

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mashburn, Randal S.	2. Court or Organization Bankruptcy Court - Middle District - Tennessee	3. Date of Report 05/11/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge (Full-Time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 220 Customs House 701 Broadway Nashville, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	10/07/17 to 10/12/17	Las Vegas, NV	Attendance at meetings/ seminars at annual conference of bankruptcy judges.	Transportation and portion of lodging.
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANK ACCOUNTS (H) -- Lines 2-10									
2. -Bank of America (Accounts/CDs)	A	Interest	L	T					
3. -Synovus Bank (formerly Bank of Nashville) (Accounts/CDs)	A	Interest	L	T					
4. -Civic Bank & Trust (Accounts/CDs)	C	Interest	N	T					
5. -Community First Bank & Trust (Accounts/ CDs)	B	Interest	M	T					
6. -Pinnacle National Bank (Accounts/CDs)	A	Interest	L	T					
7. Fifth Third Bank	B	Interest	M	T					
8. -Insbank (Accounts/CDs)	D	Interest	N	T					
9. -Legends Bank (Accounts/CDs)	B	Interest	M	T					
10. -First Citizens National Bank (Account)	A	Interest	J	T					
11. BROKERAGE ACCT (H) -- Items 12 to 54 below									
12. -America Movil S.A.B. De C.V. (Common Stock)	A	Dividend	J	T					
13. -Anheuser Busch InBev Spon ADR (Common Stock)	A	Dividend	J	T					
14. -Apple Inc. (Common Stock)	A	Dividend	K	T					
15. -Bristol Myers Squibb Co. (Common Stock)	A	Dividend	J	T					
16. -EOG Resources Inc. (Common Stock)	A	Dividend	K	T					
17. -EQT Corp (Common Stock)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18. -Express Scripts Holding Co. (Common Stock)		None	J	T					
19. -Exxon Mobil Corp. (Common Stock)	A	Dividend	K	T					
20. -Fortinet Inc. (Common Stock)		None	J	T					
21. -Genuine Parts Co. (Common Stock)	A	Dividend	J	T					
22. -Home Depot Inc. (Common Stock)	A	Dividend	J	T					
23. -Merck & Co. Inc. New Com (Common Stock)	A	Dividend	J	T					
24. -Microsoft Corp. (Common Stock)	A	Dividend	K	T					
25. -Pepsico Inc. (Common Stock)	A	Dividend	K	T					
26. -Pfizer Inc. (Common Stock)	A	Dividend	J	T					
27. -Walmart de Mexico S.A.B. de CV (Common Stock)	A	Dividend	J	T					
28. -Walgreen Co. (Common Stock)	A	Dividend	J	T					
29. -Whole Foods (Common Stock)	A	Dividend			Sold	08/29/17	J	A	
30. -Alger Spectra Fund Class C (Mutual Fund)	B	Dividend	L	T					
31. -American Funds Euro Pacific Growth Fund (Mutual Fund)	A	Dividend	L	T					
32. -FT-Franklin Federal Fund (Mutual Fund)	B	Dividend	L	T					
33. -John Hancock Preferred Income Fund	A	Dividend	K	T	Buy	09/26/17	K		
34. -Nuveen PFD & income Sec. Fund	A	Dividend	K	T	Buy	09/26/17	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35. -Vertus Global Multi Sector (Common Stock)	B	Dividend	J	T					
36. -Metro Govt. Nashville/Davidson Bonds #1 (Govt. Bonds)	B	Interest	L	T					
37. -Metro Govt. Nashville/Davidson Bonds #2 (Govt. Bonds)	B	Interest	K	T					
38. -Blount County Tenn. Public Bonds (Govt. Bonds)	B	Interest	L	T					
39. -State of Texas Bonds #1 (Govt. Bonds)	B	Interest	L	T					
40. -Hamilton County Tenn. Bonds (Govt. Bonds)	A	Interest	K	T					
41. -Texas State Trans/Comm Bonds (Govt. Bonds)	B	Interest							
42. -State of Texas Bonds #2 (Govt. Bonds)	B	Interest	K	T					
43. -Metro Govt. Nashville/Davidson Bonds #3 (Govt. Bonds)	B	Interest	L	T					
44. -New York Municipal Water Fin. Auth. (Govt. Bonds)	B	Interest	K	T					
45. -Tennessee State Bonds SR (Govt. Bonds)	A	Interest			Redeemed	05/01/17	K		
46. -Tennessee State School Bonds #1 (Govt. Bonds)	B	Interest			Redeemed	10/23/17	K		
47. -Tennessee State School Bonds #2 (Govt. Bonds)	B	Interest	K	T					
48. -Texas A&M Univ. (Govt. Bonds)	B	Interest	K	T					
49. -Memphis Airport Bonds (Govt. Bonds)	B	Interest	K	T					
50. -Metro Govt. Nashville/Davidson Bonds #4 (Govt. Bonds)	A	Interest	K	T	Buy	12/27/17	K		
51. Loudon County Tenn. Bonds (Govt. Bonds)	A	Interest	K	T	Buy	12/19/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. Franklin Tenn. Spl Sch District Bonds (Govt. Bonds)	A	Interest	K	T	Buy	03/20/17	K		
