

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) VRATIL, KATHRYN H.	2. Court or Organization DISTRICT OF KANSAS	3. Date of Report 04/23/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address UNITED STATES DISTRICT COURT 500 STATE AVENUE, SUITE 529 KANSAS CITY, KANSAS 66101-2435		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011

AT&T pension

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	National Education	Student Loans	K
2.	SLC Student Loan Trust	Student Loans	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Prudential Life Ins. Policies	A	Dividend	J	T					
2. Massachusetts Mutual Life Ins. Policy	C	Dividend	L	T					
3. GNMA PL	A	Interest	J	T	Matured (part)	01/15/11	J		
4.					Matured (part)	02/15/11	J		
5.					Matured (part)	03/15/11	J		
6.					Matured (part)	04/15/11	J		
7.					Matured (part)	05/15/11	J		
8.					Matured (part)	06/15/11	J		
9.					Matured (part)	07/15/11	J		
10.					Matured (part)	08/15/11	J		
11.					Matured (part)	09/15/11	J		
12.					Matured (part)	10/15/11	J		
13.					Matured (part)	11/15/11	J		
14.					Matured (part)	12/15/11	J		
15. Artio Intl Equity Fd		None			Sold (part)	04/25/11	J	A	
16.					Sold (part)	06/06/11	J	A	
17.					Sold (part)	06/17/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold	11/04/11	J		
19. Schwab International Index Fund		None			Sold	04/05/11	J	B	
20. Orthologic Corp. (IRA)		None	J	T					
21. California Amplifier (IRA)		None	J	T					
22. Schwab Muni Money Fund		None	J	T					
23. iShares MSCI Emrg Mkt Fd Emerging Markets Indx (IRA)	B	Dividend	J	T	Buy	01/06/11	J		
24.					Buy	02/14/11	J		
25.					Buy	06/29/11	J		
26.					Buy	12/30/11	J		
27. Schwab Cash Reserves	A	Dividend	J	T					
28. Schwab Cash Reserves (IRA)		None	J	T					
29. Schwab 1 Cash Acct		None	J	T					
30. Schwab Cash Reserves	A	Dividend	J	T					
31. Vanguard Total Stock Mkt Stk Mkt Vipers	A	Dividend	J	T	Buy	03/31/11	J		
32.					Buy	06/30/11	J		
33.					Buy	09/30/11	J		
34.					Buy	12/19/11	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Schwab Cash Reserves Sweep Shares (Trust #1)	A	Dividend	K	T					
36. Schwab Yield Plus Inv Shares	A	Dividend			Buy	01/31/11	J		
37.					Buy	02/28/11	J		
38.					Buy	03/31/11	J		
39.					Buy	04/29/11	J		
40.					Buy	05/31/11	J		
41.					Buy	06/30/11	J		
42.					Buy	07/29/11	J		
43.					Sold	08/23/11	J		
44. PIMCO Total Return Fund	B	Dividend	K	T	Buy	01/31/11	J		
45.					Buy	02/28/11	J		
46.					Buy	03/31/11	J		
47.					Buy	04/29/11	J		
48.					Buy	05/31/11	J		
49.					Buy	06/30/11	J		
50.					Buy	07/29/11	J		
51.					Sold (part)	08/26/11	J	A	

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52.					Buy	08/03/11	J		
53.					Buy	09/30/11	J		
54.					Buy	10/31/11	J		
55.					Buy	11/30/11	J		
56.					Sold (part)	12/06/11	J	A	
57.					Sold (part)	12/20/11	J	A	
58.					Buy	12/28/11	J		
59.					Buy	12/30/11	J		
60. iShares TR Lehman Bd Fd	A	Dividend			Sold	02/28/11	J	A	
61. Technology Select Sector SPDS a/k/a Sector SPDR Tech Select	A	Dividend	K	T					
62. Vanguard Total Stock Mkt	B	Dividend	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **KATHRYN H. VRATIL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544