

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lungstrum, John W.	2. Court or Organization USDC, District of Kansas	3. Date of Report 04/27/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Senior Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 517 U.S. Courthouse 500 State Avenue Kansas City, KS 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director and officer	Westward Ho, Inc. (corporation which owns residential property for personal use)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Bank (money market account)	A	Dividend	L	T					
2. College Station TX School District (Bond)	B	Interest	K	T					
3. Johnson Cty, KS Water Dist (Bond)	B	Interest			Redeemed	12/01/17	K	A	
4. Eaton Vance Tax MGD Growth Fund (Mutual Fund)	A	Dividend	L	T	Sold (part)	04/27/17	J	A	
5. EV Floating Rate A (Mutual Fund)	B	Interest	K	T	Sold (part)	04/27/17	J	A	
6. Clearbridge Energy (Mutual Fund)	B	Dividend			Sold	05/11/17	K	A	
7. EV Kansas Municipals (Mutual fund)	B	Interest	K	T					
8. Blackrock Res & Comm Strat TR (Mutual Fund)	A	Dividend			Sold	04/27/17	K	A	
9. Gabelli GLB SML & MD CP Val GBL	A	Dividend			Sold	04/27/17	J	A	
10. Tortoise MLP Fund Inc. (Mutual Fund)	B	Dividend	K	T					
11. Gainesville FLA Utilities SYS (Bond)	B	Interest			Redeemed	10/02/17	K	A	
12. Louisiana St. Energy & PWR Auth (Bond)	B	Interest	K	T					
13. IRA									
14. -AQR Managed Futures (Mutual Fund)	A	Dividend	K	T	Buy	12/19/17	J		
15. -AQR Market Neutral Inst (Mutual Fund)	A	Dividend	J	T	Buy	05/25/17	J		
16. -AQR Style Premia Alternative (Mutual Fund)	B	Dividend	J	T	Buy	09/01/17	J		
17. -Artisan Midcap Value (Mutual Fund)	A	Dividend			Sold	06/30/17	K	A	

