

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Almond, Lincoln D.	2. Court or Organization United States District Court	3. Date of Report 5/4/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time United States Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States District Court District of Rhode Island Two Exchange Terrace, Room 208 Providence, RI 02903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Resilient Kids -- Wages

2. 2016

Greater Providence YMCA -- Wages

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Fedloan Servicing	Student Loan 1, Dependant Age 22	J
2.	Fedloan Servicing	Student Loan 2, Dependant Age 22	J
3.			
4.			
5.			

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Almond, Lincoln D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Washington Trust Accts	A	Interest	J	T					
2. Commonwealth Financial Network -- IRA									
3. -- AQR Large Cap Momentum Fund Style I	B	Dividend	K	T	Sold (part)	10/21/16	J	A	
4. -- ASG Global Alternatives Fund Y		None			Sold	04/14/16	J		
5.					Sold	10/21/16	J		
6. -- DFA Emerging Mkts Small Cap	A	Dividend	J	T					
7. -- DFA Emerging Mkts Core Equity	A	Dividend	K	T					
8. -- DFA Internat'l Core Equity	B	Dividend	L	T	Sold (part)	01/11/16	K		
9. -- DFA US Large Cap Value PRTF	B	Dividend	K	T	Sold (part)	01/11/16	J	A	
10.					Sold (part)	01/12/16	J		
11.					Sold (part)	10/21/16	J	A	
12. -- DFA US Small Cap Portfolio Instl	B	Dividend	J	T	Sold (part)	01/11/16	J		
13.					Sold (part)	10/21/16	J	A	
14. -- Doubleline Total Bond Fund	B	Dividend	J	T					
15. --Loomis Sayles Bond Instl	A	Dividend			Sold	06/13/16	J		
16.					Sold	10/21/16	K		
17. -- DFA US Core Equity II	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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Name of Person Reporting

Almond, Lincoln D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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18. -- DFA Global Real Estate	B	Dividend	K	T					
19. --Wisdomtree Tr Europe Hedged Eq	A	Dividend			Sold	07/27/16	K		
20. -- AQR Equity Market Neutral Fd Cl 1	A	Dividend	K	T	Buy	06/13/16	K		
21. -- JP Morgan Chase Bk CD Zero Cpn			L	T	Buy	10/31/16	L		
22. Commonwealth Financial Network IRA #2									
23. -- AQR Internat'l Momentum Fund	A	Dividend	J	T					
24. -- AQR Large Cap Momentum Fund Styles	A	Dividend	J	T					
25. --AQR Small Cap Momentum Fund	A	Dividend	J	T					
26. -- ASG Global Alternatives Fund Y		None			Sold	10/21/16	J		
27. -- DFA Emerging Mkts Core Equ Portfolio	A	Dividend	J	T					
28. --DFA Internat'l Core Equ	A	Dividend	J	T					
29. -- DFA US Large Cap Value Portfolio Instl	A	Dividend	J	T	Sold (part)	10/21/16	J	B	
30. -- DFA US Small Cap Portfolio Inst	A	Dividend	J	T					
31. -- Doubleline Total Return Bond Fund	A	Dividend	J	T					
32. -- Loomis Sayles Bond Inst	A	Dividend			Sold	10/21/16	J		
33. -- DFA Global Real Estate Sec Portfolio	A	Dividend	J	T					
34. --JP Morgan Chase Bk CD Zero Cpn		None	J	T	Buy	10/31/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Commonwealth Financial Network Joint Acct									
36. -- Russell Inv. Co Global Real Estate Sec Fund - Class S		None			Sold	01/25/16	J		
37. -- AQR Tax Managed Internat'l Momentum	A	Dividend			Sold	12/30/16	J		
38. -- AQR Tax Managed Large Capital Momentum	A	Dividend	J	T					
39. -- AQR Tax Managed Small Cap Momentum	A	Dividend	J	T					
40. -- ASG Global Alternatives Fund Y		None			Sold	06/13/16	J		
41.					Sold	12/30/16	J		
42. -- DFA Internat'l Core Equity	A	Dividend	J	T					
43. -- DFA TA US Core Equity 2 Portfolio	A	Dividend	K	T					
44. -- DFA Emerging Markets Core Equity Portfolio	A	Dividend	J	T					
45. -- DFA Emerging Mkts Small Cap Portfolio	A	Dividend	J	T					
46. -- DFA Internat'l Small Company Portfolio	A	Dividend	J	T					
47. -- Doubleline Total Return Bond Fund Class	A	Dividend	J	T					
48. -- Loomis Sayles Bond Fund Inst. Class		None			Sold	01/25/16	J		
49. -- AQR Equity Market Neutral Fd Cl 1	A	Dividend	J	T	Buy	06/13/16	J		
50. -- DFA Global Real Estate Sec Portfolio	A	Dividend	J	T	Buy	01/26/16	J		
51. Commonwealth Financial Network - C									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. --Russell Lifepoints Eqty Growth Strat. C	A	Dividend	J	T	Sold (part)	04/26/16	J		
53. Commonwealth Financial Network - K (X)									
54. -- Russell Lifepoints Eqty Growth Strat. C (X)	A	Dividend	J	T					
55. Citizens Bank Account	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Lincoln D. Almond**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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