

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Elrod, Jennifer W.	2. Court or Organization Fifth Circuit Court of Appeals	3. Date of Report 06/16/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Court of Appeals, Active Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 515 Rusk Avenue, 12th Floor Houston, TX 77002-2603		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Regents Member	Baylor University
2. Board Member	Garland R. Walker American Inn of Court
3. Board Member	Federal Judges Association
4. Advisory Board Member	Baylor University Center for Christian Music Studies
5. Emeritus Board Member (non-voting)	Texas Center for Legal Ethics

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2017	Employee Retirement System of Texas (since 2002), no control - not vested
2. 2017	Texas County and District Retirement System (since 2002), no control - not vested
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	Talen Energy - salary
2. 2017	Haleyon Consulting - consulting fees through Icon Information Consultants
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Bar Association	01/27/2017	Dallas, TX	Speaking at Bench Bar Conference	Travel
2. Baylor University	02/15-17/2017	Waco, TX	Board Meeting	Travel, Lodging, and Meals
3. American Bar Association	03/02-03/2017	Tucson, AZ	Speaking CLE Seminar	Travel, Lodging, and Meals
4. BYU Law School	03/23-25/2017	Salt Lake City, UT	Board Meeting	Travel, Lodging, and Meals
5. The Federalist Society	04/06-09/2017	Boston, MA	Speaking to Students	Travel, Lodging, and Meals
6. University of Texas School of Law	04/13/2017	Austin, TX	Speaking to students	Travel and Meals

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7.	State Bar of Texas	04/27/2017	Austin, TX	Speaking at Annual Program	Travel and Meals
8.	Baylor University	05/10-13/2017	Waco, TX	Board Meeting	Travel, Lodging, and Meals
9.	American Law Institute	05/22-24/2017	Washington, DC	Annual Meeting	Travel, Lodging, and Meals
10.	State Bar of Texas	05/31-06/02/2017	San Antonio, TX	Speaking at Bankruptcy Bench Bar	Travel, Lodging, and Meals
11.	Baylor University	06/15-16/2017	Waco, TX	Board Meeting	Lodging and Meals
12.	The Federalist Society	06/20/2017	Dallas, TX	Speaking at Monthly Meeting Dallas Chapter	Travel and Meal
13.	Baylor University	07/18-21/2017	Waco, TX	Board Meeting	Lodging and Meals
14.	St. Mary's Law School	08/16/2017	San Antonio, TX	Speaking to students	Travel and Meal
15.	Baylor University	10/17-22/2017	Waco, TX	Board Meeting	Lodging and Meals
16.	The Federalist Society	11/15-19/2017	Washington, DC	Speaking at Meeting\	Travel, Lodging, and Meals
17.	Baylor University	12/01/2017	Dallas, TX	Board Meeting	Travel and Meal

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	Credit Card	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Alley Bank CD	A	Interest			Matured	6/19/17	K		
2. AF TRGT DATE 2030 (FIDELITY 401k)	A	Int./Div.	K	T	Buy	11/27/17	J		
3.					Buy (add'l)	12/08/17	J		
4.					Buy (add'l)	12/26/17	J		
5. CHARLES SCHWAB US REIT ETF	A	Dividend	J	T					
6. Fidelity GNMA Fund	A	Dividend	J	T					
7. Fidelity Inflat-Prot Bond	A	Dividend	K	T	Sold (part)	11/29/17	J	A	
8. Fidelity Total Bond	A	Dividend	K	T	Buy (add'l)	02/24/17	K		
9.					Sold (part)	11/29/17	J	A	
10. Fidelity Total Mkt Premium	C	Distribution	M	T	Buy (add'l)	02/09/17	K		
11.					Buy (add'l)	12/15/17	J		
12. Fidelity U.S. Bond Index Premium	A	Int./Div.	K	T					
13. Goldman Sachs Bk CD	A	Interest	K	T					
14. iShares Core S&P Total US Stock Market ETF		None			Sold	02/17/17	K	D	
15. iShares Core Total US Bond ETF	B	Dividend	L	T					
16. iShares Gold ETF		None	J	T					
17. iShares High Yield Corp Bond ETF	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. iShares Trust MSCI Total Intl Stk	D	Dividend	N	T					
19. Landus International Marketmasters Fund	B	Distribution	L	T	Sold (part)	01/03/17	J		
20. Perkins Mid Cap Value Fund		None			Sold	02/08/17	K	C	
21. Pimco Short Term Admin SHS	A	Dividend	J	T					
22. SCH US AGG Bond ETF	B	Dividend	L	T					
23. SCHWAB EMERGING MARKETS EQUITY ETF	A	Dividend	J	T					
24. SCHWAB FUNDA EMG MKTS LARGE COM ETF	A	Dividend	J	T					
25. SCHWAB FUNDAMENTAL INL LARGE COM ETF	A	Dividend	K	T					
26. SCHWAB FUNDAMENTAL INTL SMAL COM ETF	A	Dividend	J	T					
27. SCHWAB FUNDAMENTAL US LARGE CO ETF	A	Dividend	K	T					
28. SCHWAB FUNDAMENTAL US SMALL COM ETF	A	Dividend	K	T					
29. SCHWAB INTERNATIONAL EQUITY ETF	A	Dividend	K	T					
30. SCHWAB FUNDAMENTAL SMALLCAP EQY ETF	A	Dividend	J	T					
31. SCHWAB SMALL CAP INDEX FUND		None			Sold	06/06/17	L	E	
32. SCHWAB TOTAL STK MKT-SEL	D	Distribution	N	T	Sold (part)	01/03/17	J	C	
33.					Buy (add'l)	06/06/17	M		
34. SCHWAB US LARGE CAP ETF	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. SCHWAB US SMALL CAP ETF	A	Dividend	J	T					
36. Templeton Global Bond Class A	A	Int./Div.			Sold	02/08/17	J	A	
37. Van Eck Market Vec Localcur Bnd	B	Dividend	K	T					
38. Vanguard Gbl Ex US Real Estate	A	Dividend	J	T					
39. Vanguard Lifestrategy Growth	C	Distribution	M	T					
40. XTRACKERS USD HIGH YIELDCOR BND ETF		None	J	T	Buy	12/26/17	J		
41. Vanguard College Savings Iowa 529 Age - based Savings Track A	A	Dividend	K	T	Sold (part)	01/03/17	J	A	
42. Texas County and District Retirement System	A	Int./Div.	J	T					
43. Employee Retirement System of Texas	B	Interest	L	T					
44. Talen Midco LLC (non-vested)		None	J	U	Buy	12/14/17	J		Talen Energy Supply LLC

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

n/a

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jennifer W. Elrod**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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