14/16	J	A	
272. -Call Wells Fargo 46.000 Apr		None			Open	12/07/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.					Closed	12/19/16	J	A	
274. -Call Wells Fargo 46.000 Dec (X)		None			Closed	12/07/16	J	A	
275. Trust #2									
276. -Lake property Middlesec, NY, Yates County	E	Rent			Sold	09/30/16	O	A	
277. MS Liquid Asset Fund	A	Int./Div.	O	T					
278. -MUXAX	A	Dividend	K	T					
279. -XOM	B	Dividend	K	T					
280. -EMN	A	Dividend	J	T					
281. -EWU	A	Int./Div.	J	T					
282. -EWJ	A	Int./Div.	J	T					
283. -IWR	A	Int./Div.	K	T					
284. -IJH	A	Int./Div.	K	T					
285. -IWM	A	Int./Div.	J	T					
286. -IJR	A	Int./Div.	J	T					
287. -EWS	A	Int./Div.	J	T					
288. -DIA	A	Int./Div.	K	T	Buy (add'l)	01/29/16	J		
289. Citibank Bank Deposit Program	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. -EWY	A	Int./Div.	J	T					
291. -E.I. Du Pont De Nemours	A	Interest			Matured	12/15/16	J	A	
292. -JSOSX	A	Int./Div.	J	T					
293. -MDY	A	Int./Div.	J	T					
294. -EEM	A	Int./Div.	J	T	Buy (add'l)	01/29/16	J		
295. -IWF	A	Int./Div.	J	T					
296. -IWD	A	Int./Div.	J	T	Sold (part)	07/23/16	J	A	
297. -IWO	A	Int./Div.	J	T					
298. -IWN	A	Int./Div.	J	T					
299. -IJK	A	Int./Div.	J	T	Sold (part)	07/23/16	J	A	
300. -IJJ	A	Int./Div.	J	T	Buy (add'l)	01/29/16	J		
301. -SPY	A	Int./Div.	K	T	Sold (part)	01/02/16	J	A	
302.					Sold (part)	07/23/16	J	A	
303. -FXV	A	Int./Div.	J	T					
304. Apple Inc corporate bond	A	Interest	J	T					
305. Verizon Communications corporate bond	A	Interest	J	T					
306. -FNX	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. -FEZ	A	Int./Div.	J	T	Buy (add'l)	01/29/16	J		
308. -VGK	A	Int./Div.	J	T	Buy (add'l)	01/09/16	J		
309.					Buy (add'l)	01/29/16	J		
310. -VPL	A	Int./Div.	K	T	Sold (part)	01/29/16	J	A	
311. Walt Disney corporate bond	A	Interest			Matured	08/16/16	J	A	
312. 3M Company corporate bond	A	Interest			Matured	09/29/16	J	A	
313. Proctor & Gamble Company corporate bond	A	Interest			Matured	11/04/16	J	A	
314. Occidental Petroleum Corp corporate bond	A	Interest			Sold	05/05/16	J	A	
315. Caterpillar Financial corporate bond	A	Interest	J	T	Buy (add'l)	08/24/16	J		
316. Home Depot Inc. Corporate Bond	A	Interest	J	T					
317. US Bancorp Corporate Bond	A	Interest	J	T					
318. Microsoft Corp Corporate Bond	A	Interest	J	T					
319. Wal-Mart Stores Inc. Corporate Bond	A	Interest	J	T					
320. Toyota Motor Credit Corp Corporate Bon	A	Interest	J	T					
321. DMB CMNTY BK DEFOREST WIS CD	A	Interest			Sold	04/29/16	J	A	
322. - Davis - amounts due on maintenance of lake house property	A	Interest			Closed	09/30/16	J		
323. -TXRAX	A	Int./Div.	J	T	Buy	11/18/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. -BB&T Corporation bond	A	Interest	J	T	Buy	08/24/16	J		
325. -American Express Corporate Bond	A	Interest	J	T	Buy	09/07/16	J		
326. -Bank of NY Mellon Corporate Bond	A	Interest	J	T	Buy	06/16/16	J		
327. -VZ (X)	A	Dividend	J	T					
328. -RSP	A	Int./Div.	J	T	Buy	01/29/16	J		
329. Trust #3					Closed	12/31/16			
330. -Morgan Stanley cash	A	Interest			Distributed (part)	01/04/16	L		
331.					Distributed (part)	01/11/16	K		
332.					Distributed (part)	02/15/16	J		
333.					Distributed (part)	04/26/16	J		
334.					Distributed (part)	07/22/16	J		
335.					Distributed (part)	08/25/16	K		
336.					Distributed (part)	09/23/16	L		
337.					Distributed (part)	09/25/16	L		
338.					Distributed (part)	10/07/16	J		
339.					Distributed (part)	11/12/16	J		
340.					Distributed (part)	12/20/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$50,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Distributed (part)	12/28/16	J		
342.					Closed	12/31/16	J		
343. Trust #4									
344. -Morgan Stanley cash	A	Interest	J	T					
345. -EMN	A	Dividend	J	T					
346. -FE	A	Dividend	J	T					
347. -PEP	A	Dividend	J	T					
348. -YUM	A	Dividend	J	T					
349. -Everflow Eastern Partners, LP	A	Distribution	L	W					
350. -residential property Pittsford, NY, Monroe County		None			Sold	03/01/16	N	A	
351. -residential property Delray Beach, FL, Palm Beach County		None	N	W					
352. -Daisy Davis note	A	Interest	K	T					
353. Canadaigua National Bank	A	Interest	J	T					
354. Morgan Stanley cash	A	Interest	J	T					
355. Brokerage Account #4									
356. -JPM	A	Dividend	J	T	Open	12/30/16	J		
357. -T	A	Dividend	J	T	Open	12/30/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. -CAT	A	Dividend	J	T	Open	12/30/16	J		
359. -CSCO	A	Dividend	J	T	Open	12/30/16	J		
360. -KO	A	Dividend	J	T	Open	12/30/16	J		
361. -XOM	A	Dividend	J	T	Open	12/30/16	J		
362. -INTC	A	Dividend	J	T	Open	12/30/16	J		
363. -JNJ	A	Dividend	J	T	Open	12/30/16	J		
364. -WFC	A	Dividend	J	T	Open	12/30/16	J		
365. -PG	A	Dividend	J	T	Open	12/30/16	J		
366. -MCD	A	Dividend	J	T	Open	12/30/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART I: I serve as a Co-trustee on two trusts (Trust #1 and Trust #2) with my two brothers. The trusts were established as part of my late father's estate and are for the benefit of my mother. We review the status of the trusts annually to assure they meet my mother's financial needs. My brothers and I have waived our right to receive our lawful commission from the trusts. The balance of these trusts will now go to the seven children equally, including myself, as a result of her passing in July 2015.

