

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Robinson, James J.	2. Court or Organization U.S. Bankruptcy Ct. N. D. AL	3. Date of Report 06/13/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. Courthouse - Room 117 1129 Noble Street Anniston, AL 36201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2006	Burr & Forman LLP retired partner annuity (former law firm)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Burr & Forman LLC - retired partner's annuity	\$27,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Southern Company-retirement/pension
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Ala. State Bar - Commercial Law Section	06/01/2017 - 06/03/2017	Sandestin, FL	Speaker at CLE seminar	seminar tuition, lodging, meals and mileage
2. U. Ala. School of Law	11/16/2017 - 11/17/2017	Birmingham, AL	Speaker at CLE seminar	seminar tuition, lodging, meals and mileage
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank USA, N.A.	Credit Card (VISA)	J
2.	Merrill Lynch (Bank of America-FIA Card Services NA)	Credit Card (VISA)	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 317 shares common stock Southern Co.	A	Dividend	K	T	Buy (add'l)	2017	J		14 shr add'l div reinvest
2. WinSouth CU (deposit account)	A	Interest	J	T					
3. Wells Fargo deposit accounts	A	Interest	K	T					
4. Protective Life policies (2)-both whole life	A	Int./Div.	J	T					
5. Mass Mutual Life- American Century Value mutual fund		None	L	T					
6. Mass Mutual Life - guaranteed principal account	A	Interest	J	T					
7. 1875shares American Capital World Growth Income Fund	B	Dividend	L	T	Buy (add'l)	2017	J		121 shr add'l div reinvest
8. 2559 shares American Growth Fund Class A	A	Dividend	M	T	Buy (add'l)	2017	J		168 shr add'l div reinvest
9. BIF Tax Exempt Money Fund		None			Closed	02/02/17	J		
10. 1054 Shares Common Stock Proassurance Corp.	B	Dividend	L	T					
11. 7750 Shares American New Perspective Class A Mut. Fund	B	Dividend	N	T	Buy (add'l)	2017	J		405 shr add'l div reinvest
12. 171 Shrs I Shares Russell 2000 Sm Cap Index Class A f/k/a Blackrock	A	Dividend	J	T					See part VIII
13. Bk of Am RSA money fund (JJR-IRA)	A	Dividend	M	T					
14. 4823 Shares American Capital World Income Class A Mut. Fund	C	Dividend	M	T	Buy (add'l)	2017	J		312 shr add'l div reinvest
15. 4481 American Capital Income Builder Class A Mut. Fund	D	Dividend	N	T	Buy (add'l)	2017	J		207 shr add'l div reinvest
16. 11,380 American Growth Fund of America Class A Mut. Fund	C	Dividend	O	T	Buy (add'l)	2017	K		749 shr add'l div reinvest
17. 1919 Shares American Fundamental Investors Fund	B	Dividend	M	T	Buy (add'l)	2017	J		142 shr add'l div reinvest

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	2383 Shares American New Perspective Fund	A	Dividend	M	T	Buy (add'l)	2017	J		131 shr add'l div reinvest
19.	923 Shares American Capital World Growth Income Fund Class A	A	Dividend	K	T	Buy (add'l)	2017	J		60 shr add'l div reinvest
20.	1300 Shares American Growth Fund of America Class A	A	Dividend	L	T	Buy (add'l)	2017	J		86 shr add'l div reinvest
21.	145 shares MetLife, Inc.	A	Dividend	J	T					
22.	670 shares National Grid PLC SP ADR					Merged (with line 44)	05/22/17			See Part VIII
23.	2390 shares Pfizer common	C	Dividend	L	T					
24.	1075 shares Waste Management common	B	Dividend	L	T					
25.	9204 shares American Income Fund of America	D	Dividend	M	T	Buy (add'l)	2017	J		449 shr add'l div reinvest
26.	725 shares Centurylink common	B	Dividend	K	T					
27.	450 shares Chevron Corp common	B	Dividend	J	T					
28.	1220 shares Coca Cola common	B	Dividend	L	T					
29.	760 shares Genuine Parts common	B	Dividend	L	T					
30.	615 shares Kimberly Clark common	B	Dividend	L	T					
31.	505 shares McDonalds Corp common	B	Dividend	L	T					
32.	1555 shares Microsoft Corp common	C	Dividend	M	T					
33.	683 shares Duke Energy common	B	Dividend	L	T					
34.	1490 shares Mondelez Int'l common	B	Dividend	L	T	Buy (add'l)	2017	J		27shr add'l div reinvest

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. 175 shares Apple, Inc. common	A	Dividend	K	T					
36. 3054 shares American Amcap Fund Class A	A	Dividend	L	T	Buy (add'l)	2017	J		160 shr add'l div reinvest
37. 480 shares Proctor & Gamble	B	Dividend	K	T					
38. 775 shares Whole Foods					Sold	08/28/17	K		
39. 155 shares Nextera Energy Inc./	A	Dividend	K	T					
40. 1492 shares American Mutual Fund Class A	B	Dividend	L	T	Buy (add'l)	09/27/17	J		88 shr add'l div reinvest
41. Bk of Am RSA money fund (SGR-IRA)	A	Dividend	J	T					
42. American Legacy III variable annuity- American Mutual Fund		None	L	T					
43. /American Legacy III variable annuity- Growth Fund of America		None	L	T					
44. 614 shares National Grid PLC SHS	C	Dividend	K	T					see Part VIII
45. 670 shares AT&T	B	Dividend	K	T					see Part VIII

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII: The notation "___ shr add'l div reinvest" appearing several times in column (5) of Part D indicates that the particular mutual fund or stock paid one or more dividends during the year that were reinvested to purchase the number of additional shares stated. Dividends were declared from time to time during 2016, often more than once during the year for any particular investment, so I did not list the exact date for each dividend and reinvestment.

Part VII - Line 12. This investment was reported in Part VII, Line 14 of my 2016 Report as 171 shares Blackrock Small Cap Index Fund Class A; the name changed to 1-Shares Russell 2000 Small Cap Index Class A.

Part VII - Lines 22 and 44. My investment in 670 shares of National Grid PLC SP ADR was transformed via merger or similar transaction into 614 shares in National Grid PLC SHS.

Part VII-Line 45. These 670 shares of AT&T were, through an oversight, not disclosed in my 2016 Report. For these shares, my 2016 Report should have disclosed a buy date of 02/26/16 with a K value code in column D(3), and dividend code of A in column B(1), and a K valuation code based on market in column C(1). Please treat this tardy disclosure as an amendment to my 2016 Report. These shares were sold in June 2018 and that transaction will be reported in my 2018 Report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James J. Robinson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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