53. -Southern Company Bonds	A	Interest	K	T					
54. -UBS Money Market Account	A	Interest	J	T					
55. ROTH IRA (H) #1 -- Lines 56-68									
56. - Nationwide Dest. All Am Gold Annuity (Variable) (%s on lines 57-58)		None	M	T					
57. -- NW AMFDS NVIT AsstAlloc. II -- 51.8%									
58. -- NW NVIT Inv. Dest. Mod II - 48.2%									
59. - Ohio National Oncore Value Annuity (Variable) (%s on lines 60-67)		None	L	T					
60. -- Ohio National Bond Fund - 15%									
61. -- Ohio Small Cap GRW Fund - 5%									
62. -- Janus Henderson Overseas VIT - 5%									
63. -- JPMorgan Mid Cap Value Fund - 5%									
64. -- PIMCO Total Return Fund - 15%									
65. -- Franklin Founding Funds Allocation Fund - 25%									
66. -- Ivy Science & Technology Fund - 5%									
67. -- Janus Henderson Low Volatility VIT - 25%									
68. - First Eagle Global Fund (Mutual Fund)	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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69. ROTH IRA (H) #2 -- Lines 70-86									
70. - Genworth RetireeReady Annuity (Variable) (%s shown lines 71-72)		None	M	T					
71. -- Blk Global Allocation V.I -- 49.9%									
72. -- SSG Total Return - Class 3 - 50.1%									
73. - Nationwide Dest. All Am Gold Annuity (Variable) (%s on lines 74-75)		None	M	T					
74. -- NW AMFDS NVIT AsstAlloc. II -- 51.8%									
75. -- NW NVIT Inv. Dest. Mod II - 48.2%									
76. - Ohio National Oncore Value Annuity (Variable) (%s on lines 77-84)		None	M	T					
77. -- Ohio National Bond Fund - 15%									
78. -- Ohio Small Cap GRW Fund - 5%									
79. -- Janus Henderson Overseas VIT - 5%									
80. -- JPMorgan Mid Cap Value Fund - 5%									
81. -- PIMCO Total Return Fund - 15%									
82. -- Franklin Founding Funds Allocation Fund - 25%									
83. -- Ivy Science & Technology Fund - 5%									
84. -- Janus Henderson Low Volatility VIT - 25%									
85. - First Eagle Global Fund (Mutual Fund)	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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86. - American Funds New Perspective (Mutual Fund)	A	Dividend	J	T					
87. 529 COLLEGE FUND #1(H) -- Lines 88-91									
88. - Growth Fund of America	A	Dividend	K	T					
89. - Bond Fund of America	A	Dividend	J	T					
90. - American Balanced Fund	A	Dividend	K	T					
91. - Capital Income Builder Fund	A	Dividend	J	T					
92. 529 COLLEGE FUND #2 (H) -- Lines 93-100									
93. - Growth Fund of America	A	Dividend	J	T	Sold (part)	08/09/17	J	B	
94.					Sold (part)	12/11/17	J	A	
95. - Bond Fund of America	A	Dividend	J	T	Sold (part)	08/09/17	J	B	
96.					Sold (part)	12/11/17	J	A	
97. - Capital Income Builder Fund	A	Dividend	J	T	Sold (part)	08/09/17	J	B	
98.					Sold (part)	12/11/17	J	B	
99. -Intermediate Bond Fund of America	A	Dividend	J	T	Sold (part)	08/09/17	J	B	
100.					Sold (part)	12/11/17	J	C	
101. NORTHWESTERN BROKERAGE ACCT (H) Lines 102-107									
102. -Northwestern Mutual Single Premium Whole Life Insurance Policy	A	Dividend	M	T					

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103. -Northwestern Mutual General Money Market Fund CI B	A	Int./Div.	J	T					
104. -EuroPacific Growth Fund	A	Dividend	J	T					
105. -Tax-Exempt Bond Fund of America	A	Dividend	J	T					
106. -Localshares Nashville Area ETF	A	Dividend	J	T					
107. -Vanguard Index Funds	A	Dividend	K	T					
108. IRA #1 -- UBS (prior 401k) (H) -- Lines 109-125									
109. - Pace Money Market Investment Fund	A	Dividend	J	T					
110. - Alger Spectra Fund Class A	C	Dividend	K	T	Sold (part)	09/25/17	K	D	
111. -Delaware Value Fund	B	Dividend	L	T	Sold (part)	09/25/17	J	B	
112. - First Eagle Overseas Fund Class A	B	Dividend	L	T					
113. - Voya Global Real Estate Fund	A	Dividend			Sold	03/20/17	K	B	
114. - Loomis Sayles Bond Fund Class Retail	C	Dividend	L	T					
115. - Oppenheimer Intl Bond Fund Class A	B	Dividend	K	T					