I served as a Co-trustee on Trust #3 with one of my brothers. My position as co-trustee occurred upon my mother's passing in July 2015. The assets of the trust will be collected and distributed to her seven children equally, including myself. My brother and I have waived our right to receive our lawful commission from the trust. This trust terminated during 2016.

I serve as Co-trustee on Trust #4 with one of my brothers. My position as co-trustee occurred upon my mother's passing in July 2015 when the trust became irrevocable. The assets of the trust will be monitored and disposed of by the trustees and the balance will be distributed equally to her seven children, including myself. My brother and I have waived our right to receive our lawful commission from the trust.

I serve as Co-executor of my late mother's estate with one of my brothers. We are in the process of gathering information and data for the estate and a full accounting of its assets and liabilities is not available at this time. My brother and I have waived our right to any fees we may be entitled to from the estate.

PART VII:

Line 118 indicates the aggregate total for Trust #1. All of the information listed in lines 119 through 274 are the holdings for this trust.

The Sector Rotation Account at Line 151 is an account that buys stocks in Sector Rotation style for Trust #1. All stocks within the Trust have been disclosed in Part VII.

Line 275 indicates the aggregate total for Trust #2. All of the information listed in lines 276 through 328 are the holdings for this trust.

Line 329 indicates the aggregate total for Trust #3. All of the information listed in line 330 through 342 are the holdings for this trust.

Line 343 indicates the aggregate total for Trust #4. All of the information listed in lines 344 through 352 are the holdings for this trust.

Line 353 and 354 are assets of the estate.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Diane Davis**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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