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	F ~\$50,001 - \$100,000 J ~\$15,000 or less (See Columns C1 and D3)	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000 (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Blackrock Equity Div (Mutual Fund)	B	Dividend			Sold	06/30/17	M	A	
19. -Blackrock Strategic Inc. OPPI (Mutual Fund)	A	Dividend	K	T					
20. -Cambiar In't Equity (Mutual Fund)	A	Dividend	K	T					
21. -Causeway Intl Value (Mutual Fund)	B	Dividend	L	T	Sold (part)	05/11/17	J	A	
22. -Clearbridge Large Cap Group (Mutual Fund)	A	Dividend			Sold	06/30/17	M	A	
23. -Columbia Strategic Inc. Inst. (Mutual Fund)	A	Dividend	J	T	Buy	12/01/17	J		
24. -Eaton Vance Hi Inc Opport I (Mutual Fund)	A	Dividend			Sold	12/01/17	J	A	
25. -Fidelity ADV FLTG RT (Mutual Fund)	A	Dividend	L	T	Buy	05/25/17	L		
26. -Fidelity ADV Total Bond I	B	Dividend	L	T	Buy	12/09/17	L		
27. -Gabelli Gold I (Mutual Fund)	A	Dividend	J	T					
28. -Healthcare Sel (Mutual Fund)	A	Dividend	J	T					
29. -Henderson Global Inc. (Mutual Fund)	A	Dividend			Sold	04/26/17	J	A	
30. -Henderson Intl Opport I (Mutual Fund)	A	Dividend	L	T	Sold (part)	05/11/17	J	A	
31. -iShares Core MSCI EAFE ETF (MF)	A	Dividend			Sold	04/26/17	J	A	
32. -Invesco Small Cap Y (Mutual Fund)	A	Dividend	J	T	Sold (part)	07/16/17	J	A	
33. -iShares Nasdaq Biotech ETF (Mutual Fund)	A	Dividend			Sold	05/08/17	J	A	
34. -iShares Russell 200 GRWTH ETF (Mutual Fund)	A	Dividend	K	T	Sold (part)	07/06/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. -iShares Russell Midcap G ETF (Mutual Fund)	A	Dividend	J	T	Buy	04/06/17	J		
36. -iShares Russell Midcap V ETF (Mutual Fund)	A	Dividend	J	T	Buy	06/30/17	K		
37. -iShares S&P 500 Growth (Mutual Fund)	B	Dividend	M	T	Buy	06/20/17	M		
38. -iShares S&P 500 Val (Mutual Fund)	A	Dividend	K	T	Buy	01/15/17	K		
39. -iShares S&P Mid-Cap 400 G ETF (Mutual Fund)	A	Dividend	K	T	Sold (part)	04/26/17	J	A	
40. -iShares S&P Mid Cap 400 V (Mutual Fund)	A	Dividend			Sold	05/11/17	J	A	
41. -iShares MSCI Japan (Mutual Fund)	A	Dividend	J	T	Buy	10/10/17	J		
42. -MSDW Liq. Asset (Money market fund)	A	Dividend	K	T					
43. -Prudential Jennison Natl Res Z (Mutual Fund)	A	Dividend			Sold	05/27/17	K	A	
44. -Oppenheimer Ltd Term BDY (Mutual Fund)	A	Dividend	J	T	Buy	12/01/17	J		
45. Pimco Foreign Bd US S Hedged P (Mutual Fund)	A	Dividend	J	T	Buy	12/19/17	J		
46. Pimco 1-5 Yr U.S. TIPX IDX FD (Mutual Fund)	A	Dividend	J	T	Buy	12/19/17	J		
47. Pimco Income P (Mutual Fund)	A	Dividend	J	T	Buy	12/19/17	J		
48. -Salient MLP & Energy (Mutual Fund)	A	Dividend			Sold	04/21/17	J	A	
49. -SPDR DJ Wilshire Global REA (Mutual Fund)	A	Dividend			Sold	04/03/17	J	A	
50. -The Financial Sel Sect SPDR FD (Mutual Fund)	A	Dividend	J	T	Buy	05/08/17	J		
51. -Vanguard Info Tech (Mutual Fund)	A	Dividend	J	T					

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52. -Vanguard REIT ETF (Mutual Fund)	B	Dividend	K	T	Buy	12/19/17	J		
53. -Vanguard Total Bond Mkt (Mutual Fund)	A	Dividend			Sold	01/15/17	K	A	
54. -Vanguard S# TM Bd Indv (Mutual Fund)	A	Dividend			Sold	12/01/17	J	A	
55. -Vanguard SHR-TM Infat Protec (Mutual Fund)	A	Dividend	J	T					
56. -Vanguard Valve ETF (Mutual Fund)	C	Dividend	M	T	Buy	06/30/17	M		
57. -Vanguard FTSE Dev. Mkts E (Mutual Fund)	A	Dividend	K	T	Buy	04/26/17	K		
58. -Vanguard FTSE Europe ETF (Mutual Fund)	A	Dividend	J	T	Buy	10/10/17	J		
59. -Vanguard SHR-TM Inflation Protec (Mutual Fund)	A	Dividend	J	T	Buy	12/01/17	J		
60. -Vanguard Small Cap Indx Inv (Mutual Fund)	A	Dividend	K	T	Buy	01/15/17	K		
61. -Virtus Insight Emerg Mkts (Mutual Fund)	A	Dividend	K	T					
62. -Wisdomtree Japan (Mutual Fund)	A	Dividend			Sold	10/10/17	K	A	
63. -Wisdomtree Europe (Mutual Fund)	A	Dividend			Sold	04/26/17	J	A	
64. -Wisdomtree TR High Div (Mutual Fund)	A	Dividend			Sold	02/28/17	K	A	
65. -Xtrackers MSCI Japan Hedged EQ (Mutual Fund)	A	Dividend	J	T	Buy	04/26/17	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John W. Lungstrum**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544