116. - Principal Preferred Securities Fund	A	Dividend	J	T					
117. - Blackrock Global Allocation Fund Inc A	A	Dividend			Sold	03/20/17	L		
118. - Alliaanzgi Global Water Fund Class P	A	Dividend	K	T					
119. - Diamond Hill Small Mid Cap Fund Class I	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - RMB Mendon Fin. Serv. Fund Class A	C	Dividend	K	T					
121. - Double Line Total Return Fund Instl	B	Dividend	L	T					
122. - Gabelli Gold Fund	A	Dividend	J	T	Buy	03/20/17	J		
123. - Loomis Sayles Global Allocation Fund	B	Dividend	L	T	Buy	03/20/17	L		
124. - T CW Emerging Market Income Fund	A	Dividend	K	T	Buy	10/02/17	K		
125. - Victory Trivalent International Small Cap Fund	A	Dividend	K	T	Buy	09/25/17	K		
126. IRA #2 -- UBS (prior 401k) (H) -- Lines 127-138									
127. - UBS Bank USA Deposit Account	A	Interest	J	T					
128. - Berkshire Hathaway Inc New CL B (Common Stock)		None	M	T					
129. - ConocoPhillips B/E Bonds	A	Interest	K	T					
130. - United Parcel Service DPB Inc B/E Bonds	B	Interest	K	T					
131. - Duke Energy Carolina Bonds	B	Interest	K	T					
132. - Coca Cola Co. Com NTS B/E Bonds	A	Interest	K	T					
133. - Anheuser-Busch Inbev WDR Bonds	A	Interest	K	T					
134. - Verizon Communications Bonds	B	Interest	K	T					
135. - Virtus Multi-Sector Short Term Bond Fund - Class C	C	Dividend	M	T					
136. - CVS Health Corp.	A	Interest	K	T	Buy	09/26/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Eaton Vance Senior Income Trust	A	Dividend	J	T	Buy	03/20/17	J		
138. - Kroger Company		None	K	T	Buy	09/29/17	K		
139. OTHER MISC. INVESTMENTS (H) Lines 140-141									
140. -Maury County TN Farm	D	Rent	O	W					
141. -Center Hill Lake TN Undeveloped Real Estate		None	M	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1: Regarding lines 56 thru 67 and lines 70 thru 84: As requested by the Committee in a prior year, information is provided on these lines regarding the investment components of the annuities in the Roth IRA accounts. Filer is reporting this information in the same format as was reported for 2016. The end-of-year reporting received by the filer reflects the gross values of each annuity -- not the individual amounts attributable to any particular investment (and the filer has no control over these investment decisions). The information available to filer shows only the amounts or percentages attributable to each investment vehicle in each annuity. Therefore the component investments and respective percentages have been reported on the lines immediately below each annuity to reflect the makeup of the investments in each annuity. Since the end-of-year information is limited to the gross values for each annuity as a whole, the lines under columns B and C have been left blank for the investment components of the annuity, while the lines next to the overall annuity have been filled in with the appropriate cumulative information about the respective annuity's value, etc. based on the year-end information provided to the filer. It should also be noted that the filer does not receive information about how individual investment vehicles in the annuities perform, and the ultimate value of the annuities is tied to contractual death benefits, lifetime annuity payments, surrender value and other factors rather than simply the performance of specific investment components. Since no dividends are made to filer, and since the information available to filer is primarily tied to the increase or decrease in overall value of the policies and the makeup of the investments, Column B-1 next to each annuity has been left blank, and Column B-2 has been marked None.

Note 2: Regarding lines 93 thru 100: Filer has listed dispositions of investments in a college 529, but it should be noted that these distributions were made directly to the beneficiary of the fund for college expenses, not to the filer.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Randal S. Mashburn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544