

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SCHERMER, BARRY S.	2. Court or Organization US BANKRUPTCY COURT ED MO	3. Date of Report 05/11/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 111 South Tenth Street Fifth Floor North St. Louis, MO 63102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

SCHERMER, BARRY S.

Date of Report

05/11/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. May 2016	Washington University School of Law: compensation for teaching	\$1,500.00
2. Dec 2016	Washington University School of Law: compensation for teaching	\$10,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Association of Bankruptcy Trustee	September 7-10, 2016	San Diego, CA	Educational Program	Transportation, housing, meals
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

SCHERMER, BARRY S.

Date of Report

05/11/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

SCHERMER, BARRY S.

Date of Report

05/11/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Southern Company Common	B	Div	K	T					
2. Bank of America	A	Int	J	T					
3. Reinsurance Group, Inc.	A	Div	K	T					
4. Northern European Royalty Trust	C	Dividend	L	T					
5. VanGuard Prime Money Market Fund	A	Dividend			Sold	8/16/16	M	A	market
6. Cardinal Financial	A	Dividend	J	T					
7. Vanguard Federal Money Market Fund	A	Dividend	M	T	Buy	08/16/16	M		market
8. Capital One 360	B	Interest	M	T					
9. Synchrony Bank	A	Interest	M	T					
10. Vanguard High Yield Tax Exempt Bond	A	Interest	K	T					
11. Vanguard Inter-Term Tax Exempt Bond	A	Interest	K	T					
12. Vanguard Prime Cap Core Fund	A	Interest	J	T					
13. Bristol Meyers Squibb Common	A	Dividend	J	T					
14. Coca Cola Company	A	Dividend	J	T					
15. Kinder Morgan Mgmt. Common	A	Dividend	J	T					
16. Proctor & Gamble	A	Dividend	J	T					
17. Plum Creek Timber Company Common	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

SCHERMER, BARRY S.

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05/11/2017

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. GE Cap Corp. Note	A	Interest			Sold	08/16/16	K	A	market
19. Vanguard Prime Money Mkt. Fund	A	Dividend			Sold	08/16/16	M	A	market
20. Bank of America Ch Acct.	A	Interest	J	T					
21. Berkshire Hathaway		None	M	T					
22. Central Vermont Public Service	A	Dividend			Sold	06/09/16	J	A	market
23. Vanguard Federal Money Market Fund	A	Dividend	M	T	Buy	08/16/16	M		market
24. General Electric Co.	A	Dividend	J	T					
25. Honeywell Intl	A	Dividend	J	T					
26. Howard Hughes Corp.	A	Dividend	J	T					
27. Loews Corp.	A	Dividend	K	T					
28. MicroSoft Corp.	A	Dividend	J	T					
29. Nextera Energy, Inc.	A	Dividend			Sold	06/09/16	J	A	market
30. Proctor & Gamble Co.	A	Dividend	J	T					
31. Vanguard Prime Money Market Fund	A	Dividend	M	T					
32. Vanguard High Yield Tax Exempt Fund	A	Dividend	M	T					
33. Apple Inc.	B	Dividend	L	T					
34. Alibaba Group	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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35. Master Card, Inc.	A	Dividend	K	T					
36. Panera Bread	A	Dividend	K	T					
37. Whole Foods Market, Inc.	A	Dividend	K	T					
38. Express Scripts	A	Dividend	K	T					
39. Underarmour	A	Dividend			Sold	11/29/16	K		market
40. Carnival Cruise Lines	A	Dividend	K	T					
41. U-Haul	A	Dividend	K	T					
42. Monsanto	A	Dividend	K	T	Buy	06/09/16	K		market
43.									
44.									
45.									
46.									
47.									
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49.									
50.									
51.									

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

SCHERMER, BARRY S.

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53.									
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61.									
62.									
63.									
64.									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

SCHERMER, BARRY S.

Date of Report

05/11/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

SCHERMER, BARRY S.

Date of Report

05/11/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ BARRY S. SCHERMER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544